# Molecular Geometry and the Mulliken–Walsh Molecular Orbital Model. An *Ab Initio* Study

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# I. Introduction

The geometrical arrangements of molecules in their ground and excited states has been a subject of longstanding interest in virtually every major field of chemis-

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try. Of the rather large number of theoretical models thus far developed to deal with this question, that given by Mulliken<sup>1</sup> and Walsh<sup>2</sup> in terms of generalized orbital binding energy correlation diagrams has certainly proved itself to be one of the most useful. Furthermore because of its clear association with the more general molecular orbital (MO) theory, this particular geometrical model has been viewed by many authors as being particularly susceptible to straightforward mathematical analysis with reference to the basic concepts of quantum mechanics itself. Unquestionably the most salient feature of this stereochemical model, which has come to be known in its simplest form as Walsh's rules, is its conclusion that molecular geometry is determined to a very great extent simply by the number of valence electrons in a given system. The latter observation is especially poignant in view of the fact that essentially the same conclusion has been reached by various other authors<sup>3-6</sup> approaching the subject from apparently quite different directions, in particular via the VB theory of Pauling.7

In its original form<sup>1,2</sup> the Mulliken-Walsh model (hereafter referred to simply as the MW model) has been used to rationalize certain empirically determined geometrical trends on a qualitative, or at most a semiguantitative, basis, but its clear success in this endeavor has prompted more detailed investigations into this general question in an attempt to obtain quantitative justification for the methodology of this simple theory. One of the most exhaustive of such studies has been made by means of ab initio SCF calculations with an eye toward drawing a close connection between the canonical orbital energies resulting therefrom and the empirical quantities plotted in the aforementioned MW correlation diagrams. Because calculations of this type involve fairly extensive computations, publication of these results has occurred over a period of at least 5 years,8-10 and it is thus the objective of the present paper to summarize the findings of these investigations and to evaluate their contribution to the goal of not only establishing the methodology of the MW model on firmer theoretical grounds but also of attaining a more generally applicable theory of molecular geometry which encompasses at least a significant portion of certain well-defined exceptions not covered in the original empirical scheme.

To these ends problems of relating the results of quantum mechanical calculations to the various aspects of the empirical theory of Mulliken and Walsh are considered in section II. In section II.A, a number of quantities used previously for the construction of MW correlation diagrams are discussed in light of certain objective criteria. The use of canonical SCF orbital energies and particularly the importance of Koopmans' theorem for understanding the operations of the MW model are investigated in section II.B; in particular, certain corrections are determined which must be applied to the original theory when comparing systems of differing covalency. In section II.C, extensions in the scope of the MW model are discussed, particularly as they relate to the possibility of using the same correlation diagram for more than one class of molecular systems. The third section of the paper deals with specific applications of the resultant modified theory of molecular geometry, with special emphasis on results obtained from ab initio SCF treatments of molecules containing from one to four heavy (nonhydrogenic) atoms. Finally the last section briefly summarizes what has been learned and comments upon the probable directions of future investigations on the general subject of molecular geometry in ground and excited states. Alternative geometrical models based on valence bond theory, atomic radii, electronegativity differences, Jahn-Teller distortions, and various other concepts are given only brief consideration in this review, primarily because the calculations under discussion are not at all so easily related to the latter approaches to the study of molecular structure as they are to that proposed by Mulliken and Walsh.

#### II. Theoretical Aspects

# A. What Is Plotted in Walsh's Diagrams?

The central theoretical tool employed in the structural theory of Mulliken and Walsh is the correlation diagram, essentially a plot of a series of rather loosely defined quantities (generally referred to as orbital energies) as a function of some internuclear coordinate (usually an angular variable); a typical example of such a correlation diagram is given in Figure 1a, in this case for the AH<sub>2</sub> series of molecules, as originally discussed by Walsh in 1953. Each of the curves in this diagram corresponds to a particular molecular orbital of a general AH<sub>2</sub> system such as water, H<sub>2</sub>O; in each case the key point of interest in whether the orbital energy decreases with angular deformation of the molecule (as for the 3a1 species in Figure 1a), remains essentially constant (as for the 1b<sub>1</sub> MO), or increases with such geometrical changes (as for the  $1b_2$ ).

Arguments for the behavior of the various orbital enerav curves with molecular bending are based on a series of simple theoretical concepts pertaining to the effects of AO mixing on the stability of the MO's in question. For example, the 1b1 orbital energy is assumed to remain constant with ∠HAH changes because the constitution of this MO remains pure  $p\pi$  at all stages of the bending process. That of the 1b<sub>2</sub> is said to increase with molecular bending because the possibilities for constructive overlap between the  $p\sigma$  orbital of the central A atom and the 1s AO's of the two hydrogenic species are clearly diminished as the system deviates from the linear arrangement of nuclei. The opposite trend is assumed for the 3a1 species since in this case bending out of the linear geometry causes the MO in question to be transformed from a pure  $p\pi$  species (degenerate with the 1b<sub>1</sub>) to a hybrid orbital of both s and p character. To a certain extent, of course, it must be acknowledged that the primary motivation in these interpretations for the shapes of the various orbital energy curves is largely empirical, but the fact remains that for the most part such trends can be anticipated quite effectively on the basis of the stability arguments introduced by Mulliken and Walsh in their original work.

Conclusions relative to the geometry of molecules in a given family are then made quite easily on the basis of which of the MO's are occupied in a particular electronic

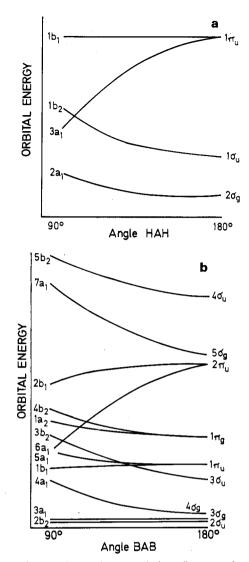


Figure 1. Empirical angular correlation diagrams for general AH\_2 (a) and AB\_2 (b) systems, after the work of Mulliken and Walsh.  $^{1,2}\,$ 

state of interest. Basically, occupation of a level whose orbital energy curve is decreasing with diminishing internuclear angle is said to produce a trend toward bent geometry while the opposite effect is, of course, expected for population of an MO whose energy increases for such deformations out of the linear nuclear arrangement. Thus while AH<sub>2</sub> systems with four valence electrons are predicted to be linear in their ground states (with double occupation of all levels up to and including the 1b2 species), those with five and six (such as BH2 and BH2<sup>-</sup>, respectively) are expected to prefer increasingly smaller HAH angles, as the direct result of occupation of the 3a1 MO, with its aforementioned preference toward bent geometries. On the other hand, the MW model predicts no change in geometry to occur in going from the  $BH_2^+$  ground state to the  ${}^2B_1$  excited state of  $BH_2$ , since in this case the differentiating orbital (1b1) possesses an energy curve which does not vary with changes in internuclear angle. Clearly the key point in this model is that the geometry of a molecule in a given state is very much dependent on its characteristic electronic configuration.

For other classes of molecular systems it is merely necessary to introduce different correlation diagrams, the behavior of whose constituent orbital energy curves can be explained in essentially the same manner as has been described above for the  $AH_2$  family. The corresponding diagram for molecules of  $AB_2$  type is shown in Figure 1b, again patterned after that given by Walsh<sup>2</sup> in 1953 and, to a lesser extent, after that introduced by Mulliken<sup>1</sup> in 1942. In this case it is possible, for example, to understand why systems of this type possess progressively more strongly linear ground-state structures as the number of valence electrons increases from 12 to 16, respectively, as a result of successive population of the  $1\pi_{g^-}$ (4b<sub>2</sub>, 1a<sub>2</sub>) pair of MO's, with their marked aversion to bent nuclear geometries. Similarly it is clear from such a diagram that occupation of the next most stable species, the 6a1 MO, reverses this trend quite dramatically by virtue of the strongly bent tendency exhibited by its orbital energy curve (Figure 1b). Again it can scarcely be overemphasized that such argumentation appears to be equally valid for both ground and excited states, thereby underscoring the fact that the MW model is easily applicable to the understanding of the stereochemical changes which occur in electronic transitions.

Despite the very definite relationship between the MW model, on the one hand, and MO theory, on the other, there is still considerable question as to just how this empirical structural model can be justified on the basis of a priori quantum mechanical theory. In attempting to formulate a quantitative realization of the MW model of molecular geometry, the single most critical question which needs to be answered is that of the identity of the ordinate in the Walsh (correlation) diagrams. In introducing the concept in 1942 Mulliken invariably referred to the ordinate in such diagrams as "ionization energies," whereas Walsh apparently preferred the physically less precise term "orbital binding energies." To a certain extent it must be said that the identity of the aforementioned quantities cannot be determined with mathematical certainty since their behavior as a function of some geometrical distortion has been derived from largely empirical considerations. Despite this fundamental difficulty, however, there is considerable merit in establishing certain objective criteria for judging the degree to which a given mathematical construct is able to realize the essential features of such an empirical quantity.

#### 1. Criteria

In all the writings which have followed upon the introduction of the MW model, the characteristic most generally believed to be essential for a given mathematical quantity to be employed as a valid realization of the "orbital binding energy" is its proper behavior with changes in molecular geometry; i.e., the correlation diagram constructed with such quantities should basically reproduce the gross features of the original Walsh diagram. Occasional disagreements between the shapes of corresponding curves in calculated and empirical diagrams can be ignored, of course, if they do not lead to a conflict with experimental data. The second and third criteria generally considered are closely connected to the first, requiring merely that the quantities plotted in the diagrams be clearly related to orbitals which have definite symmetry characteristics and that as a result of this fact the shapes of the various curves be interpretable (predictable) on the basis of elementary considerations of the bonding and antibonding character of the orbitals themselves.

The fourth and fifth criteria are generally considered less critical, requiring identification of the plotted quantities with the negative of the ionization potentials of the corresponding orbitals, and also clear association between *differences* in such orbital energies and the transition energies between various electronic states of the system. The last and by far the most controversial standard by which types of orbital energies have been judged involves the question of how such quantities are used to obtain the geometrical predictions ultimately forthcoming from the MW model. To many authors the most straightforward method of obtaining such information has simply been to use the *sum of orbital energies* as the potential term for motion along a given geometrical coordinate, but there is considerable evidence that such an identification represents an overly restrictive view of how the MW model arrives at its predictions.

# 2. Suggested Quantities

One of the first attempts to achieve a quantitative realization of the Mulliken-Walsh model was made in terms of united atom (UA) calculations by Wulfman<sup>11,12</sup> and Bingel<sup>13</sup> and later by Saturno.<sup>14</sup> The correlation diagrams constructed by these authors for AH<sub>2</sub>, AH<sub>3</sub>, and AH<sub>4</sub> systems using the eigenvalues of a UA Hamiltonian closely resembled those given empirically by Walsh,<sup>2</sup> but nevertheless the same treatment was found to be much less successful when applied to systems with more than one heavy atom<sup>11,12</sup> (notably  $AB_2$  molecules), a result which was not altogether unexpected in view of the nature of the UA method itself. Perhaps more fundamentally these calculations raised the question<sup>13</sup> of just how the repulsion between the nuclei in such molecular systems should be taken into account in the framework of the MW model.

At about the same time Schmidtke and Preuss<sup>15</sup> and Schmidtke<sup>16,17</sup> carried out some LCAO–MO calculations with a simplified Hamiltonian in order to study the same problem. Again these authors were quite successful in reproducing Walsh's  $AH_2$  and  $AH_3$  diagrams in their calculations, but they too reported some difficulties in the case of the  $AB_2$  systems. The question of how to include the nuclear repulsion in such calculations was also a source of some concern to these authors since the definition of their orbital energies does not include explicit contributions from this seemingly essential potential term.

The question of the missing nuclear repulsion term was also given serious consideration by Coulson and Neilson, 18, 19 but discussion of their proposed solution to the problem is best deferred until the following subsection in which the more general question of how to relate the results of ab initio SCF or Hartree-Fock calculations to the methodology of the MW model is investigated. Chronologically the next suggestion as to what to use for the orbital binding energies was given by Peters<sup>20</sup> in the form of a quantity described as the increase in ionization energy of a given MO upon molecule formation. Even though such a definition leads to a correlation diagram in which the orbital energy curve for the 1s orbital lies between and not below the corresponding curves for the valence species, Peters contended that these changes in binding energy are still easily identifiable with Walsh's orbital binding energies. Nevertheless, applications were only reported for AH<sub>2</sub> systems, and, in light of the experience of Schmidtke,<sup>17</sup> Wulfman,<sup>11,12</sup> and Bingel<sup>13</sup> with AB<sub>2</sub> systems, there is good reason to withhold judgment on the utility of this particular quantity until it is used to construct correlation diagrams for more general systems.

Shortly after the work of Peters, the first series of papers<sup>21-24</sup> was published in which it was suggested that the *canonical orbital energies* of nonempirical (*ab initio*) LCAO-MO-SCF calculations be used for the construction of the correlation diagrams of the MW model. At about the same time, Leclerc and Lorquet<sup>25</sup> reported the first Hückel-type (semiempirical) calculations on the general subject of Walsh's rules. These authors suggested the use of the Hückel eigenvalues as the ordinate in the Walsh diagrams; shortly thereafter Allen and Russell<sup>26</sup> considered the same possibility. In both cases a great similarity was noted between such semiempirical calculations and those of *ab initio* SCF type, and thus further discussion of this work is deferred until after the following subsection dealing specifically with treatments of the latter type.

Two other attempts to obtain a quantitative realization of the Mulliken-Walsh model have been reported which make use of the virial and Hellmann-Feynman theorems, respectively. Following the work of Nelander<sup>27</sup> on the virial theorem for triatomic molecules (which showed that at any given internuclear angle the total energy is equal to the negative of the kinetic as long as the bond distances are optimal for that angle), Takahata and Parr<sup>28</sup> suggested the use of the negative of the orbital kinetic energy as the quantity to be plotted in the Walsh diagrams. The result for H<sub>2</sub>O is a correlation diagram guite unlike that given by Walsh for general AH<sub>2</sub> systems, but interestingly enough the authors were able to draw a close connection between this work and a different geometrical model given by Gillespie,<sup>29</sup> which in turn stems from the valence bond theory.7

The Hellmann-Feynman theorem has recently been used with some success by Coulson and Deb<sup>30</sup> in reproducing the important features of the Walsh diagrams of AH<sub>2</sub>, AH<sub>3</sub>, and A<sub>2</sub>H<sub>2</sub> systems, but this treatment abandons any attempt to relate the quantities plotted in the Walsh diagrams to ionization potentials and/or electronic transition energies. Furthermore, the shapes of the curves in these Walsh-Coulson diagrams are found to be very dependent on the nature of the force field assumed in such calculations, unlike the case in many other investigations reported to this point, notably those using the canonical SCF orbital energies<sup>21-24</sup> in the diagrams. The basic problem with the use of the Hellmann-Feynman theorem for this purpose is (not at all surprisingly) seen to be the need for wave functions of very high accuracy in order to obtain reliable results. In any event this approach offers an interesting answer to the question of what is really plotted in the Walsh diagrams, but the practical significance of this observation cannot really be satisfactorily assessed until a broader range of applications is reported.31

# 3. Self-Consistent Field Eigenvalues and Related Quantities

As indicated in the previous subsection, a great number of the various attempts to obtain a quantitative realization of the MW geometrical model have centered upon the quantitative LCAO-MO-SCF method, which in turn is an outgrowth of Hartree-Fock theory.<sup>32</sup> Such emphasis is hardly surprising in view of the close connection between the aforementioned geometrical model and the original MO theory of Mulliken<sup>33</sup> and Hund,<sup>34</sup> yet there has been considerable question as to how best to relate the results of such quantitative treatments to the study of the MW model. This uncertainty is caused in large part by the existence of at least three types of SCF quantities which might in principle be associated with the ordinate in the original Walsh diagrams.

The first of these theoretical quantities to be discussed is the canonical orbital energy, which is the eigenvalue of the Fock matrix (as originally defined<sup>32</sup>) for a given eigenvector (MO). The main advantage of this quantity in the present context is its near equality with the negative of the ionization potential; in fact, it has been shown by Koopmans<sup>35,36</sup> that the ionization energy calculated by employing only the SCF MO's of the un-ionized system is exactly equal to the negative of the orbital energy of the MO from which ionization is taking place. Thus the canonical orbital energy can be regarded as an accurate measure of the relative stabilities of the constituent MO's of a given system and therefore would appear to satisfy the fourth and fifth criteria listed in section II.A.1 to a sufficiently good approximation.37 In addition the first of these criteria, namely the requirement that correlation diagrams constructed from such quantities basically reproduce those given empirically by Walsh,<sup>2</sup> is also satisfied quite well by canonical orbital energies, as has been demonstrated in case after case (see Figure 2a for an example) for a quite large range of molecular systems. In addition the shapes of these orbital energy curves are found to be susceptible to the same types of interpretation as are their empirical counterparts, and the MO's to which they correspond are found to exhibit nearly the same constitution and symmetry properties as those discussed in the original MW model.

On the other hand, it is well known that the sum of the canonical orbital energies  $\Sigma \epsilon_i$  is *not* equal to the true total energy of SCF (or Hartree-Fock) theory, and thus it is not at all obvious how the use of these quantities by *themselves* could lead to reliable geometrical predictions, since ultimately only the total molecular energy determines the molecular structure. The quantity  $\epsilon_i$  itself can be expressed as (closed-shell case)

$$\epsilon_{i} = \langle i | -\frac{1}{2} \nabla^{2} - \sum_{\mathbf{a}} \frac{Z_{\mathbf{a}}}{r_{\mathbf{a}}} | i \rangle + \sum_{j}^{\infty} \{2[ii|jj] - [ij|ji]\}$$
(1)

and thus represents the mean value of the kinetic and nuclear attraction energies (one-electron quantities) of an electron in orbital *i* as well as the repulsive energy of this electron in the averaged field of all the other electrons in the molecule (two-electron quantity). Summing the canonical orbital energies consequently leads to the correct total kinetic and nuclear attraction energies but takes account of each electron repulsion term twice; the correct total molecular energy  $E_{\rm T}$  is thus obtained by subtracting the (total) electronic repulsion energy  $V_{\rm ee}$  once and at the same time by adding the missing (total) nuclear repulsion  $V_{\rm nn}$ , namely as

$$E_{\rm T} = \Sigma \epsilon_i + V_{\rm nn} - V_{\rm ee} \tag{2}$$

In order to take account of this discrepancy between  $E_{\rm T}$  and  $\Sigma \epsilon_i$ , Coulson and Neilson<sup>18,19</sup> suggested another set of quantities  $e_i$  defined as

$$e_i = (\epsilon_i + E_i)/2 \tag{3}$$

with

$$E_i = \langle i | -\frac{1}{2} \nabla^2 - \sum_{\mathbf{a}} \frac{Z_{\mathbf{a}}}{r_{\mathbf{a}}} | i \rangle$$

which do sum to the total energy less the nuclear repulsion term  $V_{\rm nn}$  (see also Parr^38). Using the nonempirical SCF results of Ellison and Shull<sup>39</sup> for H<sub>2</sub>O, these authors found that the correlation diagram constructed from the calculated ei values closely resembles the empirical counterpart for a general AH<sub>2</sub> system, but, of course, they were forced to explicitly add the  $V_{nn}$  term to their orbital energy sum in their rationalization, a feature which is completely absent in the original model. Peyerimhoff and Buenker<sup>23</sup> subsequently constructed a correlation diagram from the  $e_i$  quantities calculated for  $F_2O$ , and from these results (Figure 2b) it is quite clear that the early success obtained for this type of orbital energy was based almost exclusively on the fact that  $V_{nn}$  for the approach of two hydrogen atoms in H<sub>2</sub>O is a relatively insignificant term in the total energy variation of this system with bending. Addition of the  $E_i$  terms (see eq 3) to the canonical orbital energies in an AB<sub>2</sub> system produces quantities which all uniformly *decrease* toward smaller internuclear angles (and increased nuclear attraction); as a result there can be no question that the  $e_i$  values of eq 3 are definitely *not* what are plotted in Walsh's diagrams, since they do not generally satisfy the first of the objective criteria cited above.

Still another set of SCF quantities of interest in this connection results from the use of a Fock operator different from that given in the original formulation of Hartree-Fock theory.<sup>32</sup> Davidson<sup>40</sup> has shown that the choice of operators used in obtaining the SCF solutions is, in fact, somewhat arbitrary and that, in particular, a whole class of modified Fock operators can be defined which lead to sets of canonical orbitals that differ by only a unitary transformation from the conventionally defined set36 and hence result in identical total energy values. Advantage can be taken of this certain arbitrariness in the SCF formalism to construct a set of canonical orbitals whose associated eigenvalues do, in fact, sum to the true total energy  $E_{\rm T}$  of a given system (these quantities will hereafter be referred to as the internally consistent SCF energies  $\epsilon_i^{\text{ICSCF}}$ ). They are defined in such a way that a specific portion of the  $(V_{nn} - V_{ee})$  term of eq 2 is included in each of the conventional canonical orbital energies, so that in fact

$$E_{\rm T} = \Sigma \epsilon_i^{\rm ICSCF} \tag{4}$$

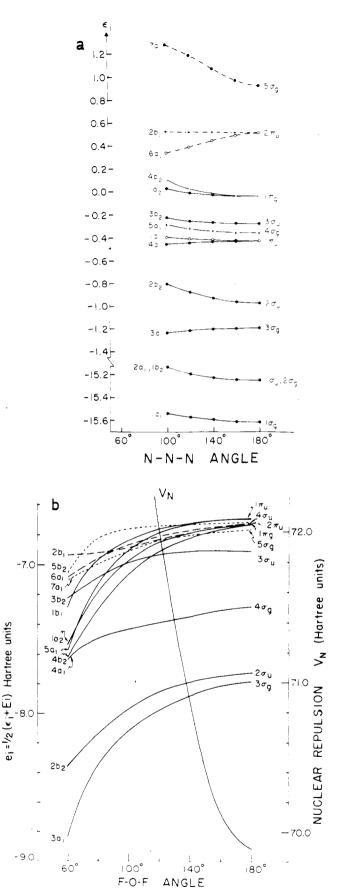
At present little is known about the actual behavior of these quantities, although it is clear that the advantage of summability to the total energy is obtained at the expense of identification with ionization potentials via Koopmans' theorem. Nevertheless, if correlation diagrams constructed from these  $\epsilon_i^{\rm ICSCF}$  values do turn out to resemble quite closely those of the MW model, there would be good reason to assert that these quantities represent a quite satisfactory quantitative realization of the ordinates in the Walsh diagrams.

In lieu of such developments, however, there is still good reason to take a closer look at the conventional canonical orbital energies, especially in light of the fact that their failure to sum to the total energy does not in itself preclude their use in making geometrical predictions. The missing term  $(V_{nn} - V_{ee})$  might, for example, be quite generally unaffected by structural changes, in which case it would be of no greater significance for obtaining geometrical predictions than is the choice of a zero of energy for the potential curves. Or perhaps the entire question of using the sum of the orbital energies as a substitute for the total molecular energy might represent an unnecessary restriction placed upon the behavior of the ordinate in such diagrams in the first place, introduced merely because of an improperly rigid view of how to translate the methodology for the MW model into purely mathematical terms.

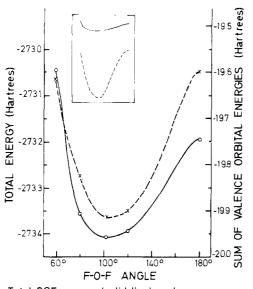
# B. How to Use the Canonical Orbital Energies in the Mulliken–Walsh Model

# 1. Molecular Geometry and $\Sigma \epsilon_i$

The fact that the canonical orbital energy sum  $\Sigma \epsilon_i$  cannot be equated with the total molecular energy  $E_{\rm T}$  does not in itself preclude the possibility that these two quantities may vary in a parallel fashion with various structural changes and hence lead to identical predictions of the equilibrium geometries for whole classes of molecular systems. Clearly the key relationship to be considered for this purpose is not that of eq 2 but rather some differential form thereof



**Figure 2.** Angular correlation diagram (a) for an AB<sub>2</sub> molecule (plotted are the canonical orbital energies of the N<sub>3</sub><sup>-</sup> ground state as a function of the internuclear NNN angle<sup>24</sup>), and related diagram (b) for F<sub>2</sub>O constructed from the one-electron quantities  $e_i$  as suggested by Coulson and Neilson.<sup>18,19</sup> Throughout this paper all energy values are given in hartrees, unless stated otherwise.



**Figure 3.** Total SCF energy (solid line) and sum over canonical valence orbital energies  $\Sigma \epsilon_i$  (dashed line) for F<sub>2</sub>O as a function of internuclear angle.<sup>23</sup> The insert shows in a schematic way a comparison between the calculated canonical  $\Sigma \epsilon_i$  (again dashed line)<sup>23</sup> and corresponding Hückel  $\Sigma \epsilon_i^H$  (solid line).<sup>26</sup>

$$\partial E_{\rm T} / \partial R = \partial \Sigma \epsilon_i / \partial R + \partial (V_{\rm nn} - V_{\rm ee}) / \partial R \tag{5}$$

where *R* is some generalized geometrical variable. If the derivative of the term  $(V_{nn} - V_{ee})$  were of negligible magnitude for a sufficiently large range of *R* values in the neighborhood of equilibrium, the shape of the  $\Sigma \epsilon_i$  curve would parallel very closely that of the total (SCF) energy in that region and therefore would be for all practical purposes of *equal* value in obtaining the desired geometrical predictions.

A cancellation of errors in neglecting both the derivatives of nuclear  $(V_{nn})$  and electronic  $(V_{ee})$  repulsion in eq 5 would clearly justify the use of  $\Sigma \epsilon_i$  as the quantity upon which the predictions of the MW model are ultimately based, provided, of course, that it occurred under quite general circumstances. Leclerc and Lorquet25 spoke of just such a cancellation in their early work, pointing to the success of Boer, Newton, and Lipscomb<sup>41</sup> in approximating atomization energies with the quantity  $\Sigma \epsilon_i{}^a$  -  $\Sigma \epsilon_i{}^m$  (difference of the canonical orbital energy sum in the molecule m and in the separated atoms a). At about the same time, the present authors in collaboration with Allen<sup>21</sup> attempted to clarify this possibility further by introducing several rather obvious inequalities as conditions for the sufficiency of the canonical orbital energy sum in predicting equilibrium geometries, which may be summarized as

$$\left|\frac{\partial}{\partial R}(V_{nn} - V_{ee})\right| \le \left|\frac{\partial}{\partial R}(\Sigma\epsilon_i)\right| \tag{6}$$

If this inequality is satisfied everywhere within a sufficiently large interval containing the equilibrium position<sup>42</sup> of *R*, then it follows that the *slopes* of corresponding  $E_{\rm T}$  and  $\Sigma \epsilon_i$  curves have the same sign at every point within this interval (see eq 5) and hence vanish for identical *R* values, as is, of course, required if  $\Sigma \epsilon_i$  is to lead to the same geometrical predictions as  $E_{\rm T}$ . Satisfaction of this inequality clearly does not mean, however, that the  $\Sigma \epsilon_i$  and  $E_{\rm T}$  curves are parallel, as is necessary if other potential quantities such as force and anharmonicity constants are also to be accurately predicted strictly on the basis of  $\Sigma \epsilon_i$ . In order to be even more consistent with the MW model, eq 6 can be modified so that only the valence orbital energy sum  $\Sigma^{\rm val} \epsilon_i$  is used

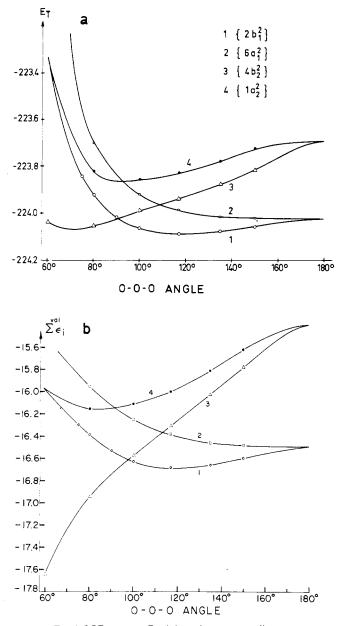
$$\left|\frac{\partial}{\partial R}(V_{nn} - V_{ee} + \sum^{\text{core}} \epsilon_i\right| \le \left|\frac{\partial}{\partial R}(\sum^{\text{val}} \epsilon_i)\right|$$
(7)

where  $\Sigma^{core} \epsilon_i$  is merely the difference between the sum of all canonical orbital energies and that of the valence orbital energies only.

While it is obvious that the inequalities of eq 6 and 7 cannot be expected to hold in the region in which the orbital energy sum derivative vanishes (unless, of course, the repulsion derivative vanishes at exactly the same value of R, i.e., perfect agreement with  $\partial E_{\rm T}/\partial R$  at R<sub>0</sub> is obtained), this fact in itself cannot be construed as proof that these inequalities are not obeyed to a sufficiently good approximation so as to effectively explain the basis for the general success of the MW model in such geometrical studies. To delve into this matter more carefully, it is necessary to test the foregoing inequalities numerically, and this has been done by means of a fairly large series of ab initio SCF calculations.<sup>21-24</sup> The results were encouraging for AH<sub>2</sub> and AH<sub>3</sub> molecules,<sup>21</sup> for which the  $\Sigma \epsilon_i$  and  $E_{\rm T}$  curves not only show *minima* at very nearly the same internuclear angle  $\theta_0$  but also exhibit very nearly parallel behavior in very wide regions in the neighborhood of  $\theta_0$ ; on the other hand, the results of earlier investigations11-13,15-17 cautioned against optimism on this point until similar results could be obtained for larger systems. Yet analogous calculations by the present authors<sup>23</sup> for the nonhydrogenic system F<sub>2</sub>O again found quite satisfactory agreement between the shapes of angular  $\Sigma^{\text{val}} \epsilon_i$  and  $\mathcal{E}_T$  curves, as can be seen from Figure 3. At the same time it was noted that the calculated correlation diagrams for both the aforementioned hydrogenic systems and the various AB<sub>2</sub> systems considered (obtained using the canonical orbital energies as ordinates in the theoretical plots) bear strong resemblance to the corresponding Walsh diagrams (see Figure 2a, for example).

Nevertheless, faith in the cancellation-of-errors axiom began to dwindle quickly when still more numerical data became available. Analogous calculations for ground and certain closed-shell (SCF) excited states of ozone<sup>24</sup> do not lead to uniformly parallel relationships between corresponding  $\Sigma^{val}\epsilon_i$  and  $E_T$  angular potential curves (Figure 4a,b). Although a clear resemblance can be seen between corresponding curves for some of the states, the potential surface for the interesting cyclic state of ozone (curves labeled 3 in Figure 4a,b) is reproduced very badly by  $\Sigma^{\mathrm{val}}\epsilon_i$ . A similar result has been obtained<sup>24</sup> for certain states of the azide ion N3-. Yet, even in the cases where large discrepancies in the two types of potential curves are found to occur for O<sub>3</sub> and N<sub>3</sub><sup>-</sup>, it must be pointed out that the  $\Sigma^{val}\epsilon_i$  data clearly distinguish bent from linear structures (curve 3 in Figure 4b merely fails to show a minimum at small angles, for example, and thus, in fact, only overestimates the tendency toward bent geometry in this electronic state), and it could, of course, be argued that the MW model itself is not capable of providing more accurate quantitative information. Nevertheless, it is clear that the inequalities of eq 7 (and also of eq 6) are violated in such exceptional cases, and this fact by itself raises serious questions about the wisdom of employing  $\Sigma \epsilon_i$  or  $\Sigma^{val} \epsilon_i$  as a substitute for the total energy in trying to explain the validity of the empirical model under consideration.

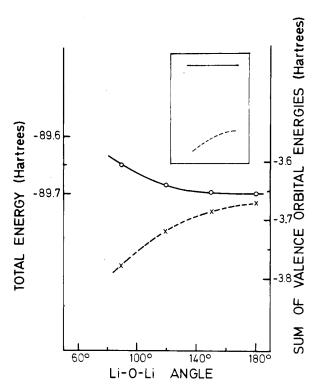
Further numerical applications did not result in any lessening of concern in this regard as violation of the inequalities of eq 6 and eq 7 began to occur with greater and greater frequency. In studying the potential surface of diborane<sup>22</sup> B<sub>2</sub>H<sub>6</sub> in going from an umbrella ethane-like geometry to its preferred bridged structure, for example, it is found that the  $\Sigma^{val}\epsilon_i$  curve is initially arched upward



**Figure 4.** Total SCF energy  $E_T$  (a) and corresponding sum over canonical valence orbital energies  $\Sigma \epsilon_i$  (b) for various <sup>1</sup>A<sub>1</sub> states of ozone as a function of the internuclear OOO angle. (The notation  $\{2b_1^2\}$ , for example, indicates that of the four MO's  $2b_1$ ,  $1a_2$ ,  $6a_1$ ,  $4b_2$ , only the  $2b_1$  is *not* doubly occupied.)

(see Figure 12 of ref 22) before dropping quite sharply toward the equilibrium geometry, whereas the corresponding SCF total energy curve shows a monotonic (and much more gradual) decrease throughout the entire geometrical deformation, in obviously better agreement with the actual experimental situation. In this case the discrepancy occurs for geometrical changes in a molecular ground state and thus would seem to rule out the possibility that such disagreement between  $\Sigma \epsilon_i$  and  $E_T$  variations occurs only for excited SCF states.

Even more critical is the finding that in a few cases  $\Sigma^{val}\epsilon_i$  angular potential curves cannot even correctly predict whether the preferred molecular geometry is *linear* or *bent*. Calculations<sup>23</sup> on Li<sub>2</sub>O find that while the SCF total energy curve correctly predicts a linear equilibrium structure, the corresponding  $\Sigma^{val}\epsilon_i$  species shows a maximum for this nuclear arrangement (Figure 5). This result is not so surprising in light of the fact that the conventional application of the MW model itself *incorrectly* predicts the equilibrium geometry of this system, since it

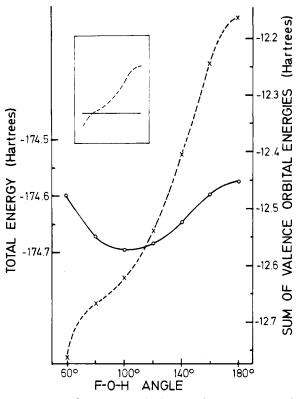


**Figure 5.** Total SCF energy (solid line) and sum over canonical valence orbital energies  $\Sigma \epsilon_i$  (dashed line) for Li<sub>2</sub>O as a function of the internuclear angle.<sup>23</sup> The insert shows in a schematic way a comparison between the calculated canonical  $\Sigma \epsilon_i$  (again dashed line) and corresponding Hückel  $\Sigma \epsilon_i^H$  (solid line).<sup>26</sup>

obviously has the same number of valence electrons as water  $H_2O$  and hence should be thought to possess a bent structure similar to that of the dihydride.

Another example was soon found, however, which shows that  $\Sigma^{val}\epsilon_i$  can make an incorrect prediction of equilibrium angle even where the MW model is apparently in complete agreement with experiment. The first hint that such a situation actually exists came from the study of hypofluorous acid<sup>23</sup> FOH whose  $E_{\rm T}$  and  $\Sigma^{\rm val}\epsilon_i$  curves are compared in Figure 6. The fact that the valence orbital energy sum greatly overestimates the tendency toward bent geometry for this system raised considerable doubts as to whether this trend could be reversed for HAB systems with four less electrons such as hydrogen cyanide HCN, which is well known to be linear. Speculation that the  $\Sigma^{\mathrm{val}}\epsilon_i$  curve for HCN might still lead to the correct prediction of a linear geometry for this system was certainly not supported by the fact that the sum of FOH valence orbital energies weighted according to the orbital population in HCN (that is, for isoelectronic FOH<sup>4+</sup>) is also found to decrease from the linear geometry, although the resulting curve is decidedly less steeped in this direction than that of the full FOH valence orbital energy sum shown in Figure 6. Subsequently Pan and Allen<sup>43</sup> showed that even if the HCN  $\Sigma^{val}\epsilon_i$  curve is calculated on the basis of SCF results obtained explicitly for this system, the curve still fails to show a minimum for the linear geometry actually preferred experimentally, even though, just as in the case of our results<sup>23</sup> for Li<sub>2</sub>O, the SCF total energy itself does show the correct behavior.

To this point it should be quite clear that one cannot rely with certainty on the satisfaction of the inequalities of eq 6 and 7 in the general case, although it must be said that on the whole there are many more cases in which  $\Sigma^{val}\epsilon_i$  and  $E_T$  angular potential curves show roughly parallel behavior than in which they do not. Rath-



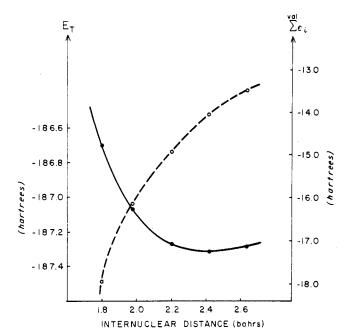
**Figure 6.** Total SCF energy (solid line) and sum over canonical valence orbital energies  $\Sigma \epsilon_i$  (dashed line) for FOH as a function of the internuclear angle.<sup>23</sup> The insert shows in a schematic way a comparison between the calculated canonical  $\Sigma \epsilon_i$  (again dashed line) and corresponding Hückel  $\Sigma \epsilon_i^{\rm H}$  (solid line).<sup>26</sup>

er than to present evidence for the latter qualification, however, it seems much more pertinent to move away from the subject of internuclear angle predictions to discuss the equally important topic of bond distance determinations, especially since there is good reason to believe that the cancellation-of-error inequalities of eq 6 and 7 are, in fact, *never* satisfied for potential curves of this type.

A series of calculations carried out by the present authors and Whitten<sup>44</sup> for the purpose of studying this latter point shows that in each of the cases of CO<sub>2</sub>, BeF<sub>2</sub>, NH<sub>2</sub><sup>+</sup>, BH<sub>2</sub><sup>-</sup>, BH<sub>2</sub><sup>+</sup>, BH<sub>3</sub>, and CH<sub>3</sub><sup>+</sup> the sum of valence orbital energies decreases with bond contractions long after the corresponding total energy has reached its minimum at the position of equilibrium (see Figure 7 for the CO<sub>2</sub> results). In fact, none of the calculated  $\Sigma^{val}\epsilon_i$  curves shows a minimum anywhere in the bond distance regions considered, and in most cases their downward slopes are observed to become even greater as the nuclei come still closer together.

The underlying cause for this behavior is not at all difficult to understand once the relationship between derivatives of the total energy  $E_{\rm T}$  and the sum of canonical orbital energies  $\Sigma \epsilon_i$  is considered (eq 5). The magnitude of the  $(V_{\rm nn} - V_{\rm ee})$  derivative is not at all negligible in the case of bond stretching, far outweighing that of  $\Sigma \epsilon_i$ , in fact, and as a result the inequalities of eq 6 and 7 are clearly not satisfied. The physical meaning behind this statement is merely that the repulsion of the nuclei, which are fixed in the calculations at each internuclear distance because of the Born-Oppenheimer approximation, increases much faster with decreasing *R* than does the total repulsion between the electrons, whose distribution is continually adjusted as the nuclei approach each other.

In summary then, it is quite clear from the foregoing discussion that the question of whether the total SCF en-



**Figure 7.** Total SCF energy  $E_{\rm T}$  (solid line) and sum over canonical valence orbital energies  $\Sigma \epsilon_i$  (dashed line) for CO<sub>2</sub> as a function of the internuclear CO distance.<sup>44</sup>

ergy  $E_{\rm T}$  and the sum of the (conventional) canonical orbital energies  $\Sigma \epsilon_i$  (or  $\Sigma^{val} \epsilon_i$ ) vary in general with some geometrical distortion in a nearly parallel manner cannot be answered in the affirmative. And yet it has always been possible to use the canonical orbital energies to draw the same types of qualitative conclusions about variations in geometry with the number of electrons that have clearly been the most attractive feature of the MW model, simply because correlation diagrams constructed from these quantities invariably resemble quite closely those given empirically. The question is thus whether the utility of the canonical orbital energies in discussing various aspects of molecular geometry has simply been obscured by a decided overemphasis upon the prospect of establishing a close relationship between the sum of the ordinates in the Walsh diagrams, on one hand, and the truly legitimate potential quantity in the SCF method, on the other, namely the total molecular energy  $E_{\rm T}$ . To answer this question, it is necessary to reexamine the physical significance of the canonical orbital energy itself as suggested by the consequences of Koopmans' theorem.

#### 2. Molecular Geometry and Koopmans' Theorem

The aforementioned calculations of bond-stretching potential curves for various polyatomic molecules<sup>44</sup> illustrate most emphatically that the quantity  $\Sigma \epsilon_i$  is by itself a hopelessly poor indicator of equilibrium bond distances. Yet even though neither Mulliken<sup>1</sup> nor Walsh<sup>2</sup> included stretching correlation diagrams explicitly in their original papers, some very definite information for understanding trends in the bond distances of various classes of systems is unquestionably available from their general model; indeed, the whole idea of relating geometrical trends to electron orbital populations had its origin in the study of bond distances, notably those of diatomic molecules.33 Some of the very first applications of the molecular orbital theory of Mulliken and Hund made use of the fact that  $\sigma_{g}$  and  $\pi_{u}$  MO's in homonuclear diatomics are bonding (and hence have orbital energies which decrease with approach of the nuclei) while those of  $\sigma_{\rm u}$ and  $\pi_{\rm g}$  type are antibonding. Successive occupation of bonding MO's, as occurs in going from Be2 to N2, is directly responsible for the observed regular decrease in equilibrium bond length (and also increase in binding energy) through this series while the opposite trend in going from N<sub>2</sub> to the mythical Ne<sub>2</sub> system can be attributed similarly to the successive occupation of antibonding MO's. Perhaps more interesting in the present context is the corollary observation that the bond lengths of ions relative to those of the corresponding neutral systems can be predicted by the same type of analysis; this fact can easily be proven in a straightforward manner<sup>44</sup> on the basis of Koopmans' theorem and hence by means of canonical orbital energies.

According to Koopmans' theorem the (SCF) total energy  $E_{\rm T}$  of the various positive ions of a given system A is directly related to the total energy of A itself and the canonical orbital energy  $\epsilon_{\rm D}$  of the MO  $\psi_{\rm D}$  from which ionization takes place.

$$E_{\rm T}(A) = E_{\rm T}(A^+) + \epsilon_{\rm D} \tag{8}$$

In this relationship the same set of MO's is used in the wave functions of both A and A<sup>+</sup>; the quantity  $\epsilon_D$  is calculated in the field of A. Experience has generally shown that such relationships hold to a rather good approximation in comparison to experimental measurements of ionization potentials, but since the main interest in the present discussion is geometry, this result in itself is not *directly* relevant. But it certainly becomes relevant upon differentiation of the previous equation with respect to some geometrical variable *R*, namely

$$\partial E_{\rm T}(A) / \partial R = \partial E_{\rm T}(A^+) / \partial R + \partial \epsilon_{\rm D} / \partial R \tag{9}$$

From this equation it is clear that the term  $\partial \epsilon_D / \partial R$  represents, at least within the approximation of Koopmans' theorem, the difference in the respective slopes of the total energy curves of A and A<sup>+</sup> at any value of the geometrical variable R; consequently, knowledge of the variation of the canonical orbital energy  $\epsilon_D$  with R can be combined with analogous information for the total energy of A to give an estimate for the potential energy curve of the corresponding positive ion.

The quantitative relationship of eq 9 is totally consistent with the aforementioned qualitative interpretations by Mulliken and Hund with respect to bond length magnitudes based on the bonding or antibonding characteristics of differentiating orbitals. If  $\psi_D$  is a bonding orbital, its canonical orbital energy  $\epsilon_D$  decreases with diminishing R, and hence  $\partial \epsilon_D / \partial R > 0$ . Ionization from such an orbital requires according to eq 9 that  $\partial E_T(A) / \partial R$  also be positive when evaluated at the equilibrium position  $R_0$  (A<sup>+</sup>) of the ion, at which point, of course,  $\partial E_T(A^+) / \partial R$  vanishes; in other words

$$\partial E_{\rm T}({\rm A})/\partial R|_{R_{\rm o}({\rm A}^+)} > 0$$
 if  $\psi_{\rm D}$  is bonding (10)

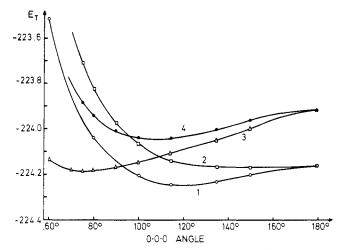
If the curvature of  $E_{\rm T}(A)$  is greater than zero at  $R_0(A^+)$ [as it invariably is since such a region is also in the neighborhood of a *minimum*<sup>42</sup> of  $E_{\rm T}(A)$ ], eq 10 implies in turn that  $\partial E_{\rm T}(A)/\partial R$  vanishes at a smaller value of Rthan  $R_0(A^+)$ ; thus

$$R_0(A) < R_0(A^+)$$
 if  $\psi_D$  is bonding (11a)

The reverse order is obtained if ionization occurs from an antibonding orbital defined by  $\partial \epsilon_D / \partial R < 0$ ; *i.e.* 

$$R_0(A) > R_0(A^+)$$
 if  $\psi_D$  is antibonding (11b)

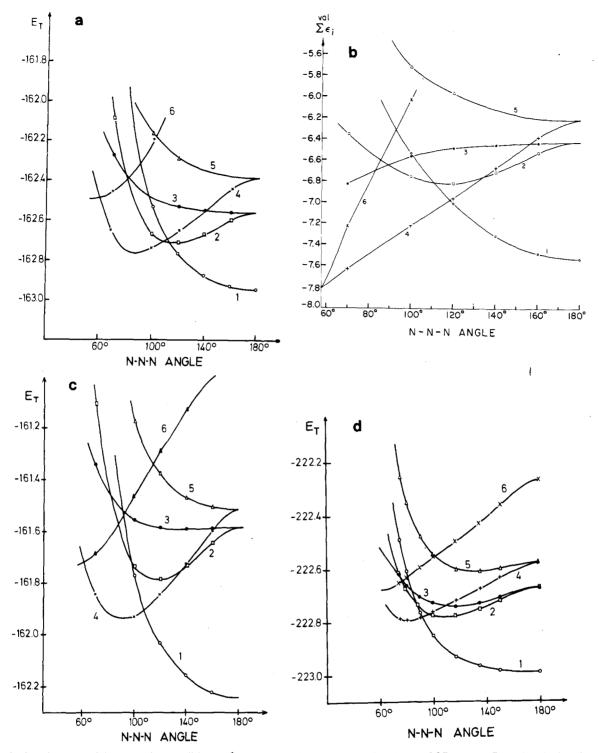
Similarly it can be concluded that the greater the bonding or antibonding character of the differentiating orbital (as measured by  $\partial \epsilon_D / \partial R$ ) the greater the expected difference between equilibrium bond distance values of the original and the ionized systems, respectively.



**Figure 8.** Angular potential curves for the four  ${}^{1}A_{1}$  states of ozone considered in Figure 4a constructed *via* Koopmans' theoem. (The states 2, 3, 4 are constructed from the 1-state of O<sub>3</sub>, the 1-state itself from the original 3-state in Figure 4a; the absolute values are adjusted.)

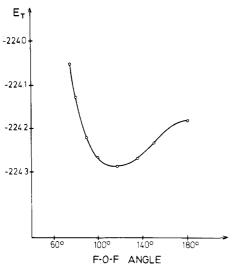
To this point the discussion has been restricted to relationships between singly positive ions and the corresponding un-ionized species, but if one continues to assume that the same set of MO's can be used to calculate the potential curves of both A and A<sup>+</sup> and also makes the additional assumption that the shapes of corresponding canonical orbital energy curves are the same for both these entities, it is clear that eq 9 can be generalized to account for geometrical changes in multiple ionizations as well. To accomplish this goal it is only necessary to substitute A<sup>2+</sup> for A<sup>+</sup> and A<sup>+</sup> for A in eq 9 for the next stage of ionization and then proceed in a completely analogous manner for still greater reductions in the number of electrons in this system. Furthermore, the same pair of assumptions enables one to draw relationships between potential curves of the various negative ions of A, in which case it is only necessary in the first stage to substitute  $A^-$  for A and A for  $A^+$  in eq 9. Finally, since literally any electronic configuration in any stage of ionization can be obtained by successive addition and/or deletion of electrons from an appropriate series of molecular orbitals, one easily concludes that as long as both of the aforementioned assumptions are valid that the geometry of any excited state of the original system can be deduced by means of successive application of eq 9. If, on the other hand, the MO's of the two states being compared are greatly different or if their corresponding orbital energy curves have significantly different shapes, then it must be expected that such a straightforward use of eq 9 will not be adequate for the prediction of the desired relationships; nevertheless, the very success of Mulliken and others in applying these concepts of molecular orbital theory on a qualitative basis suggests that such situations fortunately occur only rather infrequently.

Thus far the mathematical treatment has been confined to deductions relative to the structure of the positive (and negative) ions of the *same* molecule, but it is clear that the relationships derived are of much more general significance because of the basic precept of the MW model (and indeed MO theory in general) that *isoelectronic molecules belonging to the same family exhibit similar equilibrium structures.* If therefore one wishes to compare the geometry of system B with n-m electrons to that of system A containing n, it is only necessary (according to the model) to obtain an estimate of the pertinent potential curve of the m-th positive ion of A by successive application of the differential form of Koopmans'



**Figure 9.** Angular potential curves for six different  ${}^{1}A_{1}$  states of  $N_{3}^{-}$ : (a) calculated total SCF energy  $E_{T}$ ; (b) calculated sum over canonical valence orbital energies  $\Sigma \epsilon_{i}$ ; (c) calculated via Koopmans' theorem from  $N_{3}^{-}$  states; (d) calculated via Koopmans' theorem from an  $O_{3}$  state.

theorem (eq.9). Such a procedure is hopelessly inadequate for predicting the total energy of B on an absolute scale, but in the great majority of cases it will be seen to lead to a quite reasonable approximation for the variation of  $E_{\rm T}$  with a given geometrical variable, the only information required for determination of equilibrium geometry (and also associated spectroscopic constants). Furthermore, the same type of reasoning can be used to deduce potential curves for molecular excited states merely by adding or subtracting appropriate canonical orbital energies weighted according to their population in the electronic configurations being compared. To illustrate how these ideas can be applied in practice, the various potential curves discussed in the previous subsection will be considered again, with particular emphasis on those cases for which corresponding  $E_{\rm T}$  and  $\Sigma^{\rm val}\epsilon_i$  curves have quite different appearance. In the first example shown in Figure 8, the angular potential curves for various closed-shell states of ozone are constructed by successive application of Koopmans' theorem based on the SCF results of a single O<sub>3</sub> state, as discussed in the preceding paragraph; for easier comparison with the corresponding  $E_{\rm T}$  and  $\Sigma^{\rm val}\epsilon_i$  curves their position on the energy scale has been adjusted. It is

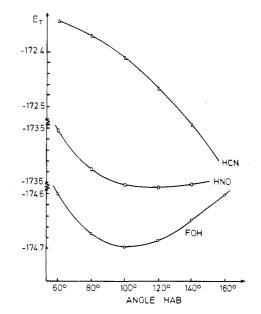


**Figure 10.** Angular potential curve for the ground state of  $F_2O$  calculated *via* Koopmans' theorem from an  $O_3$  state. This potential curve should be compared with the actual SCF counterpart given earlier (Figure 3).

seen that the shapes of these curves are in every case very similar to those of the corresponding total energy curves of Figure 4a; in particular, contrary to the case of the  $\Sigma^{val}\epsilon_i$  plot, the Koopmans' theorem curve for the cyclic state of ozone (labeled 3 in all pertinent figures) is seen to resemble quite closely its corresponding  $E_T$  species.

A similar comparison is made in Figures 9a-d for six states of  $N_3^-$ . In Figure 9c the various  $N_3^-$  states have been constructed via Koopmans' theorem from the total energy and the corresponding canonical orbital energies of an  $N_3^-$  state, while in Figure 9d the necessary  $E_T$  and  $\epsilon_{\rm D}$  data have been taken for the same purpose from the calculation of the ozone ground state. Both sets of Koopmans' theorem potential curves are clearly superior approximations to the corresponding  $E_{\rm T}$  species (Figure 9a) than is that obtained using  $\Sigma^{val}\epsilon_i$  (Figure 9b) for each  $N_3^-$  state. To be sure some small discrepancies between corresponding curves (particularly in no. 3 and 5) can be noted between Figures 9c-d and Figure 9a, but it will be shown subsequently that even these distinctions are not inconsistent with the predictions of the MW model. The ground-state  $E_{\rm T}$  and  $\epsilon_i$  curves of O<sub>3</sub> have also been used to obtain a potential curve for F2O (or  $O_3^{2-}$ ), which is shown in Figure 10 for comparison with corresponding  $E_{\mathrm{T}}$  and  $\Sigma^{\mathrm{val}}\epsilon_i$  data given earlier in Figure 3. Again the agreement between Koopmans' theorem and SCF total energy angular potential curves is quite good, although in this case the corresponding  $\Sigma^{\mathrm{val}}\epsilon_i$  species also approximates the  $E_{\rm T}$  curve very satisfactorily.

The HAB molecules FOH and HCN provided another interesting example for which the  $\Sigma^{val}\epsilon_i$  quantity fails to even approximately reproduce the behavior of the corresponding SCF total energy curve, as has been discussed in section II.B.1. If one uses Koopmans' theorem (eq 9) in connection with the SCF  $E_{\rm T}$  and  $\epsilon_i$  results for FOH in order to construct an angular potential curve for HCN, the result is seen to be quite different (Figure 11), however; these calculations correctly predict both the linear geometry of HCN and the nonlinear nuclear arrangement known for HNO. The use of Koopmans' theorem also corrects the problem noted earlier in connection with the  $\Sigma^{\mathrm{val}}\epsilon_i$  potential curve for distortion of diborane from its equilibrium bridged structure to an ethane-like umbrella nuclear conformation. Furthermore, it is even successful in predicting trends in equilibrium bond distances in AB2



**Figure 11.** Angular potential curves for the ground states of HCN and HNO constructed *via* Koopmans' theorem from the calculated ground-state SCF curve of FOH also given.

systems on the basis of the aforementioned SCF results  $^{44}$  for CO2 symmetric stretch potential curves.

It does not, of course, add anything new to the question of why Li2O is linear and water is bent because the valence electron populations in both of these systems (at least formally) are identical. It is also of no help in explaining the significant differences between the bond lengths in CO<sub>2</sub> and BeF<sub>2</sub> ( $R_{\rm CO}$  = 1.162 Å,  $R_{\rm BeF}$  = 142 Å), since both systems possess identical ground-state electronic configurations. The important point in this connection, however, is that the MW model itself, at least in its simplest form in which a single correlation diagram is used for all systems in a given family, is not able to explain these distinctions either, since it merely assumes that systems in the same class of molecules possessing identical electronic configurations should exhibit approximately the same equilibrium nuclear geometries. In fact, even in the cases of curves no. 3 and 5 in Figures 9a-d for N<sub>3</sub><sup>-</sup>, in which corresponding total energy and Koopmans' theorem potential curves disagree as to the favored nuclear conformation in the associated excited states, a closer look at the predictions which must be made on the basis of the pertinent Walsh diagram shows that the empirical model either leads one to expect the same answer given by the Koopmans' theorem analysis or is not able to make any clear judgement either way.45 Thus it is seen that once the thesis of substituting  $\Sigma^{val}\epsilon_i$ for the (SCF) total energy is abandoned and emphasis is placed instead on the role of Koopmans' theorem in deducing the desired geometrical relationships, use of SCF canonical orbital energies is able to produce a convincing quantitative realization of the original MW model, succeeding in its predictions whenever its qualitative counterpart has been successful, failing when it has not.

The close connection found to exist between Koopmans' theorem and the MW model can be of considerable advantage in clarifying the logical framework underlying this empirical scheme. Its basic premise may be summarized in terms of a schematic diagram in which all the systems in a given molecular family (at least in their ground states) are classified according to the number of valence electrons they contain; each combination of nuclei is represented by a vertical column in such a table while complete sets of isoelectronic systems (of the same electronic configuration) with different nuclear

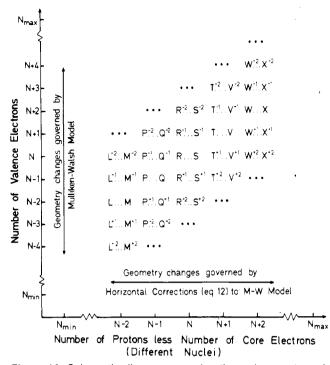


Figure 12. Schematic diagram arranging the various systems in a given molecular family according to the number of protons (less the number of core electrons) and the number of valence electrons each contains. Isovalent systems with the same formal charge (such as CO2 and BaF2) are grouped in the same column (and the same row). Ionic series such as  $N_3^+$ ,  $N_3$ , N3<sup>-</sup>, etc., corresponding to vertical columns of the figure and structural differences between such systems are found to be governed quite well by the differential form of Koopmans' theorem (eq 9). Geometrical distinctions between isovalent systems (with identical electronic configurations) are governed by the secondary principle of eq 12, which takes account of differences in the AO composition of corresponding MO's in such species (hence referred to as a horizontal correction). In principle then, all differences in equilibrium geometry between any pair of systems in such a family can be ascertained by appropriate application of eq 9 and/or eq 12, as indicated by the respective location of each of these species in the diagram.

framework are located in the same row of this diagram (as, for example, in Figure 12). Geometrical changes within a column of the table (that is, among the ions of a given system) are controlled almost exclusively by the operation of the appropriate differential form of Koopmans' theorem (eq 9); horizontally across a given row it is merely assumed that no significant changes are possible. The latter assumption seems to hold to a very good degree if bonding in the systems being compared is of nearly equal covalency, but, on the other hand, it is apparently likely to fail if comparison is made between a largely ionic species and one possessing considerable covalent character (as, for example, in the case of Li<sub>2</sub>O and water and also that of CO<sub>2</sub> and BeF<sub>2</sub>). It was recognized by Mulliken and Walsh that such a simplified view of the trends which occur in molecular geometries cannot be expected to hold quantitatively, but it nevertheless represents an elementary principle which satisfactorily explains (albeit only qualitatively) a broad range of geometrical phenomena and at the same time provides a starting point from which more detailed theoretical investigations into this question may proceed.

# 3. Toward a Horizontal Correction for the Mulliken– Walsh Model

The geometrical trends predicted by the MW model are borne out to a very high degree by actual experimental observations, and this fact has provided the primary motivation in obtaining a quantitative realization for this simple theory. Nevertheless, it is clear that certain aspects of the model are oversimplified, particularly the principle that all members in a given class of molecules which possess *identical electronic configurations* should exhibit nearly equivalent equilibrium geometries. The available experimental evidence (see Table I) indicates on the contrary that isovalent systems with widely differing bonding characteristics such as Li<sub>2</sub>O and water or CO<sub>2</sub> and BeF<sub>2</sub> do possess significantly different ground-state equilibrium geometries.

What is obviously needed then to improve the precision of the model is some supplementary principle which isolates the essential causes for differences in the geometries of such isovalent systems whenever they are found. and one which is also capable of predicting both the direction and the rough magnitude of such changes from one system to another; in terms of the diagram in Figure 12 we will speak of this desired principle as a horizontal correction to the MW model. Certainly one possible means of achieving this goal is to attempt to predict differences in the correlation diagrams of such isoelectronic systems, as Walsh, in fact, suggested in his original presentation,<sup>2</sup> but such a procedure obviously seems best suited for the use of orbital energy quantities whose sum varies in an exactly parallel manner as the corresponding (SCF) total energy; use of the conventional SCF canonical orbital energies, on the other hand, requires that the  $(V_{nn} - V_{ee})$  term in the total energy expression of eq 2 be given simultaneous consideration.

It seems reasonable to assume that if a normally ionic system such as  $Li_2O$  were forced to maintain a charge distribution roughly equivalent<sup>46</sup> to that of some covalent species such as water, the differences in preferred geometries for the two systems would all but disappear. In such a constrained charge distribution (model distribution), the total energy  $E_T^0$  could then be written as

$$E_{\rm T}^{0} = \Sigma \epsilon_i^{0} + V_{\rm nn} - V_{\rm ee}^{0} \tag{2'}$$

Clearly all terms in this expression for a given nuclear arrangement will in general possess different values from the corresponding quantities in eq 2 for the *optimal* (actual) charge distribution of this system in exactly the same geometry, with the obvious exception of the nuclear repulsion  $V_{nn}$  (hence no superscript for this quantity in eq 2'). Subtracting eq 2' from eq 2 therefore succeeds in eliminating the  $V_{nn}$  term entirely

$$E_{\rm T} = E_{\rm T}^{0} + \Sigma \epsilon_i - \Sigma \epsilon_i^{0} - V_{\rm ee} + V_{\rm ee}^{0} = E_{\rm T}^{0} + \Delta \Sigma \epsilon_i + (-\Delta V_{\rm ee}) \qquad (12)$$

where the definitions of  $\Delta\Sigma\epsilon_i$  and  $(-\Delta V_{\rm ee})$  should be obvious.  $^{47}$ 

The quantity  $E_{T}^{0}$  for the model charge distribution may then reasonably be assumed to vary in exactly the same manner with every possible geometrical change as the actual calculated (SCF) total energy for the system being compared (for example,  $E_T^0$  for a suitably covalent Li<sub>2</sub>O structure should lead to potential curves which are everywhere parallel to those actually observed for the water molecule); hence structural differences between the two systems must be attributed to the other two terms in eq 12. In general it does not always seem possible to predict which of the terms  $\Delta \Sigma \epsilon_i$  or  $(-\Delta V_{ee})$  is most sensitive to changes in a given geometrical variable R; in the case of the Li2O-H2O bending comparison, however, experience with actual SCF calculations<sup>23,48</sup> indicates that it is the orbital energy term which is of major importance, with  $\Sigma \epsilon_i$  for Li<sub>2</sub>O favoring a *bent* equilibrium geometry less than the water-like quantity  $\Sigma \epsilon_i$  for the same sys-

No. <sup>b</sup>	Molecule	Angle, deg	R <sub>0</sub>	kr	$k_{\delta}/R_{0}^{2}$
12	C3	180	1.277		0.0047¢
13	CNC	180	1.245		
	CCN	180			0.15°
14	NCN	180	1.232		0.220
<b>*</b> -	CCO	180 <sup>d</sup>	$1.160/1.279^d$	7.97/14.06 <sup>d</sup>	0.18 <sup>d</sup>
	000	100	(ass.)	7.07/14.00	0.10
15	CO <sub>2</sub> +	180	1.177		
10	N <sub>8</sub>	180	1.181		
	BÔ₂	180	1.265	11.73	0.30,‡ 0.26°
	$CS_2^+$	180	1.564	11.70	0.30, 0.20
16		180	1.162	16.024	0.583
10	N₃ <sup>–</sup> (cryst)	180	1.12	10.02	0.065
			1.154		0 427
	NO <sub>2</sub> + (cryst)	180		14.6/13.7 <sup>i</sup>	0.42
	NNO	180	1.126/1.186*		0.49°
	ocs	180	1.160/1.560	14.2/8.0	0.37
	CS <sub>2</sub>	180	1.554	7.87	0.237
	NCCI	180	1.16/1.57*		
	BeF <sub>2</sub>	180 <i>°</i>	1.42		
	MgF₂	158 <i>°</i>	1.770		
			2.07 (cryst)	2.80*	0.140
	CaF₂	140°	2.100		
			2.38º (cryst)	2.20	0.0890
	SrF <sub>2</sub>	108%	2.200	1.96	0.0330
	BaF₂	100°	2.32"	1.58*	0.020
	Srl <sub>2</sub>	180°			
	CaCl <sub>2</sub>	180¢			
17	NO2	134.1	1.1934	10.84	1.52, <sup>i</sup> 1.137 <sup>i</sup>
				9.13 <sup><i>i</i></sup>	0.40 <sup>2</sup>
	FCO	135	1.34/1.18 <sup>h</sup>	4.55/12.82 <sup>h</sup>	0.94 <sup>h</sup>
18	O <sub>3</sub>	116.8	1.278	7.08	1.28,10.816
-	SO <sub>2</sub>	119.5	1.432	10.334	0.820
	*			9.97 <sup>i</sup>	
	FNO	110	1.52/1.13		
	CINO	116°	1.95/1.14		
		1050	1.30	6.0	1.396
	SíF <sub>2</sub>	101	1.591	5.024	0.440*
19	NF2	101	(1.37)	4.84	1.076
15		104.2		4.84 <sup>7</sup> 7.23 <sup>i</sup>	0.6264
20			1.473		
20	F <sub>2</sub> O	103°	1.41*	3.97 <sup>;</sup> 5.57 <sup>;</sup>	0.719, <sup>;</sup> 0.55 <sup>;</sup> 0.69 <sup></sup>
	CL 0	110 00	1 706		
	Cl₂O	110.8	1.70°	4.93 <sup>i</sup>	$0.41^{i}$
	Cl <sub>2</sub> S	103°	2.0 <sup>e</sup>		

<sup>a</sup> Equilibrium distances  $R_0$  are given in Å, stretching ( $k_t$ ) and bending force constants ( $k_\delta/R_0^2$ ) in mdyn/Å; values are taken from ref 133 unless stated otherwise. <sup>b</sup> Number of valence electrons. <sup>c</sup> Reference 180. <sup>d</sup> Estimated value by M. E. Jacox, D. E. Milligan, N. G. Moll, and W. E. Thompson, J. Chem. Phys., 43, 3734 (1965). <sup>e</sup> Reference 101. <sup>f</sup> Reference 44. <sup>g</sup> V. Calder, D. E. Mann, K. S. Seshadri, M. Allavena, and D. White, J. Chem. Phys., 51, 2093 (1969). <sup>h</sup> D. E. Milligan, M. E. Jacox, A. M. Bass, J. J. Corneford, and D. E. Mann, *ibid.*, 42, 3187 (1965). <sup>i</sup> G. Simons, *ibid.*, 56, 4310 (1972). <sup>j</sup> G. Herzberg, "Infrared and Raman Spectra", Van Nostrand, Princeton, N. J., 1966. <sup>k</sup> E. B. Wilson, J. C. Decius, and P. C. Cross, "Molecular Vibrations," McGraw-Hill, New York, N. Y., 1955. <sup>i</sup> J. A. Pople and G. A. Segal, J. Chem. Phys., 44, 3289 (1966).

tem, thereby causing this ionic species to actually prefer a linear nuclear arrangement.<sup>49</sup> In fact, it is quite generally found that the greater the ionic character in a given charge distribution the smaller the amount of bonding or antibonding characteristics exhibited in its corresponding orbital energy curves (because of the reduced possibilities for AO mixing).

The latter consideration also clearly suggests that the linear trend for alkali metal oxides  $M_2O$  (as exhibited by increasing magnitudes of bending force constants<sup>50</sup>) becomes stronger in the series lithium through cesium because of the progressively decreasing ability of the valence AO's of such atoms to bond with those of oxygen. This conclusion is reinforced by the fact that the net positive charge on the terminal atoms of these oxides increases toward higher atomic number,<sup>7</sup> thereby suggesting that the  $V_{ee}$  term in eq 12 for each of the later members of this series does not increase as fast with bending for an optimal (ionic) charge distribution as does  $V_{ee}^0$  for

a constrained distribution of the same system which is equivalent to that favored by the lithium member; consequently, the additive potential term  $-\Delta V_{ee} \equiv (V_{ee}^0 - V_{ee})^2$  $V_{ee}$ ) increases with bending<sup>47</sup> in eq 12 since while both  $V_{ee}$  and  $V_{ee}^{0}$  are increasing the latter quantity does so more quickly. As a result it is seen that both the orbital energy and the electron repulsion term in the horizontal correction formula (eq 12) cause the strength of the linear trend in such systems to increase with the atomic number of the alkali metal. By contrast a comparison of Li2S and Li2O suggests that the former molecule quite likely prefers a bent geometry (although probably with a substantially larger equilibrium angle than that of water) because the Li AO's are better able to combine with those of sulfur (causing  $\Sigma \epsilon_i$  to decrease faster with bending than  $\Sigma\,\epsilon_i{}^{\rm 0}$  for an Li\_2O-like charge distribution of Li<sub>2</sub>S), and the total negative charge on the terminal atoms in the sulfide is also expected to be greater than in the corresponding oxide.51

Such an interpretation of eq 12 is also guite consistent with experimental observations regarding the equilibrium geometries of AB<sub>2</sub> molecules containing 16 valence electrons, such as CO<sub>2</sub> and BeF<sub>2</sub> (see Table I). First of all, it seems clear that the strength of interaction between the Be and F AO's is considerably less than between those of C and O and hence that  $\Sigma \epsilon_i$  for BeF<sub>2</sub> itself does not favor a linear nuclear arrangement nearly so much as  $\Sigma \epsilon_i^0$  for a CO<sub>2</sub>-like charge distribution for the same system.52 In addition, the fact that the terminal atoms in the fluoride undoubtedly possess a larger net negative charge than those of  $CO_2$  implies strongly that  $V_{ee}$  for the former system increases faster with bending than does  $V_{ee}^0$  for the comparative (CO<sub>2</sub>-like) charge distribution. From eq 12 it is seen that both of the aforementioned effects indicate that BeF2 should possess an angular potential curve which does not rise as steeply with molecular bending as that of CO<sub>2</sub>, as indeed seems to be the case experimentally, at least based on the experience of ab initio SCF calculations for these systems.53

Perhaps more interestingly such an interpretation logically leads one to predict that the trend away from linear equilibrium geometry should continue as the electronegativity of the central atom decreases (thereby further decreasing the possibilities for AO mixing and also increasing the net charges on the fluorine atoms). Reference to Table I shows that, in fact, such a trend does exist experimentally in the series BeF2, MgF2, CaF2, SrF2, and BaF2, whose equilibrium internuclear angles show a gradual decrease from the 180° value predicted generally for such 16-valence-electron systems on the basis of the MW model, all the way down to the 100° value observed for the barium member of this group of molecules. The analogous series of chlorides, bromides, and iodides show no such deviations from linearity, a trend which is unquestionably consistent with the smaller net negative charge (and relatively more diffuse charge distribution) expected for each of the other halogens in comparison to fluorine, as well as in the increased ability of their AO's to mix with those of the relatively electropositive central atoms in such molecules. The subject of the alkaline earth metal halides will be discussed further, including the role of d orbitals in the representation of such systems,<sup>54</sup> in connection with a more detailed survey of the geometries of triatomic AB<sub>2</sub> molecules in section III.C.1. Trends in the equilibrium internuclear angles of other types of systems will also be considered in light of eq 12 as they become pertinent (section III), particularly those found in the study of AH2, AH3, HAB, and AB3 molecules.

Once it is noted that Koopmans' theorem can be used to predict relationships between bond angles of general molecular systems, however, it becomes clear, as noted in section II.B.2, that the same techniques are equally valid for the study of bond distances, despite the decided lack of emphasis on this general subject in the original papers<sup>1,2</sup> dealing with the MW model. Indeed, there is ample evidence from experimental investigations (see Table I, for example) that the same general relationship between equilibrium geometry and number of valence electrons holds quite satisfactorily for both types of structural quantities. Yet it is also true that such experimental data indicate some significant differences in the equilibrium distances of isovalent members of the same molecular family, just as have been noted above for the corresponding angular results. Again it seems clear that the basic reason for these geometrical distinctions is to be found in the differing amounts of covalent and ionic character in the respective charge distributions of such sys-

tems. If BeF<sub>2</sub> is compared to CO<sub>2</sub>, for example, it is reasonable to conclude that  $\Sigma \epsilon_i$  for this *ionic* system decreases less rapidly with approach of its nuclei than does the corresponding CO<sub>2</sub>-like quantity  $\Sigma \epsilon_i^0$  (eq 12) (because of the smaller amount of interaction between the Be and F AO's in the actual ionic system). At the same time, a simple point charge model indicates that the product of the total electronic charge of the Be and F atoms respectively is less for the optimal charge distribution of BeF<sub>2</sub> than for the CO<sub>2</sub>-like distribution for the same system in the same geometry;55 as a result, it seems quite likely that  $V_{ee}$  in eq 12 for the actual BeF<sub>2</sub> structure increases less rapidly with decreasing internuclear distance than does the Vee<sup>0</sup> quantity for the corresponding (more covalent) CO2-like model charge distribution. According to the basic assumption that the  $E_{T}^{0}$ plotted curve for BeF2 and the total energy curve of the reference system (CO2) vary in an essentially parallel manner, it follows quite unambiguously (since  $\Delta V_{ee} \equiv$  $V_{ee} - V_{ee}^{0}$  is decreasing in this comparison and hence the additive repulsion term in eq 12 ( $-\Delta V_{ee}$ ) as well as  $\Delta \Sigma \epsilon_i$  is increasing with approach of the nuclei) that the actual BeF2 stretching potential curve must have decidedly less bonding characteristics than its CO2 counterpart,47 in agreement with what is observed experimentally (see Table I).

From this point of view, it is also not at all surprising that molecules consisting only of first-row atoms and corresponding ones containing atoms of the second and following rows of the periodic table exhibit different bond lengths even though the number of valence electrons is the same (Table I). It is necessary to take account of the relatively diffuse nature of the constituent species in this case, since electron repulsion between two 3p AO's, for example, clearly does not increase as rapidly with diminishing bond distance as it does for orbitals of principal quantum number two. Hence, even though sulfur is less electronegative than oxygen and thus might be characterized by (slightly) greater interactive capabilities between its AO's and those of carbon, it still seems clear that the dominant effect (in terms of eq 12) in determining the difference in bond lengths between CS<sub>2</sub> and CO<sub>2</sub>, respectively, is that of electron repulsion. Since Vee for  $CS_2$  varies much less strongly with  $R_{CS}$  than does  $V_{ee}^0$ for a CO2-like charge distribution of the same system, it follows, just as in the case of BeF2 (but for somewhat different reasons), that the CO2 bond distance is considerably shorter than in the system with which it is being compared (experimental  $R_{\rm CS}$  in CS<sub>2</sub> is 1.554 Å). Similarly, one is led to the conclusion that MgF2 should have a larger bond distance than BeF2, this time because of the more diffuse charge distribution at the central atom of the former system. The increase in bond lengths for isovalent homonuclear diatomics as the electronegativity of the constituent atoms decreases is also easily explained in this manner, since once again increasing the diffuseness of the valence charge distribution clearly leads to a diminution in the rate at which both  $\Sigma \epsilon_i$  decreases and  $\ensuremath{\textit{V}_{ee}}$  increases with approach of the nuclei, and hence according to eq 12 results in a decided decrease in the bonding character of the associated stretching potential curves. In each of the latter cases the interpretation of eq 12 is seen to be virtually equivalent to what is expected based on the concept of mean atomic radii determining molecular bond distances,7 but it is still interesting that such a relationship follows in such a straightforward manner from examination of a particular total energy expression (namely eq 2) of Hartree-Fock theory.

In summary then, the rule that the gross equilibrium geometry of molecules is determined for all practical purposes strictly on the basis of their electronic configuration is in general very successful in explaining structural trends in molecular systems. On the other hand, a correction must be applied to this simple model in order to understand certain exceptional cases, occurring primarily among ionically bonded systems. Attempts to describe the essential features of this (horizontal) correction in mathematical language have been based on the supplementary assumption that for isovalent systems (of the same electronic configuration) differences in equilibrium geometry result almost exclusively from distinctions in electronic charge distribution. Comparison of the total energy expression for two different charge distributions of a particular molecule, one of which is optimal for this system at a given geometry, the other of which is equivalent<sup>46</sup> to that of some reference system for the identical arrangement of nuclei, has led to eq 12.

In all examples given so far, unambiguous predictions on the basis of this equation have been possible since either one or the other of the two effects of  $\Delta\Sigma\epsilon_i$  and  $\Delta V_{ee}$ , respectively, has clearly been dominant or both have merely reinforced one another. It might well be anticipated, however, that this convenient state of affairs will not be present in every application undertaken, but experience with a wide range of systems undergoing various types of geometrical changes indicates strongly that the occurrence of such troublesome cases is actually quite infrequent. Numerous other applications of eq 12 will be discussed in section III.

The discussion of such horizontal corrections to the MW model has been restricted entirely to the study of SCF or Hartree-Fock theory and has pointedly omitted the consideration of so-called correlation effects, since experimental and theoretical evidence both indicate that such energy contributions vary in an essentially parallel manner (with changes in a given geometrical variable) for all isovalent systems within a given class. The same conclusion is not generally valid for comparisons of systems with differing numbers of valence electrons (such as for ions of the same vertical column of the schematic diagram in Figure 12), however, but at present there are not sufficient theoretical data available to evaluate the significance of this point; the success of the MW model in predicting geometrical distinctions (especially after a horizontal correction is applied) certainly suggests that effects of this nature play only a relatively minor role in such determinations, but it would be unwise to assume that they can be neglected if quantitative accuracy is desired. Basis set effects in SCF calculations have also not been considered but even this important question is not at all unrelated to the basic underlying premise of eq 12.

# 4. Relationship of Canonical Orbital Energies to Hückel Theory

Before moving to the consideration of specific *ab initio* calculations dealing with the MW model, it is worthwhile to consider a parallel development based on Hückel-type (semiempirical) treatments.<sup>25,26,56-60</sup> As mentioned earlier, it has been pointed out by Leclerc and Lorquet<sup>25</sup> and Allen and Russell<sup>26</sup> (and earlier by Boer, Newton, and Lipscomb<sup>41</sup>) that there is an inherent similarity between the calculative procedures involved in the Hückel and Hartree–Fock methods, respectively; in particular, it seems clear that the Hückel eigenvalues  $\epsilon_i^H$  correspond at least *pro forma* to some type of SCF canonical orbital energy. Allen and Russell<sup>26</sup> and Allen<sup>61,62</sup> have drawn this analogy a step further and have identified the afore-

mentioned semiempirical quantities with the *conventional* SCF canonical orbital energies  $\epsilon_i$ .

On the basis of a comparison between the results of some extended Hückel<sup>63</sup> calculations for F<sub>2</sub>O, FOH, and Li2O (and other systems) and those of the nonempirical SCF treatments carried out by the present authors<sup>23</sup> for the same systems, it has been concluded<sup>26,61-62</sup> that the sum of the Hückel eigenvalues  $\Sigma \epsilon_i{}^{
m H}$  will fail to produce the essential characteristics of SCF  $E_{\rm T}$  potential curves in precisely the same cases for which  $\Sigma \epsilon_i$  itself is insufficient for this purpose (see section II.B.1). In fact, however, the agreement actually found between the shapes of corresponding Hückel total energy  $(\Sigma \epsilon_i^{\rm H})$  and sum of conventional SCF canonical orbital energy  $(\Sigma \epsilon_i)$  curves appears to be quite rough (see schematic representation of the various curves in the inserts of Figures 3, 5, and 6); in the case of FOH (Figure 6), for example, the  $\Sigma \epsilon_i^{H}$ and  $\Sigma \epsilon_i$  curves cross at approximately a 45° angle, and in no instance can it accurately be stated that the shape of a given Hückel potential curve does not correspond more closely to the corresponding SCF total energy species than to that of  $\Sigma \epsilon_i$  (indeed the Hückel curves do not resemble either of their ab initio SCF counterparts very convincingly in any of the three cases considered).

More recently Allen<sup>61,62</sup> has carried the supposed analogy between Hückel eigenvalues and the conventional SCF orbital energies still further by concluding that given the apparently universal failure of  $\Sigma \epsilon_i$  data to satisfactorily represent the formation of equilibria in the bond stretching process, as demonstrated by the aforementioned ab initio study carried out by the present authors and Whitten,44 it must follow that the extended Hückel method obtains its bond length predictions by means of what is described as a "physically incorrect process." The work of Davidson<sup>40</sup> (section II.A.3), however, suggests a different view of how such a semiempirical theory might be related to the Hartree-Fock formalism, namely by identifying the extended Hückel eigenvalues with a different type of SCF quantity whose sum varies in an exactly parallel manner as the total energy  $E_T$ .

In particular one can define a quantity  $\epsilon_i'$  (which is distinct from but not totally unrelated to the  $\epsilon^{\rm ICSCF}$  quantities of Davidson discussed in section II.A.3) such that

$$\epsilon_i' = \epsilon_i + \Delta (V_{nn} - V_{ee})/N \tag{13}$$

where  $\epsilon_i$  is the conventional Koopmans' theorem-related canonical orbital energy, N is the total number of electrons in a given system, and  $\Delta(V_{nn} - V_{ee})$  is the change in the nuclear-electronic repulsion difference relative to its value at some fixed geometry. It is readily seen from eq 2 that  $\Sigma \epsilon_i'$  must possess equal derivatives to those of the total energy  $E_{\rm T}$  with respect to all possible geometrical variables, regardless of the reference nuclear conformation chosen, since the two quantities differ by only an additive constant.<sup>64</sup> Furthermore, choosing the reference nuclear arrangement to be that corresponding to equilibrium for the molecule in question obviously ensures that the  $\epsilon_i'$  quantities satisfy Koopmans' theorem exactly at this important geometry. Indeed, since the term  $\Delta(V_{nn}$  V<sub>ee</sub>) / N in eq 13 is quite generally found to be relatively small in absolute magnitude,65 even for structures which differ greatly from the equilibrium nuclear arrangement, it follows that the discrepancies between corresponding  $\epsilon_i'$  and  $\epsilon_i$  results should never be particularly large in comparison with typical values observed experimentally for molecular ionization potentials.

Clearly, if the EHT calculations were able to produce good approximations to the  $\epsilon_i'$  values of eq 13, the resulting potential curves and *vertical* ionization energies

would necessarily be of equivalent accuracy to the corresponding data derived from nonempirical SCF calculations, even to the Hartree–Fock limit. Of course, the results actually obtained with the EHT calculations of Allen and Russell<sup>26</sup> fall far short of reaching this goal, but the point is that such a set of  $\epsilon_i'$  quantities does exist for any given system, and thus in principle it is possible by skillful parameterization to produce them through the solution of a Hückel-type secular equation. Such a conclusion runs contrary to that reached in the earlier critiques<sup>61,62</sup> of extended Hückel theory, which are now seen to be based on an overly narrow view of the definition of SCF canonical orbital energies.<sup>40</sup>

At the same time it is important not to overlook a fundamental difference between semiempirical models in general and those of the *ab initio* variety in making such comparisons, namely the lack of a well-defined wave function in the methodology of the former. The geometrical predictions of the semiempirical treatments are made first and foremost by *ad hoc parameterization*, whereas those of *ab initio* type generally (but not always)<sup>66</sup> seek this information much less directly by means of *systematic improvement of the wave function* on the basis of the variation principle. If only for this reason it is dangerous to draw very closely any analogy between semiempirical and *ab initio* theories.

It is theoretically impossible, for example, to include correlation effects in nonempirical treatments at the Hartree-Fock level (since a different form of the wave function is needed for this purpose) and thus potential curves generated by such calculations will often times be significantly different from those obtained experimentally (especially for bond-stretching or dissociation processes), but there is absolutely nothing in principle which prevents semiempirical investigations of the Hückel type from attaining perfect agreement with such an experimentally determined quantity provided sufficient freedom is allowed in the parameterization scheme employed. A good example for which the SCF or Hartree-Fock method is quite inadequate for representing a potential curve,67,68 but for which a semiempirical treatment through ad hoc parameterization has been able to arrive at this goal,<sup>69</sup> may be found in connection with the study of the ground-state twisting barrier of ethylene (section III.B.5). In such cases, however, it is not at all realistic to argue that a Hückel-type population analysis necessarily provides an adequate representation of a given molecular charge distribution, *i.e.*, simply because an associated potential curve agrees well with that observed experimentally, since there is no guarantee in principle that the results of such parameterization schemes will be selfconsistent for a wide range of physical observables.

# C. Use of the Canonical $\epsilon_i$ 's to Widen the Applicability of the Mulliken–Walsh Model

There has been a decided tendency in the years following the introduction of the Mulliken–Walsh geometrical model to identify it solely with its predictions of certain regularities in the *bond angles* of the *ground states* of molecules belonging to the same classes of systems discussed by Walsh in his original presentation.<sup>2</sup> But it is clear that if there is a sound theoretical basis for this empirical theory, as has been argued in the foregoing portion of this section, such a restrictive view of the applicability of this model fails to grasp the inherent versatility of its method. There should be no question that the goal of any attempt to give quantitative substance to the interpretations of Walsh's rules is to eventually *generalize its applicability to the study of virtually every molecule in*  any of its electronic states as it undergoes any and all types of geometrical changes. How to proceed in accomplishing this task is the subject of the remaining part of this section.

# 1. Possible Extensions

# a. Quantitative Reliability

The original presentation of the MW model<sup>1,2</sup> is necessarily qualitative in detail, particularly in its emphasis upon merely predicting an appropriate range for the equilibrium internuclear angle (particularly bent vs. linear) of a given system. Yet in many applications it is just as important to have a good idea of the *slope* or *curvature* of a given potential curve as well as of the location of its minima. Quantitative calculations should not only be able to predict details of this sort but also to answer basic questions about *why* distinctions in such results occur from one system to another. In particular the addition of a horizontal correction to the MW model in the form of eq 12 to predict relatively minor geometrical differences should be useful in this connection.

# b. Generalized Geometrical Variations

Although the discussion in Walsh's series of papers<sup>2</sup> is well nigh confined to the predictions of changes in equilibrium angles for various tri- and tetratomic systems, it should be clear from section II.B.2 that such a limitation is by no means an intrinsic feature of this model. In particular, one can use the differential form of Koopmans' theorem (eq 9) to make guite useful predictions about equilibrium bond lengths of whole series of molecules based on the SCF results (total energy and canonical orbital energies) of only one such species. No bondstretching correlation diagrams were included in any of the original writings<sup>1,2</sup> (although such geometrical changes were considered), but yet ab initio SCF calculations<sup>44</sup> indicate that the same types of general features are observed for the variation of canonical orbital energies with bond distance within large classes of systems as have been widely discussed in connection with molecular bending motions. Even more convincingly perhaps, examination of the pertinent experimental data indicates that trends in equilibrium bond lengths with changing numbers of electrons within a class of molecules are every bit as regular as those noted by Mulliken and Walsh for the internuclear angles of the same systems.

Nor in principle is it necessary to restrict application of the original model to such relatively simple geometrical changes as bond stretching or angle bending; a correlation diagram for *any geometrical path*, no matter how complicated, can be used to predict trends for analogous nuclear displacements *within complete series of molecules* and *not just for the system of immediate interest*. Thus it has been possible to relate the geometries of ethane, diborane,<sup>22</sup> and ammonia-borane<sup>70</sup> by means of a correlation diagram constructed from *ab initio* SCF results for only one of them and in a similar manner to study the barriers to dis- and conrotation for large groups of hydrocarbons.<sup>71-73</sup>

#### c. Molecules Containing Elements of the Second and Higher Rows of the Periodic Table

Mulliken and Walsh both noted the fact that the geometrical trends indicated in the various orbital energy correlation diagrams seem to be related almost exclusively to valence electron effects. Nevertheless, rather large differences in the equilibrium geometries of *isoval*ent molecules containing elements of different rows of the periodic table have obscured the basic accuracy of this aspect of the model. The discussion of section II.B.3 indicates, however, that most of the resulting confusion in this regard is intimately connected with the failure of the original model to account for differences in electronic charge distributions of isovalent systems possessing different numbers of filled inner shells. Once these effects have been taken into account, as, for example, by application of eq 12, it seems clear that the operation of the original model remains in tact, particularly as long as the systems being compared contain atoms which center for center belong to the same row of the periodic table (CS<sub>2</sub> and BCl<sub>2</sub><sup>+</sup>, for example).

# d. Determination of the Favored Arrangement of Nuclei in Polyatomic Systems

No mention seems to have been made in the original Mulliken–Walsh presentation of the possibility of using this geometrical model to predict the *order* of atoms preferred in a given polyatomic system, but examination of *ab initio* SCF results<sup>74</sup> for NNO and NON (both of which prefer linear geometries) suggests that this important question can also be resolved quite satisfactorily in a manner consistent with this simple theory (see also section III.C.2).

#### e. Geometries of Excited States

It is interesting that, despite the almost exclusive attention generally given to the geometrical predictions of the MW model for molecular ground states, the fact remains that in the original presentation of this theory<sup>1,2</sup> the dominant consideration by far is upon the shapes of systems in their electronically excited states. The main reason for the reluctance upon the part of many investigators to apply the results of a priori calculations to this important subject of the geometries of electronically excited molecules seems to be connected with some observations made concerning certain properties of unoccupied (or virtual) orbitals resulting from ab initio SCF calculations.75-78 at least those in which large basis sets are employed. The problem with such orbitals is simply that they do not represent satisfactory approximations to upper-valence MO's which result from calculations in which these species are actually occupied; the virtual orbitals are generally much too diffuse for this purpose (unless of course a very restrictive basis is employed which does not contain diffuse species in the first place). There is a very simple solution to this dilemma, however, and that is simply to recall that in order for the original form of Koopmans' theorem to be useful it is extremely important that the variational orbitals of the ion be represented quite faithfully by those of the corresponding neutral system. In the present context this observation, coupled with the fact that occupied orbitals and particularly the shapes of their orbital energy curves retain their basic features to a very large extent regardless of the actual system for which they are calculated,  $^{\rm 24,79}$  leads very easily to the conclusion that virtual orbitals need never be considered in applications of the MW model; instead it is merely necessary to construct the required correlation diagrams exclusively from occupied canonical orbital energy curves. Thus the examples of section II.B.2 show quite clearly that one can take such occupied orbital energy curves for 24-electron ozone<sup>80</sup> to make guite reasonable predictions about both ground- and excited-state potential curves of the 22-electron system  $N_3^-$ . More generally, if it is desired to construct a satisfactory correlation diagram for a given molecular family from a series of SCF calculations for a single member, it is only necessary to carry out the required computations for a saturated system of this type, i.e., one for which all valence MO's are fully occupied (Rydberg orbitals can be ignored as usual since systems in which these species are occupied are treated quite satisfactorily as if they were positive ions). Nevertheless, as the aforementioned ozone- $N_3^-$  example demonstrates, it is by no means necessary to restrict the calculation of generalized correlation diagrams in this manner since it is only the shapes (and not the spacing) of the orbital energy curves which are essential in making the geometrical predictions of the MW model; and this information can be obtained just as effectively in a piecemeal fashion from computations for several systems (in which one or the other of the higher lying orbitals is occupied in a given case) as it can if all the orbital energy curves are obtained for the same (saturated) system. In summary, the overwhelming weight of experience from ab initio calculations on this subject quite consistently supports the conclusion that as far as application of the differential form of Koopmans' theorem is concerned, it is really immaterial which set of canonical orbital energy curves is chosen, as long as each corresponds to an occupied molecular orbital in the state for which it is calculated.

At the same time, since the values of Coulomb and exchange integrals<sup>81</sup> are generally much less sensitive to geometrical changes than those of canonical orbital energies, there is considerable justification in removing the former quantities from consideration in such an idealized geometrical model. The success of this approximation may be judged from the comparison of various Koopmans' theorem and SCF total energy potential curves given in section II.B.2, for which cases successive applications of eq 9 are actually required.82 On the other hand, it can certainly be anticipated that such a convenient state of affairs may not always exist in practice, in particular, that the shapes of potential curves for different multiplets of the same electronic configuration may differ significantly from one another. But again such deviations from ideality do not represent an extraneous feature introduced by the use of SCF canonical orbital energies in an attempt to obtain a quantitative realization of the empirical theory but rather the result of an inherent deficiency in the original MW model itself (and indeed in the more well-defined Hückel-type treatments of electronically excited states, which make no distinctions whatsoever between multiplets arising from the same electronic configuration).

#### f. More General Classes of Systems

It is obvious from the original presentation of the MW model that its applicability is not restricted to the same classes of systems for which correlation diagrams were explicitly given. The main problem with extending the utility of the model in this way seems to be the proliferation of molecular classes which occurs upon expanding the discussion to systems with greater and greater numbers of constituent nuclei. Examination of the early work of Mulliken<sup>33</sup> and Hund,<sup>34</sup> as well as of orbital charge densities resulting from *ab initio* SCF calculations, indicates rather clearly, however, that complications resulting from considerations of this type are alleviated greatly because of the peculiar characteristics exhibited by hydrogen atoms as they participate in molecular bonding, a subject which is discussed in the following subsection.

#### 2. Organizing Principle

A fairly elementary analysis by means of standard group theoretical techniques<sup>83</sup> suggests that a quite

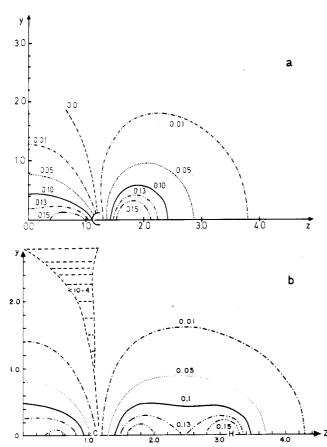


Figure 13. Charge density contours of the  $3\sigma_g$  MO in  $C_2$  (a) and in  $C_2H_2$  (b), respectively.

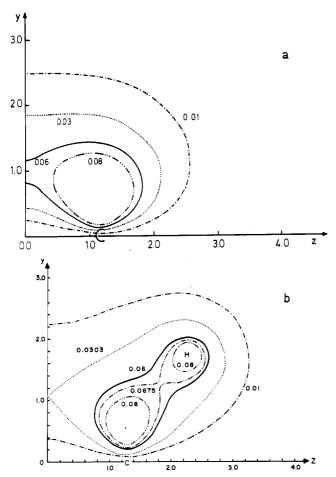


Figure 14. Charge density contours of the  $1\pi_u$  MO in C<sub>2</sub> (a) and of the corresponding  $\pi_u$ -type (1b<sub>2u</sub>) orbital in C<sub>2</sub>H<sub>4</sub> (b).

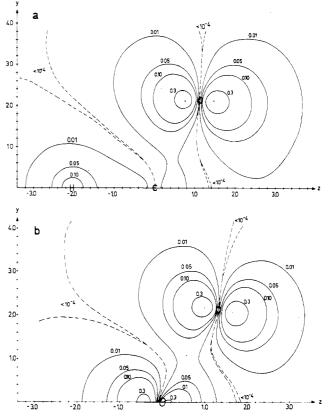


Figure 15. Charge density contours of the  $6a_1$  MO in HCOO<sup>-</sup> (a) and in O<sub>3</sub> (b), respectively.

basic relationship exists between the molecular orbitals of simple diatomic molecules and those of related isoelectronic hydrogen-containing systems such as ethylene and diborane.84 Comparison of pertinent orbital charge density diagrams has shown<sup>85</sup> that such similarities actually go far beyond the realm of pure theory, having their effect on the most basic details of the electronic structures of these molecules. The calculated charge densities for the  $3\sigma_g$  MO's of C<sub>2</sub> and C<sub>2</sub>H<sub>2</sub>, shown in Figures 13a and 13b, respectively, provide a clear example which demonstrates this point; even though the effect of the constituent atoms is apparent in the C<sub>2</sub>H<sub>2</sub> plot, it is clear that the basic character of both of these  $3\sigma_g$  species is determined by a common element, namely the presence of the p $\sigma$  carbon AO's of each system. The  $\pi$  MO's of acetylene and  $C_2$  are even more similar (as a result of the group theoretical consequences of linear C<sub>2</sub>H<sub>2</sub> equilibrium ground-state geometry), but even in hydrogencontaining systems of lower nuclear symmetry it is easy to identify MO's of considerable  $\pi$  character (see Figure 14a,b). Furthermore, the similarity between corresponding MO's (for systems with and without hydrogens) heightens as the atomic number of the heavy atom increases, so much so, in fact, that in  $H_2O$  (see section III.A.1) th hydrogen nuclear positions are not even marked by maxima in the various orbital charge density plots. Similar relationships have been found between corresponding MO's containing three (or more) heavy atoms as, for example, in the case of comparison  $^{86}$  of the  $6a_1\,$ MO of ozone O<sub>3</sub> and that of the formate ion HCOO<sup>--</sup> (Figure 15a.b).

In a very real sense then, it becomes possible to interpret the results of calculations for systems containing hydrogen atoms in a very similar manner as for molecules with no constituent hydrogens but with a similar skeleton of heavy (nonhydrogenic) species. In this way it is possible to penetrate the rather superficial barriers placed between the studies of inorganic and organic molecules, respectively, in the original presentation of the MW model.<sup>2</sup> Specifically such a procedure<sup>87</sup> has succeeded in explaining the existence of such *cyclic* isomers as 16-valence-electron cyclopropene<sup>88</sup> and 18-valence-electron cyclopropane<sup>89</sup> in a manner which is *entirely consistent with the application of the original MW model* (without the use of any horizontal correction whatsoever), even though the latter theory implies strongly that the *ground states* of corresponding *isovalent* AB<sub>2</sub> molecules (such as N<sub>3</sub><sup>-</sup> and O<sub>3</sub><sup>24</sup>) invariably possess equilibrium structures which are decidedly noncyclic.

Consequently, in the following section dealing with applications of the geometrical model discussed above, classification of the various molecular systems will be made according to the number of their constituent nonhydrogenic atoms. At the same time it will be important to keep in mind certain well-known relationships between classes of molecules with different numbers of heavy atoms, as exemplified by the well-known observation that fluoro-substituted systems invariably have the same general shape as their hydrogen-containing analogs (AH2 vs. AF<sub>2</sub> systems, for example). In general a serious attempt will be made in what follows to define as carefully as possible the very real similarities that exist between various molecular classes (as defined by Walsh<sup>2</sup>) and thereby demonstrate that the methodology of the MW geometrical model can be summarized in terms of a much smaller set of orbital energy correlation diagrams than was originally claimed.

# III. Applications

# A. Molecules Containing One Nonhydrogenic Atom

# 1. AH<sub>2</sub> Systems

The original paper by Walsh<sup>2</sup> on the shapes of  $AH_2$  molecules deals exclusively with the question of internuclear angles of such systems. In view of the discussion in the preceding section, however, it seems useful now to examine trends for both the bond distances and bond angles of this group of molecules.

#### a. AH Stretch. A General Study

The general conclusion emanating from the MW model is that molecular geometry depends first and foremost on electronic configuration, but examination of available experimental data for AH bond lengths in various AH<sub>2</sub> systems, as well as in other  $AH_n$  families, shows clearly that this simple principle is certainly not dominant in the study of these geometrical quantities (Table II). Note, for example, that the isoelectronic systems  $BH_2^-$  and  $NH_2^+$ possess bond lengths which differ by at least 0.15 Å while the corresponding quantities for the isovalent pair water and  $H_2S$  differ by approximately 0.35 Å. In fact, a more general survey of the data in Table II (and also for systems containing second-row atoms) indicates that AH bond lengths are determined first and foremost by the identity of the heavy atom in such molecules, and only secondarily by the number of electrons (or electronic configuration).

To understand why such trends occur, it is quite useful to examine some orbital charge density contours<sup>90</sup> for various MO's of a typical  $AH_2$  system such as water (given in Figure 16); these data should be compared with a fairly standard LCAO description of such orbitals, patterned after the original work of Walsh<sup>2</sup> (Figure 17). While the latter diagrams generally give the impression that the H<sub>2</sub>O charge distribution consists of *three distinct* 

TABLE II. AH Bond Lengths for a Number of Systems Containing a First-Row Central Atom<sup>a</sup>

No. <sup>b</sup>	Li	Be	В	С	N	0	F
2	LiH	BeH+					
	1.595	1.312					
3		BeH	BH+				
		1.343	1.215				
4		BeH <sub>2</sub>	BH	CH+			
		(1.34)	1.232	1.131			
			BH₂+				
			(1.22)				
5			$BH_2$	СН	NH÷		
			1.18	1.120	1.084		
				$CH_2^+$			
				(1.12)°			
6		BeH₃⁻	$BH_2^-$	$CH_2$	NH	OH+	
		(1.34)	(1.24)	$1.078^{d}$	1.038	1.029	
			BH₃	CH₃≁	$NH_2^+$		
			(1.19)	(1.08)	(1.07)		
7				CH₃	$NH_2$	ОН	
				1.079	1.024	0.971	
8			BH₄⁻	CH₃ <sup>–</sup>	$NH_2^-$	OH-	HF
			1.25	(1.10)°	1.03	0.984	0.917
				CH₄	NH₃	H₂O	
				1.094	1.017	0.956	
					NH₄+	H <sub>3</sub> O+	
					1.031	0.96	

<sup>a</sup> Experimental values are taken trom ref 101, 133, and G. Herzberg, "Molecular Spectra and Molecular Structure," Vol. 1, Van Nostrand Princeton, N. J., 1966; values resulting from *ab initio* treatments (actually calculated or only estimated) are given in parentheses. All values are given in Å.<sup>b</sup> Number of valence electrons. <sup>c</sup>C. F. Bender and H. F. Schaefer, III, J. Mol. Spectry., **37**, 423 (1971). <sup>d</sup> Reference 106. <sup>e</sup> R. E. Kari and I. G. Czismadia, J. Chem. Phys., **50**, 1443 (1969).

maxima corresponding to the various nuclear centers, the orbital and total charge densities actually calculated for this system seem better described in terms of a model of a single central atom with a spherical distribution of electrons which is only slightly perturbed by the presence of the off-center protons. The electronic charge distribution of such a molecule is apparently not altered greatly by the relative motion of the exterior protons, in distinct contrast to what might be expected on the basis of the usual LCAO description of such a process (Figure 17). This conclusion is, in fact, borne out quite well by the results of Hartree-Fock-Roothaan calculations<sup>91</sup> for NeH<sup>+</sup> dissociation, which show that the location of the center of negative charge for this system changes by only about 0.04 bohr (0.02 Å) as  $R_{\rm NeH}$  is varied from 4.50 to 1.83 bohrs (the equilibrium bond distance of this system).

The accuracy of the foregoing description of AH bonding may be judged from the results of an empirical model given by Platt,92 which has been very successful in predicting both internuclear distances and stretching force constants for neutral diatomic hydrides. In the Platt model the major premise is very simply that the electronic density of the united atom in such systems is not significantly affected by removal of a proton from the central nucleus, an assumption that is obviously in quite good agreement with the aforementioned NeH<sup>+</sup> result, as well as with the less specific information given by the H<sub>2</sub>O orbital and total charge densities (Figure 16). Such a model for the electronic structure of these molecules clearly implies that all terms in the Hamiltonian, such as electron repulsions and kinetic energies, which depend solely on the distribution of the electrons in a given system, are not significantly changed by variations in AH distances (at least in the neighborhood of equilibrium).

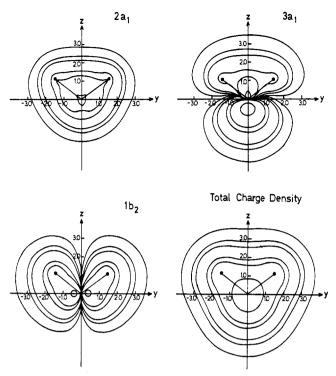


Figure 16. Total and various orbital charge density contours for  $H_2O$  in its ground-state equilibrium nuclear arrangement.

The nuclear attraction terms, on the other hand, should be much more sensitive to the approach of the exterior protons.

In terms of the horizontal correction to the MW model (eq 12), this conclusion suggests quite strongly that the orbital energy sum term (which contains nuclear attraction contributions; see eq 1) will be more sensitive to RAH variation for a relatively contracted charge distribution than for one which is more diffuse. By contrast, since the electron repulsion term Vee is relatively independent of  $R_{\rm AH}$  regardless of the nature of the (roughly spherical) charge distribution favored by a given system, it is reasonable to expect that this quantity will not be a significant factor in determining differences in AH bond lengths between isovalent systems. From these observations one is led to expect that for an isovalent series  $\Sigma \epsilon_i$ (which quite generally favors molecule formation<sup>44</sup>) decreases faster with the approach of the protons as the atomic number of the central atom increases across a row of the periodic table or as it decreases along a column (in both of which cases the valence charge distribution becomes progressively more contracted).

Such a conclusion is borne out quite clearly by the results of ab initio SCF calculations<sup>44</sup> for the isoelectronic systems NH2<sup>+</sup> and BH2<sup>-</sup>, whose AH stretching correlation diagrams are shown in Figures 18a and 18b, respectively. From these results it is apparent that the NH2+ orbital energy curves all decrease sharply toward smaller internuclear separations while those of BH<sub>2</sub><sup>-</sup> (with a less contracted charge distribution at the central atom) are much less sensitive to such geometrical variations. It is certainly not surprising then that the calculated44 bond distance for  $NH_2^+$  (1.07 Å) is considerably smaller than that for isoelectronic  $\mathsf{BH}_2^-$  (1.296 Å).93,94 At the same time these results indicate quite clearly that geometrical changes which occur upon ionization of these two systems are quite different, since the aforementioned distinctions in the shapes of corresponding orbital energy curves become of direct physical significance as a consequence of the differential form of Koopmans' theorem (eq 9). Removal of electrons from the 3a1 MO, for exam-

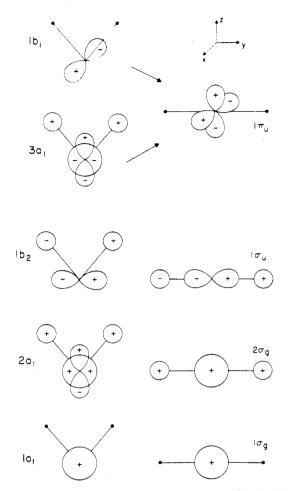


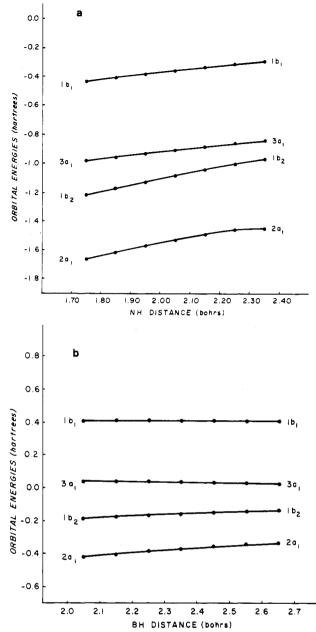
Figure 17. Schematic diagram representing the MO's of a linear and bent  $AH_2$  molecule, respectively.<sup>21</sup>

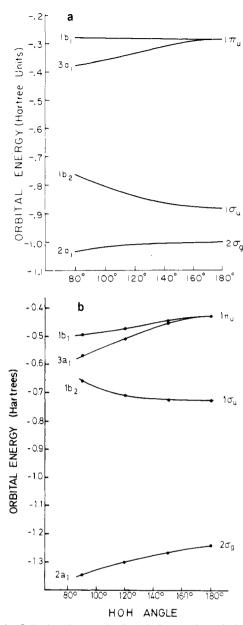
ple, leads to a decided increase in bond length in the case of  $NH_2^+$  (for which this species is strongly bonding) but to a *slight decrease* in the case of  $BH_2^-$  (for which the  $3a_1$  is somewhat antibonding).

From these considerations it is seen that neither the Platt<sup>92</sup> nor the MW (Koopmans' theorem) model is entirely successful in describing the essential nature of the bond length trends observed experimentally (see Table II) for  $AH_2$  systems (and  $AH_n$  species generally). The more easily generalizable theory of Mulliken and Walsh is inadequate because it does not account for differences in nuclear attraction from one heavy-atom charge distribution to another, while that of Platt (at least in its original form<sup>92,95</sup>) is unsatisfactory for the prediction of bond length changes upon ionization. When both of these effects are taken into account (through application of eq 9 and 12, respectively), the somewhat unruly trends of Table II become much more understandable. The rather unusual relationships contained therein are seen to be based on a combination of two fortunately rare circumstances: the need for a large horizontal correction to the MW model as a result of the surprising constancy of the molecular charge distribution upon AH stretch (see Figure 16) and, secondly, the fact that the shapes of orbital energy curves for AH stretching are guite sensitive to the nature of the central atom (as in Figure 18a,b).

#### b. HAH Bending

Even though the original MW model is somewhat unsatisfactory for the description of *bond length trends* in the  $AH_2$  family of molecules, it is much more successful in the prediction of the equilibrium angles of such sys-





**Figure 18.** Calculated canonical orbital energies of the  $NH_2^+$  (a) and  $BH_2^-$  (b) ground states, respectively, as a function of the corresponding AH bond distances.<sup>21</sup>

tems, ultimately providing the often-mentioned rules<sup>2</sup> for relating the gross shapes of any given AH<sub>2</sub> member to its electronic configuration (systems with four valence electrons are linear in their ground states, while those with six to eight, respectively, are bent with roughly the same bond angle). As usual, ab initio SCF calculations have had no difficulty<sup>21,94</sup> in producing correlation diagrams (employing canonical orbital energies) which closely approximate that given empirically by Walsh<sup>2</sup> for general AH<sub>2</sub> systems (compare Figure 1a); the only real exception occurs in the case of the  $2a_1$  species (see Figure 19a,b), whose orbital energy decreases with angle contrary to what Walsh has predicted in his diagram (Mulliken<sup>96</sup> had pointed out the error in Walsh's assumption in this case long before the above-mentioned SCF calculations were carried out). Furthermore, it is easily demonstrated that if one uses the SCF total and orbital energy results for virtually any member of this series in a given state, potential curves which are in complete agreement with the predictions of the MW model can be constructed

Figure 19. Calculated canonical orbital energies of the  $BH_2^+$  (a) and  $H_2O$  (b) ground states, respectively, as a function of internuclear HAH angle.

by means of eq 9 for any other electronic configuration commonly exhibited by the  $AH_2$  systems as a group.

Nevertheless, closer examination of the aforementioned SCF results<sup>21,94</sup> for a rather large series of AH<sub>2</sub> systems indicates that the MW model is not completely satisfactory for the prediction of all such bond angle trends, as must, of course, be expected from the fact that not all members of this family possessing the same number of valence electrons exhibit exactly the same internuclear angle in their respective ground states. Note, for example, the discrepancy between the shapes of the  $1b_1$  (and also  $1a_1$ , not shown) orbital energy curves for  $BH_2^+$  and  $H_2O$ , respectively, in Figure 19a,b; the curve in question has a definite downward slope in the case of  $H_2O$ , whereas for  $BH_2^+$  the corresponding species remains very nearly constant with change in internuclear angle.97 The original diagram of Walsh asserts that the 1b1 energy does remain constant for a general AH2 system, and the argument given for this behavior is widely accepted:<sup>59</sup> the  $1b_1$  is constructed solely from a  $2p_x$  AO (perpendicular to the AH2 plane) for all internuclear angles, and hence its binding energy should be independent

of said geometrical variable. The present authors have shown,<sup>98</sup> however, that this argument is only valid for the kinetic and nuclear attraction contributions to the 1b<sub>1</sub> (or 1a<sub>1</sub>) orbital energy, that is, for the terms which depend only on the charge distribution of this MO; the average electron repulsion of such an orbital, however, may vary with angle since this quantity by definition (see eq 1, with the repulsion sum over occupied orbitals) depends not only on the charge distribution of the orbital itself but also quite obviously on that of each of the other MO's of the system. The calculated results for H<sub>2</sub>O (but not for BH<sub>2</sub><sup>+</sup>) show indeed that such variations in the 1b<sub>1</sub> (and also 1a<sub>1</sub>) electron repulsion energy with  $\angle$  HAH bending can, in fact, be substantial.

From a correlation diagram constructed for BH2<sup>-</sup> (see Figure 1b of ref 21), it is apparent that the  $1b_1$  and  $1a_1$ orbital energies of this system also decrease with bending (although not as much as in H<sub>2</sub>O); from these results it can be concluded that such behavior occurs only if the 3a1 MO is occupied in the system. This conclusion seems quite reasonable in light of the charge density contours of the 3a1 species (Figure 16) which show quite clearly that this orbital is not as symmetrically placed with respect to the 1b<sub>1</sub> (or 1a<sub>1</sub>) for  $\angle$  HAH = 105° as it is for linear geometry (in which case it is a pure  $p\pi$ species). Furthermore, the fact that the 1b1 and 1a1 orbital energies decrease with  $\angle$  HAH whenever the  $3a_1$ MO is occupied indicates unambiguously that the electron repulsion interaction between the former MO's and the latter is also decreasing with internuclear angle. The observation that this trend becomes increasingly stronger from  $BH_2^-$  to  $NH_2^+$  (Figure 1a of ref 21) to  $H_2O$  indicates in addition that the effect depends significantly on the character of the central atom (see also the preceding discussion on AH stretch).

All this concern over some relatively minor change in the 1b1 and 1a1 orbital energies might be completely neglected were it not for the fact that changes of precisely the magnitudes under discussion do have an important effect on the interpretation of the molecular structure of AH<sub>2</sub> systems. Despite the fact that the two bent systems  $NH_2^+$  and  $BH_2^-$  are isoelectronic, for example, actual SCF calculations<sup>21</sup> find that their bond angles differ by a relatively wide margin<sup>99</sup> ( $\angle$  HNH for NH<sub>2</sub><sup>+</sup> is 123°,  $\angle$  HAH for BH<sub>2</sub><sup>--</sup> is 103°). Furthermore, the direction of such a discrepancy is the opposite of what would be expected on the basis of  $\Sigma \epsilon_i$ , which quantity clearly decreases faster toward smaller  $\angle$  HAH for NH<sub>2</sub><sup>+</sup> than for BH2<sup>-</sup>. Reference to eq 12 therefore shows unequivocally that this large difference in equilibrium angle must be attributed in the main to electron repulsion effects. From the above discussion it follows that  $V_{ee}$  for  $BH_2^-$  does not decrease as fast with bending as does the analogous  $quantity^{100}\ for\ isoelectronic\ NH_2^+,$  and hence the additive potential term (-  $\Delta V_{ee}$ ) is decreasing from NH<sub>2</sub><sup>+</sup> to BH2<sup>-</sup>, thereby causing the smaller equilibrium angle for the latter molecule.47

The electron repulsion effect also turns out to be the dominant factor in producing the well-known difference in bond angles between the isovalent pair H<sub>2</sub>O ( $\angle$ HOH = 104.52°) and hydrogen sulfide, H<sub>2</sub>S ( $\angle$ HSH = 92.2°).<sup>101</sup> Examination of *ab initio* SCF calculations for these two systems<sup>99,102</sup> shows that  $\Sigma \epsilon_i$  for H<sub>2</sub>O decreases *faster* with bending than the corresponding quantity for H<sub>2</sub>S, so that an analysis based strictly on this quantity leads to the opposite (and clearly wrong) conclusion about which of the two systems is more bent. In the sense of eq 12 then, the reason that water has a larger equilibrium angle lies first and foremost in the fact that the electron repul-

sion  $V_{ee}$  decreases faster with diminishing HAH angle for this system (with its much more contracted valence charge distribution) than for H<sub>2</sub>S (with a more diffuse electronic distribution); the parallel between the NH<sub>2</sub><sup>+</sup>-BH<sub>2</sub><sup>-</sup> comparison and the present one for H<sub>2</sub>S and H<sub>2</sub>O is guite apparent.

One of the interesting aspects of the foregoing calculations for H<sub>2</sub>O and H<sub>2</sub>S is the fact that inclusion of d-type orbitals in the basis set is found to have a much greater effect on the geometry of the system with the first-row central atom than for that containing the second-row species.103 Such results clearly run contrary to heuristic speculations that the smaller H<sub>2</sub>S angle comes about because the hybridization in this system is characterized by greater use of d AO's than that which is optimum for H<sub>2</sub>O. In addition it is worth noting that while 3d functions can be used in producing a necessary change in calculated equilibrium angle to agree with that observed experimentally for H<sub>2</sub>O, the same effect can be achieved by substituting s-type functions located midway between the respective pairs of O and H atoms.90 Such results emphasize that the role of the d functions in the aforementioned H<sub>2</sub>O calculation is not unique and hence is better described as a polarization effect rather than one of hybridization; the latter term would better be reserved for situations in which the population of d AO's is of the same magnitude as those of s and p type, a circumstance which is definitely not a characteristic of the electronic structure of either H<sub>2</sub>O or H<sub>2</sub>S.

The fact that the orbital energy of the 1b1 MO decreases with molecular bending (at least when the 3a1 is occupied) is more directly observable from several other experimental results. A Koopmans' theorem analysis based on the SCF results for water, for example, indicates that the  ${}^{2}B_{1}$  ground state of  $H_{2}O^{+}$  (obtained by ionization from the 1b1 MO) should possess a significantly larger equilibrium angle (by approximately 15°) than that of the un-ionized system. Studies of the various Rydberg series originating from the 1b1 in the electronic spectrum of H<sub>2</sub>O, in fact, confirm this theoretical prediction. Since Walsh<sup>2</sup> assumed the 1b<sub>1</sub> to be nonbonding, he had to rationalize this experimental finding by attributing antibonding character to the quite diffuse Rydberg MO. The present answer, namely that excitation (or ionization) from a bonding orbital (1b1) occurs to the completely nonbonding Rydberg species, is clearly much more consistent with the expected diffuseness of the upper orbital in such transitions.

Similarly, it is found by means of a Koopmans' theorem analysis (eq 9) that a prototype  $AH_2$  system with six valence electrons in a  ${}^{3}B_1$  state (with  $3a_1$  and  $1b_1$  each singly occupied) possesses a bond angle of approximately 140°, in contrast to the 180° value assumed by Walsh<sup>2</sup> on the basis of his original correlation diagram. Again this disagreement is directly traceable to the fact that in the *ab initio* calculations the 1b<sub>1</sub> orbital energy does decrease with bending whenever the  $3a_1$  is also occupied, in contrast to the behavior indicated for this quantity in Walsh's early work. It is now believed<sup>104-107</sup> that the ground state of methylene CH<sub>2</sub> is a  ${}^{3}B_1$  species with an equilibrium angle<sup>108</sup> of 134°, a result which is clearly more consistent with the present interpretation of the 1b<sub>1</sub> orbital as favoring bent geometry.<sup>109</sup>

Despite the emphasis in the foregoing discussion on understanding the nature of certain anomalous features in the shapes of  $AH_2$  systems it should not be overlooked that the simple MW model is remarkably successful in delineating the *basic trends* which occur among the equilibrium internuclear angles in this molecular family. It is

#### TABLE III. Bond Angle Trends in AH<sub>2</sub> Systems<sup>a</sup>

No. <sup>b</sup>	State	General MW trend	Behavior with bending $\Sigma \epsilon_i \qquad \mathbf{V}_{\mathrm{ee}}$	Horizontal correction (eq 12) for atoms A in Same row Same group	Examples
2	2a <sub>1</sub> <sup>2</sup> <sup>1</sup> A <sub>1</sub>	Strongly bent (2a1 occupied)	Decreases faster Very little with more con- change tracted charge density (bent trend)	More bent Less bent with increasing atomic number ( $\Sigma_{\epsilon_i}$ dominant)	LiH2 <sup>+</sup> , very small angle
3	2a <sub>1</sub> ²1b <sub>2</sub> ²B <sub>2</sub>	Very weakly linear (1b2 singly occ)	Very little change	Quite small	LiH <sub>2</sub> , BeH <sub>2</sub> +, BH <sub>2</sub> <sup>2+</sup> , all weakly linear
4	$2a_1^2 1b_2^2$ $^1A_1$	Linear (1b <sub>2</sub> doubly occ)	Increases somewhat faster with more contracted charge den- sity (linear trend) (bent trend)	Somewhat More Less strongly linear with increasing atomic number	LiH2 <sup></sup> , BeH2, BH2 <sup>+</sup> , MgH2, all linear
5	2a <sub>1</sub> <sup>2</sup> 1b <sub>2</sub> <sup>2</sup> 3a <sub>1</sub> <sup>2</sup> A <sub>1</sub> 2a <sub>1</sub> <sup>2</sup> 1b <sub>2</sub> <sup>2</sup> 1b <sub>1</sub> <sup>2</sup> B <sub>1</sub>	Bent (115-140) (3a1 singly occ) Linear (1b1 energy independent of angle with 3a1 not occ)	Decreases faster with more con- tracted charge density (bent trend) (linear trend)	Less bent More bent with increasing atomic number (Vee dominant) n 4 valence electrons	BeH <sub>2</sub> <sup>-</sup> (115-125), BH (131), CH <sub>2</sub> <sup>+</sup> (140), AIH <sub>2</sub> (119) BeH <sub>2</sub> <sup>-</sup> , BH <sub>2</sub> , CH <sub>2</sub> <sup>+</sup> , AIH <sub>2</sub> , all linear
6	2a <sub>1</sub> <sup>2</sup> 1b <sub>2</sub> <sup>2</sup> 3a <sub>1</sub> <sup>2</sup> <sup>1</sup> A <sub>1</sub>	Strongly bent (3a1 doubly occ)	Same as for <sup>2</sup> A <sub>1</sub> with 5 electrons,	except that effects are enhanced	$\begin{array}{c} BH_2^{-} \ (100), \ CH_2 \ (105) \\ NH_2^{+} \ (115120), \\ H_2O^{2+} \ (140160), \\ SiH_2 \ (9095), \\ H_2S^{2+} \ (95100) \end{array}$
	2a <sub>1</sub> ²1b <sub>2</sub> ²3a <sub>1</sub> 1b <sub>1</sub> [³B <sub>1</sub> ]¢	Weakly bent (125– 180)	Decreases faster with more con- tracted charge density (bent trend) (linear trend)	Same as for <sup>2</sup> A <sub>1</sub> with 5 valence electrons	BH <sub>2</sub> <sup>-</sup> (125-130), CH <sub>2</sub> (134), NH <sub>2</sub> <sup>+</sup> (145- 155), H <sub>2</sub> O <sup>2+</sup> (160- 180), SiH <sub>2</sub> (120-125) H <sub>2</sub> S <sup>2+</sup> (125-135)
7	2a <sub>1</sub> ²1b <sub>2</sub> ²3a <sub>1</sub> ²1b <sub>1</sub> ²B <sub>1</sub>	Strongly bent (1b <sub>1</sub> has bent ten- dency)	Decreases faster with more con- tracted charge density (bent trend) (linear trend)	Same as for <sup>2</sup> A <sub>1</sub> with 5 valence electrons	
	2a <sub>1</sub> ²1b <sub>2</sub> ²3a <sub>1</sub> 1b <sub>1</sub> ² ²A <sub>1</sub>	Bent (120–160)	Same as for <sup>2</sup> B <sub>1</sub> with 7 valence ele trends	ctrons but with less strongly bent	
8	2a <sub>1</sub> ²1b <sub>2</sub> ²3a <sub>1</sub> ²1b <sub>1</sub> ² ¹A <sub>1</sub>	Very strongly bent (90–105)	Decreases faster with more con- tracted charge density (bent trend) (linear trend)	Quite small ( $\Sigma_{\epsilon_i}$ More bent with increasing atomic num- cause of 1b1beratomic num- cause of 1b1effect)dominant)	$\begin{array}{l} NH_2^{-} \ (100-105), \ H_2O \\ (104), \ H_2F^+ \ (\sim\!105), \\ H_2S \ (92.2), \ H_2Se \\ (91), \ H_2Te \ (90) \end{array}$

<sup>a</sup> Conclusions based on  $\Sigma_{\epsilon_i}$  and  $V_{ee}$  behavior are made with reference to eq 12 and existing experimental and calculated data. All angles are given in degrees. The notation for the electronic configurations is according to first-row members, *i.e.*, 2a<sub>1</sub> is the first valence MO, <sup>b</sup> Number of valence electrons. <sup>c</sup> Similar trends are quite likely for the corresponding <sup>1</sup>B<sub>1</sub> state.

only when one looks at *subtle differences* which occur between isovalent systems in this class that the need arises to look for modifications of the original model. In this way it is found that (a) orbital energy curves do vary from one system to another, particularly that of the 1b<sub>1</sub> (and also 1a<sub>1</sub>) species, and (b) the electron repulsion term  $V_{ee}$  also varies somewhat differently with angle depending on the nature of the system.

Both effects become more important the more contracted the valence charge distribution, with the  $V_{ee}$  term (because ( $-\Delta V_{ee}$ ) is dominant in eq 12) controlling geometrical distinctions between isovalent systems, but with the orbital energies alone controlling geometrical changes upon ionization (as always because of eq 9). As a result a new set of *supplementary* rules can be developed to explain such *secondary* effects in the geometries of AH<sub>2</sub> systems, with these being summarized in terms of a series of predicted equilibrium angles for a fairly large group of such molecules with first-row central atoms, as given in Table III. In preparing this table the authors have relied heavily on the aforementioned SCF calculations for the  ${}^{1}A_{1}$  states of certain systems with six valence electrons in order to estimate the magnitude of geometrical differences between isoelectronic members of this family; supplementary calculations for BeH<sub>2</sub> and H<sub>2</sub>O together with eq 9 have made many of the other predictions for ions of any given neutral system possible. (In particular it has been assumed that the 1b<sub>1</sub> orbital energy decreases progressively faster with diminishing angle as the atomic number of the central nucleus is increased.) A similar table can be prepared for AH<sub>2</sub> systems with central atoms from higher rows of the periodic table by simply taking account of the general tendency of such molecules to possess smaller equilibrium angles than their first-row counterparts, as discussed above in connection with the H<sub>2</sub>O-H<sub>2</sub>S comparison.

# 2. AH<sub>3</sub> Systems

On the basis of the perturbed sphere model discussed in the preceding section, the interpretation of the geometry of  $AH_3$  molecules is expected to closely parallel that

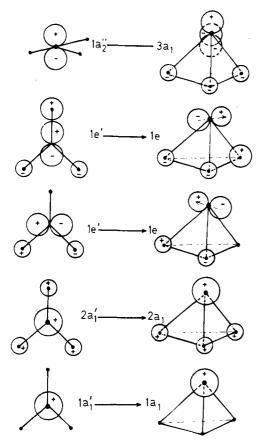


Figure 20. Schematic diagram representing the MO's of pyramidal and planar  $AH_3$  molecules, respectively.

of AH<sub>2</sub> systems, since the presence of an additional hydrogen atom would not seem to change the general bonding characteristics in any significant manner. Such expectations are, in fact, borne out in actual calculations, with orbital charge density diagrams of a typical AH<sub>3</sub> system showing very much the same features as those discussed above for H<sub>2</sub>O (Figure 16); a schematic representation of the AH<sub>3</sub> orbitals in terms of an LCAO expansion is given in Figure 20. The only real difference between the constitution of the MO's in these two classes of systems occurs in the fact that there is no orbital for the AH<sub>3</sub> molecules which remains essentially unchanged with  $\angle$  HAH variation, *i.e.*, one which is completely analogous to the 1b<sub>1</sub> MO for AH<sub>2</sub> species. Indeed both  $p_x$ and p<sub>v</sub> AO's of the central atom are involved in AH bonding (via the 1e degenerate MO of AH<sub>3</sub> systems) and not just the  $p_y$  orbital (as is the case for AH<sub>2</sub> molecules). Otherwise, there is an obvious resemblance between the 1a1, 2a1, and 3a1 MO's of a molecule such as NH3 and the corresponding species (with the identical symmetry labels) in H<sub>2</sub>O.

#### a. AH Stretch

The interpretation of the behavior of AH<sub>3</sub> systems upon AH stretch is virtually identical with that given for the analogous geometrical changes in AH<sub>2</sub> molecules; thus the bond lengths in AH<sub>3</sub> systems are observed to follow very nearly the same patterns that they do in corresponding AH and AH<sub>2</sub> molecules with the same central atom and the same number of electrons (see Table II). Thus the bond distance in ammonia (1.017 Å) is very similar to that in NH<sub>2</sub><sup>--</sup> (1.03 Å) but not especially close to that of either H<sub>2</sub>O (0.957 Å) or HF (0.917 Å), and the CH distances in CH<sub>3</sub><sup>+</sup> and CH<sub>2</sub> are almost identical (approximately 1.08 Å in each case), while the analogous quanti-

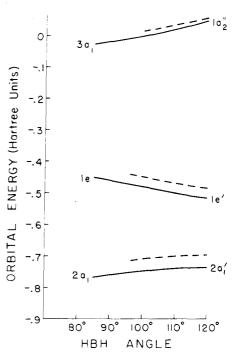


Figure 21. Calculated canonical orbital energies of  $BH_3$  as a function of the HBH angle.<sup>21</sup> (Dashed lines refer to an SCF treatment with a more flexible basis set.)

ty in isoelectronic  $BeH_3^-$  appears to be much larger  $(1.34 \text{ Å}).^{21,101}$  Indeed the view of discussing the origin of bond distance trends in such systems in terms of a perturbed-sphere model is also consistent with the fact that Joshi<sup>110</sup> has been able to obtain quite satisfactory bond distances for various numbers of the AH<sub>3</sub> family strictly on the basis of one-center calculations, that is, SCF treatments which include no hydrogenic basis functions whatsoever. In the case of NH<sub>3</sub>, for example, his calculated value for the NH bond length of 0.984 Å is only slightly worse than the recent near Hartree–Fock result of Rauk, Clementi, and Allen<sup>111</sup> for the same quantity (1.000 Å vs. the experimental value of 1.017 Å).

# b. ∠ HAH Bending

The comparison between ∠HAH bending characteristics in AH<sub>2</sub> and AH<sub>3</sub> molecules does not run quite as parallel as in the case of the AH stretch, mainly because the basic features of the angular orbital energy curves for each component of the 1e MO of AH<sub>3</sub> molecules are quite different from those of the 1b1 species for systems of the AH<sub>2</sub> class (see Figure 21). The situation is also changed somewhat because the  $3a_1$  species (lone-pair orbital) in AH<sub>3</sub> systems is everywhere less stable than any of its valence counterparts, whereas the corresponding  $(3a_1)$  MO in AH<sub>2</sub> molecules is the second least stable orbital of this type (except for linear geometry, in which it is, of course, degenerate with the 1b1 species). As a result AH<sub>3</sub> systems with six valence electrons do not occupy the  $3a_1 MO (1a_2'')$  in planar symmetry) in their ground states, whereas AH<sub>2</sub> systems with the same number of electrons do occupy their 3a1 species at least once (see previous section).

This observation coupled with the knowledge of the shape of the 1e orbital energy curve (see Figure 21) led Walsh<sup>2</sup> to predict that all six-valence-electron AH<sub>3</sub> molecules are planar in their ground states. *Ab initio* SCF calculations<sup>21</sup> for BeH<sub>3</sub><sup>-</sup>, BH<sub>3</sub>, and CH<sub>3</sub><sup>+</sup> find that this supposition is, in fact, correct, with only small differences in the bending force constants of these systems being noted. Nevertheless the fact that the 2a<sub>1</sub> orbital energy

decreases with bending (Figure 21) vitiates Walsh's more general conclusion that  $AH_3$  systems with four or less valence electrons will also be planar. In fact, calculations by the authors<sup>112</sup> for  $BeH_3^+$  (four valence electrons) suggest that this system is already distinctly nonplanar,<sup>113</sup> and there is no question that this trend away from planar structures is merely reinforced by the removal of still more valence electrons. On the other hand, the aforementioned calculations do find (with the help of eq 9) that each of the five-valence-electron species  $BeH_3$ ,  $BH_3^+$ , and  $CH_3^{2+}$  is planar, in agreement with Walsh's original predictions.

For systems with more than six valence electrons, the lone-pair orbital  $3a_1-1a_2''$  becomes occupied, and its increased stability away from the planar conformation (Figure 21) implies a strong trend toward pyramidal (or bent) equilibrium geometries for such molecules. It is, of course, well known that systems in this class with eight valence electrons such as NH<sub>3</sub>, H<sub>3</sub>O<sup>+</sup>, and PH<sub>3</sub> do, in fact, possess nonplanar structures, but the magnitude of the bond angle in a given case varies significantly ( $\angle$  HNH = 107.2°,  $\angle$  HOH = 117° and  $\angle$  HPH = 93.5°, respectively<sup>101</sup>).

The fact that the highest occupied orbital in these systems is so easily identifiable with a lone-pair species suggests rather clearly that the foregoing explanation for the change in geometry which occurs upon its occupation is very closely related to that given for the same phenomenon in terms of the valence bond type of analysis used in the VSEPR structural model<sup>29</sup> (which emphasizes the increased stability of the lone-pair orbital in pyramidal relative to planar nuclear arrangements as a result of diminished repulsion with the corresponding bond-pair species). The same type of comparison between these structural models can be made with regard to their treatment of the shapes of AH<sub>2</sub> molecules and, in fact, Bartell and Thompson<sup>114</sup> have shown on the basis of a more general analysis in terms of the localized orbitals of Ruedenberg and Edminston<sup>115-117</sup> that such a close relationship should be found quite generally.

Nevertheless the VSEPR model appears to hold a definite advantage over the simple MW model in this discussion since it has been used to rationalize the *differences* in the equilibrium geometries of NH<sub>3</sub>, H<sub>3</sub>O<sup>+</sup>, and PH<sub>3</sub>, respectively, whereas its MO counterpart can only assume (at least in its original form) that the shapes of these systems are *identical as a result of their equivalent electronic configurations*. From the discussion of the analogous question in the study of AH<sub>2</sub> systems, however, it is clear how this shortcoming of the MO model can be overcome, that is, by taking advantage of eq 12.

In fact, as should be expected on the basis of the perturbed-sphere model discussed above, it is found that the horizontal correction (in the sense of Figure 12) which must be applied to the MW model to account for such distinctions in the shapes of isovalent AH<sub>3</sub> species is dependent on very much the same factors as have been observed in the case of AH<sub>2</sub> systems. Ab initio SCF calculations by Shih<sup>118</sup> for NH<sub>3</sub> and PH<sub>3</sub>, respectively, indicate once again that it is the electronic repulsion term which is dominant in determining differences in the shapes of such isovalent systems, with  $V_{ee}$  for PH<sub>3</sub> increasing faster with bending than the corresponding quantity for  $\rm N\,H_3$  ( $\rm V_{ee}$  increases still less quickly in  $H_3O^+$ ). Examination of eq 12 shows clearly that this fact tends to make  $PH_3$  more bent<sup>47</sup> than  $NH_3$  (and  $H_3O^+$ less bent than either of the two). The  $\Sigma \epsilon_i$  term produces a counteracting tendency, since it decreases increasingly faster with bending in the order  $H_3O^+ > NH_3 > PH_3$ ,

thereby tending to reduce the magnitude of the structural differences brought about by the  $V_{ee}$  term; just as before for the H<sub>2</sub>O-H<sub>2</sub>S and BH<sub>2</sub><sup>-</sup>-NH<sub>2</sub><sup>+</sup> comparisons, however, this effect is found to be weaker than that caused by the electron repulsion term.

An interesting observation which emerges from this study is that the foregoing explanation is obviously based on the assumption (and the calculated finding<sup>100,118</sup>) that the (total) electron repulsion increases at a faster rate with bending for a system such as PH<sub>3</sub> than it does for a more contracted system such as NH<sub>3</sub>. The VSEPR model, on the other hand, explains the same set of geometrical distinctions by assuming that certain orbital repulsion terms decrease at a faster rate with bending for the identical comparison.<sup>119</sup> While these two assumptions are not completely contradictory, they are also not obviously consistent with one another either, and thus there would appear to be considerable merit in examining the results of ab initio SCF calculations for the molecules under discussion in terms of both the canonical and the localized orbital representations to see how this seemingly paradoxical situation can actually be resolved.<sup>120</sup>

Before closing the discussion of AH<sub>3</sub> geometrical trends, it is well to consider a remaining group of systems in this family, namely those with seven valence electrons. According to Walsh's original presentation,<sup>2</sup> systems of this type should be nonplanar, although not as strongly so as their counterparts with eight valence electrons. If, on the other hand, advantage is taken of calculated canonical orbital energy correlation diagrams for systems with both six<sup>21</sup> and eight<sup>118</sup> valence electrons, the opposite behavior is predicted (on the basis of the differential form of Koopmans' theorem) for almost all such seven-valence-electron systems containing a firstrow central atom; in fact, it is found that the trend toward planarity increases gradually as the electronegativity of the central atom increases, as might well be expected in light of experience with AH<sub>3</sub> systems containing eight valence electrons. Experimentally CH<sub>3</sub> is generally believed to be a weakly planar system,<sup>101</sup> and the foregoing analysis in terms of eq 9 predicts that  $NH_3^+$  is also planar with a significantly greater curvature in its out-of-plane bending potential curve. On the other hand, such calculations suggest that BH3<sup>-</sup> is even less strongly planar than CH<sub>3</sub> (and, in fact, is probably slightly pyramidal), while the doubly negative species BeH32- is indicated to be almost surely nonplanar.

Since PH<sub>3</sub> has a significantly smaller bond angle than ammonia, it follows that eight-valence electron AH<sub>3</sub> species composed of second (or higher) row atoms are much less likely to become planar upon ionization (at least out of the  $3a_1$  MO). Thus  $PH_3^+$  is predicted to be nonplanar in its ground state, although with a larger bond angle than its un-ionized counterpart; the trend toward nonplanarity should increase toward the left of the second row so that SiH<sub>3</sub> is predicted to be pyramidal in its around state with an even smaller internuclear angle than  $PH_3^+$ . If ionization occurs from the 1e MO, the situation will clearly be altered since the orbital energy curve of this species shows the opposite behavior with bending as the  $3a_1$  (see Figure 21). Thus the  ${}^2E$  state of  $PH_3^+$  undoubtedly possesses an even smaller equilibrium angle than PH<sub>3</sub> itself. Despite the fact that the analogous state for  $CH_3$  is reported<sup>121</sup> to possess a planar geometry, there are strong reasons for believing that such a species is actually even more strongly bent than the ground state of CH3<sup>-</sup>, which in turn should be characterized by an internuclear angle of no more than 100° based on the Koopmans' theorem analysis given above. These and

#### TABLE IV. Bond Angle Trends in AH<sub>3</sub> Systems<sup>a</sup>

No. <sup>b</sup>	State	General MW trend	Behavior with bending $\Sigma \epsilon_i \qquad V_{ee}$	Horizontal correction (eq 12) for atoms A in Same row Same group	Examples
4	2a <sub>1</sub> ²1e² ³A₂	Bent (2a1 trend ap- parently stronger than that of 1e)		· · · · · · · · · · · · · · · · · · ·	LiH₃, BeH₃⁺(<90), Y-shaped
5	2a,21e3 2E	Weakly planar (1e triply occ)	Increases Increases somewhat less quickly <sup>c</sup> with more contracted charge den- sity (planar trend) (bent trend)	More strongly planar with increasing atomic number	BeH₃, BH₃⁺, CH₃²⁺, weakly planar, force const in- creases from Be to C
6	2a <sub>1</sub> ²1e⁴ ¹A₁	Strongly planar	Same as for <sup>2</sup> E with 5 va	lence electrons	BeH <sub>3</sub> <sup></sup> , BH <sub>3</sub> , CH <sub>3</sub> <sup>+</sup> planar, force const increases from Be to C
	2aı²1e³3aı ³E	Bent (more than 4- electron species)	Decreases faster° with more contracted charge density (bent trend) (planar trend)	Somewhat Less More strongly bent with in- creasing atomic number (Vee domi- nant)	BeH₃ <sup>-</sup> (90-100), BH₃ (100- 110), CH₃ <sup>+</sup> (110-120), AIH₃ (90-100)
7	2a <sub>1</sub> ²1e⁴3a <sub>1</sub> ²A <sub>1</sub>	Slightly nonplanar to weakly planar (3a₁ occ)	Decreases faster with more contracted charge density (bent trend) (planar trend)	Somewhat More Less strongly planar with increasing atomic number (Vee domi- nant)	BeH <sub>3</sub> <sup>2-</sup> (105-115), BH <sub>3</sub> <sup>-</sup> (110- 115), CH <sub>3</sub> (115-120), NH <sub>3</sub> <sup>+</sup> (120), SiH <sub>3</sub> (105-115), PH <sub>3</sub> <sup>+</sup> (110-120)
	2a <sub>1</sub> ²1e³3a <sub>1</sub> ² · ²E	Strongly bent (3a <sub>1</sub> doubly occ)	Decreases faster with more contracted charge density (bent trend) (planar trend)	Somewhat Less More strongly bent with increasing atomic number (Vee domi- nant)	BeH₃ <sup>2-</sup> ( ≤85), BH₃ <sup>-</sup> (85–90), CH₃ (90–95), NH₃ <sup>+</sup> (95–100), PH₃ <sup>+</sup> (85–90)
8	2a <sub>1</sub> ²1e⁴3a <sub>1</sub> ² ¹A <sub>1</sub>	Bent (3a₁ doubly occ)	Same as for <sup>2</sup> E state with 7	valence electrons	H₃O <sup>+</sup> (118), NH₃ (107), CH₃ <sup>−</sup> (105),⁴ PH₃ (93), AsH₃ (91.8)

<sup>*a*</sup> Conclusions based on  $\Sigma_{\epsilon_i}$  and  $V_{ee}$  behavior are made with reference to eq 12 and existing experimental data. All angles are given in degrees. The notation for the electronic configurations is similar to that in Table III; *i.e.*,  $2a_1$  is the first valence MO. <sup>*b*</sup> Number of valence electrons. <sup>*c*</sup> In general, the expression "decreases faster" is equivalent to "increases slower" or "less quickly". Furthermore, if, for example, the term "decreases faster" is used, it does not always mean that the quantity actually does decrease with the geometrical change, since in some cases the behavior depends on the specific system under consideration;  $V_{ee}$  decreases with bending in NH<sub>3</sub>, for example, but it increases in PH<sub>3</sub>. Thus it is only the *relative* behavior which is really important. <sup>*d*</sup> Footnote e of Table II.

other trends in the geometries of AH<sub>3</sub> systems are summarized in Table IV.

#### 3. AH₄ Systems

No *ab initio* canonical orbital energy correlation diagrams have yet been reported for AH<sub>4</sub> systems, but Saturno<sup>14</sup> has given what appears to be a quite reasonable substitute on the basis of his united atom calculations.<sup>11-13</sup> What is seen from Saturno's diagram is that the triply degenerate t<sub>2</sub> MO of tetrahedral AH<sub>4</sub> systems is split apart into nondegenerate a<sub>2u</sub> and doubly degenerate e<sub>u</sub> species, respectively, upon continuous distortion into a square-planar geometry. The most interesting result of the study is the finding that the aforementioned nondegenerate species becomes *less stable* upon distortion out of the tetrahedral geometry while the companion degenerate MO is made more stable by such geometrical changes.

Removal of electrons from the  $a_{2u}$  species has thus been interpreted by Saturno<sup>14</sup> as resulting in a change from the tetrahedral geometry favored by such eight-valence-electron systems as CH<sub>4</sub> and NH<sub>4</sub><sup>+</sup>. More recently Arents and Allen<sup>122</sup> have reported *ab initio* calculations for CH<sub>4</sub><sup>+</sup> and have, in fact, found that this system is distorted; these authors have related this result directly to the Jahn-Teller effect, but it is clear that it is also quite consistent with Saturno's observations in terms of the MW structural model. Saturno<sup>14</sup> has further predicted that six-valence-electron systems in this class (such as  $CH_4^{2+}$  or  $BH_4^{+}$ ) should possess square-planar geometries, but there is apparently no experimental evidence or *ab initio* SCF calculations either to confirm or deny this supposition. In view of experience with the differential Koopmans' theorem discussed in section II.B.2, however, one might reasonably expect that an analysis of the aforementioned SCF results for  $CH_4^+$  in terms of eq 9 should be capable of producing a reliable answer to this question. More recently, Gimarc<sup>59</sup> has reached many of the same conclusions as Saturno, based on the results of semiempirical (EHT) calculations.

# B. Molecules Containing Two Nonhydrogenic Atoms

# 1. Simple Diatomics and Related Hydrogen-Containing Systems

In the previous section it has been emphasized that to a quite good approximation the MO's of  $AH_2$ ,  $AH_3$ , and  $AH_4$  systems can be described in terms of slightly perturbed atomic orbitals of the central A atom. An analogous relationship is also apparent between the orbitals of various hydrogen-containing systems with two heavy atoms and members of the family of simple (nonhydride) diatomics. In order to better characterize the nature of

No. <sup>b</sup>	State	General MW trend	Behavior with ap $\Sigma \epsilon_i$	proach of nuclei V <sub>ee</sub>		correction r atoms in Same group	Examples <sup>a</sup>
4	$\frac{2\sigma_g^2 2\sigma_u^2}{^1\Sigma_g^+}$	Very large dis- tance (equal occupation of bonding and antibonding MO's)	Decreases faster with smaller difference in electronega- tivity between atoms (bond-	Increases faster with smaller difference in electronega- tivity or less diffuse charge	Less bonding with greater difference in electro- negativity (Vee dor	Less bonding with greater diffuseness minant)	BLi probably not bound, Be <sub>2</sub> ( $\approx$ 4.0), Mg <sub>2</sub> , even larger distance, if bound
6	$\frac{2\sigma_{g}^{2}2\sigma_{u}^{2}\pi_{u}^{2}}{^{3}\Sigma_{g}^{-}}$	Relatively large distance (only $\pi_u$ bonding)	ing trend)	density (bond- ing trend)		·	B <sub>2</sub> (1.59), BeC larger, Al <sub>2</sub> significantly larger
8	$\frac{2\sigma_g^2 2\sigma_u^2 \pi_u^4}{1\Sigma_g^+}$	Intermediate distance (two ''extra'' bond- ing MO's: <i>m</i> u	Same as above		Same as above		C <sub>2</sub> (1.2422), BN (1.281), Si <sub>2</sub> larger
	$\frac{2\sigma_{g}^{2}2\sigma_{u}^{2}\pi_{u}^{2}3\sigma_{g}^{2}}{^{3}\Sigma_{g}^{-}}$	and 3 <sub>0g</sub> , the latter more bonding)					
10	$\frac{2\sigma_g^2 2\sigma_u^2 3\sigma_g^2 \pi_u^4}{^1\Sigma_g^+}$	Small distance (three ''extra'' bonding MO's: $3\sigma_{g}, \pi_{u}$ )	Same a	is above	Same a	s above	N <sub>2</sub> (1.094), CO (1.128), BF (1.262), P <sub>2</sub> (1.894), SiS (1.928)
	$\frac{2\sigma_g^2 2\sigma_u^2 3\sigma_g \pi_u^4 \pi_g}{^{3,1}\Pi_g}$	Somewhat larger distance (π <sub>g</sub> antibond- ing)					N <sub>2</sub> ('II <sub>g</sub> , 1.213)
12	$\frac{2\sigma_g^2 2\sigma_u^2 3\sigma_g^2 \pi_u^4 \pi_g^2}{^3 \Sigma_g^{-}}$	Intermediate distance (two "extra" bond- ing MO's, since π <sub>g</sub> anti- bonding)	Same a	is above	Same a	as above	O2 (1.207), FN  arger than O2, S2 (1.889)
14	$\frac{2\sigma_g^2 2\sigma_u^2 3\sigma_g^2 \pi_u^4 \pi_g^4}{^1\Sigma_g^+}$	<b>.</b>		is above	Same a	s above	F <sub>2</sub> (1.435), Cl <sub>2</sub> (1.988)

<sup>a</sup> Values are given in Å and are in general taken from G. Herzberg, "Spectra of Diatomic Molecules," Van Nostrand, Princeton, N. J., 1966. <sup>b</sup> Number of valence electrons. The notation for the electronic configurations is according to first-row members; *i.e.*,  $2\sigma_g$  is the first valence MO.

this relationship, the essential features of the electronic structure of simple diatomics, as first described by Mulliken,<sup>33</sup> are summarized in the following paragraph.

The valence MO's of a homonuclear diatomic molecule composed of first-row atoms are denoted as  $2\sigma_g$ ,  $2\sigma_u$ ,  $3\sigma_{g}$ ,  $1\pi_{u}$ ,  $1\pi_{g}$ , and  $3\sigma_{u}$ , respectively; the stability order of these orbitals is generally the same as written above, although in certain cases the  $3\sigma_{
m g}$  and  $1\pi_{
m u}$  species are interchanged.^{123,124} All the  $\sigma_{\rm g}$  and  $\pi_{\rm u}$  species (in-phase overlap) are bonding and their occupation invariably leads to increased binding and decreased bond distances; the opposite behavior is found for each of the  $\sigma_{\rm u}$ and  $\pi_{\rm g}$  (antibonding) species. Each of these trends can be derived mathematically via the differential form of Koopmans' theorem (eq 9), as discussed in connection with the study of general bond distance relationships in section II.B.2. Differences in the bond lengths of isovalent systems are usually not adequately predicted by this simple principle, and hence a horizontal correction in terms of the two additive potential terms  $\Delta\Sigma\epsilon_i$  and  $(-\Delta V_{ee})$  of eq 12 must be applied in such cases. The magnitude and direction of such horizontal corrections are very nearly the same as for those described previously in connection with a study of bond length trends in simple triatomic (all heavy atoms) systems (section II.B.3). The electronegativity difference of the constituent atoms is again an important consideration and an equivalent pattern is observed as before when going from firstto second-row atoms, <sup>125,126</sup> as can be seen, for example, from Table V.

The foregoing analysis of the molecular orbitals of a diatomic molecule can easily be extended to the study of systems containing one or more hydrogen atoms in addition to the two nonhydrogenic species. This point is illustrated, for example, by means of a diagram (Figure 22) correlating the orbital energies of the C<sub>2</sub>H<sub>2n</sub> series of molecules,85 beginning with the homonuclear diatomic C2 and continuing with the more well-known hydrocarbon species acetylene  $(C_2H_2)$ , ethylene  $(C_2H_4)$ , and ethane (C<sub>2</sub>H<sub>6</sub>), respectively; the correlation scheme used therein is completely unambiguous because of group theoretical considerations. All of the orbital energy changes which occur from one system to the other in this figure are easily understandable, and in particular it is possible to distinguish two distinct effects in interpreting such results by which the presence of the hydrogenic species manifests itself.

The first of these, which is generally the only one actually considered, concerns changes in orbital stability caused *directly by altering the constitution of the MO itself.* The charge density contours of Figure 14a,b, for example, show quite clearly that hydrogen AO mixing is a very important factor in the composition of the 1b<sub>2u</sub> MO of ethylene as compared with the corresponding  $\pi_u$ 

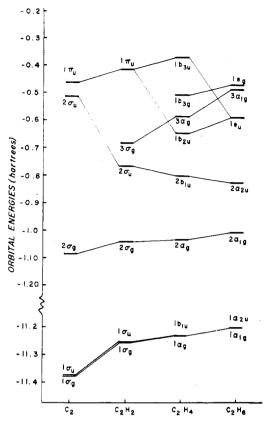


Figure 22. Correlation diagram for corresponding orbital energies in C<sub>2</sub>, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>, and C<sub>2</sub>H<sub>6</sub>, respectively, obtained from SCF calculations.<sup>85</sup>

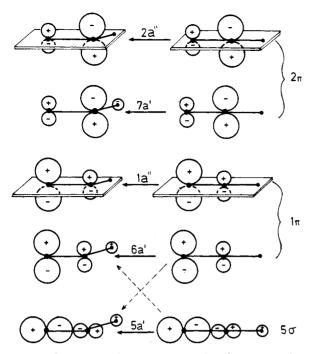
species of the simple diatomic C<sub>2</sub>, and as a consequence the orbital energy of the ethylene species is by far the lower (see Figure 22). A similar explanation can be given for increased stability of the  $3\sigma_g$  MO of C<sub>2</sub>H<sub>2</sub> (see Figure 13a,b) relative to the corresponding C<sub>2</sub> orbital (not occupied in the  ${}^{1}\Sigma_{g}{}^{+}$  ground state of C<sub>2</sub>, and hence not shown on the correlation diagram of Figure 22).

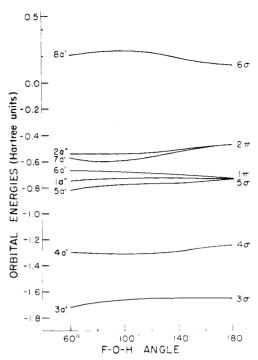
The second of these effects can be observed from calculated trends for inner-shell orbital energies  $(1\sigma_g-1a_{1g})$ and  $1\sigma_{u}$ -1a<sub>2u</sub> species in Figure 22). This behavior obviously cannot be explained on the basis of mixing between hydrogen AO's and carbon 1s orbitals since charge density contours demonstrate that the inner-shell orbitals themselves are virtually identical for each member of the  $C_2H_{2n}$  series. In this case the changes in orbital energy are clearly not caused by distinctions in the composition of their respective MO's but rather by differences in the external environment of each heavy nucleus (as reflected in the magnitude of the electron repulsion term in eq 1) introduced as a result of the successive hydrogenation across the C<sub>2</sub>H<sub>2n</sub> series. In other words, the primary reason why the  $1\sigma_g$  and  $1\sigma_u$  orbital energies in acetylene are substantially higher than their counterparts in C<sub>2</sub> is the fact that the increase in electron repulsion which occurs for these MO's upon hydrogen addition significantly outweighs the corresponding increase in nuclear attraction which accompanies this process; such an effect is clearly consistent with the fact that only a relatively small amount of diamagnetic shielding is observed for acetylenic protons in nmr studies.85 The same explanation has been given in connection with the study of certain orbital energy trends observed for AH<sub>2</sub> (and other  $AH_n$ ) systems, again pertaining to MO's whose actual compositions are not significantly affected by relative motion of the constituent nuclei (see section III.A.1). Nor do the inner-shell MO's represent the only case in which such a shielding effect can be observed for the present  $C_2H_{2n}$  series; the results of Figure 22 also show that the  $1\pi_u$  MO (for which H AO mixing is forbidden by symmetry) is likewise characterized by an increase in orbital energy from  $C_2$  to  $C_2H_2$  and finally to  $C_2H_4$  (where it is denoted as  $1b_{3u}$ ). Note also, however, that the corresponding orbital energy abruptly *decreases* from  $C_2H_4$  to  $C_2H_6$  ( $1e_u$ ) as bonding with hydrogen AO's does become symmetry-allowed for the latter molecule (an effect of the first type). Because of Koopmans' theorem, the calculated orbital energy trends of Figure 22 are of *direct physical significance*, with these results running parallel to those observed experimentally for the *corresponding ionization potentials* for this group of molecules.<sup>85</sup>

Just as in the case of simple diatomic molecules, bond length trends can also be explained for  $ABH_n$  species, provided the effect of hydrogen addition upon the individual MO's of such systems (as discussed above) is taken into account. The analogy to the bond length changes in  $C_2$ ,  $N_2$ ,  $O_2$ , and  $F_2$  is clear: the bond length of  $C_2H_2$ (1.208 Å) is smaller than that of  $C_2$  as a result of occupation of the *bonding*  $3\sigma_g$  MO, whereas the corresponding quantity in ethylene (1.35 Å) and ethane (1.54 Å) becomes progressively larger as a result of differential occupation of the *antibonding*  $1\pi_g$ -type species.

On the other hand, a rather large horizontal correction must be made to account for differences in the bond lengths of isoelectronic  $A_2$  and  $A_2H_2$  counterparts; this fact is apparent from the observation that in N2 the equilibrium separation is 0.10 Å less than  $R_{CC}$  in C<sub>2</sub>H<sub>2</sub> while that of  $F_2$  is 0.12 Å smaller than  $R_{CC}$  for the isoelectronic counterpart (C<sub>2</sub>H<sub>6</sub>). Such geometrical distinctions are not at all surprising, however, since (a) bonding with H AO's decreases the CC bonding (or antibonding) character of the  $C_2H_{2n}$  systems relative to that in a simple diatomic (see Figure 6 of ref 85) and (b) the charge distributions at the carbon atoms are much more diffuse in the hydrogenic systems than for the corresponding heavy atoms in isoelectronic diatomics not containing hydrogen atoms. As a result  $\Sigma \epsilon_i$  in ethylene, for example, decreases less strongly with approach of the heavy atoms than the analogous quantity for isoelectronic  $O_2$ ; at the same time  $V_{ee}$ for the hydrocarbon increases less rapidly with decreasing  $R_{CC}$  than it does for the analogous geometrical change in the isoelectronic diatomic. From eq 12 it is clear that both of these effects produce a trend toward larger bond lengths in the C<sub>2</sub>H<sub>2n</sub> systems relative to those in the corresponding series of homonuclear diatomics.47,127 The fact that R<sub>CH</sub> increases slightly<sup>101</sup> with successive addition of hydrogen in the C<sub>2</sub>H<sub>2n</sub> series is consistent with the general trends observed for AH bond lengths (see Table II) and is again understandable in terms of eq 12 because of the slight expansion of the charge distribution<sup>85</sup> of the carbon atom which occurs in going from  $C_2H_2$  to  $C_2H_6$ .

The finding that hydrogen addition results in significant changes in orbital stabilities relative to what is observed for some parent diatomic molecule of the same number of electrons (especially as it pertains to the question of a possible *reordering* of orbital energies from one system to another) is also of great importance in the discussion of electronically excited states. Since spectral term values depend to a good approximation on the relative spacing of the (canonical) orbital energies, the results discussed above imply that some drastic changes in electronic transition energies to various excited states will be observed when certain hydrogenic systems are compared to isovalent diatomic species not containing hydrogen. Both Mulliken<sup>84</sup> and Walsh<sup>2</sup> have frequently made use of such orbital energy effects in interpreting molecular spectra on





**Figure 23.** Schematic diagram representing the upper valence MO's of a linear and bent HAB molecule, respectively. (The relative stability of the  $5\sigma$  and  $1\pi$  MO's determines whether  $5\sigma$ -5a' or  $5\sigma$ -6a' is the correct correlation; see also ref 23.)

a qualitative basis and, because of the foregoing analysis in terms of Koopmans' theorem (section II.B.2), it now seems clear that such a procedure can also be employed in considering such phenomena more quantitatively in terms of *ab initio* SCF (and CI) calculations.

# 2. HAB Systems

The simplest class of hydrogen-containing systems with a nonhydrogenic skeleton is, of course, the HAB family of molecules. Stable systems of this type are known which possess as few as 8 valence electrons (LiOH) to as many as 14 (FOH). The hydrogen atom in such systems invariably prefers the position adjacent to the more electropositive of the constituent atoms even though it is well known that stronger AH bonds can be formed with the more electronegative species (section III.A.1.a). Because of the electron transfer which occurs in the parent heteronuclear diatomic, however, the situation is clearly reversed relative to that which occurs when only one such heavy atom is present, and instead the depleted charge cloud of the less electronegative species is better able to effect stabilization via bonding with the quite polarizable hydrogen atom.<sup>128</sup> The same general trend also carries over to H<sub>2</sub>AB systems, to be discussed in section III.B.4.

As usual the MO's of this family of molecules are clearly related to those of nonhydrogenic systems with the same heavy-atom skeleton, as can be judged from Figure 23; a description of each of these MO's, particularly as it relates to the behavior of its respective angular orbital energy curve, may be found in ref 23. Not surprisingly, this similarity is carried over into the study of the geometries of HAB systems, with trends in AB distances following much the same pattern as that discussed in the previous section for simple diatomics (see Table V). Generally speaking such distances are greater for HAB systems than for isoelectronic nonhydrogenic diatomics [for example,  $R_{\rm CN}$  in HCN is significantly greater (1.156 Å) than  $R_{\rm NN}$  (1.094 Å)] as long as the electronegativity difference between A and B is roughly the same in both

Figure 24. Calculated canonical orbital energies of FOH as a function of internuclear angle.  $^{23}\,$ 

systems.129 As mentioned in section III.B.1, however, this tendency for added hydrogen atoms to lead to a lengthening in AB bonds relative to isoelectronic diatomics is somewhat dependent on the manner in which the H AO's contribute to the MO's of the hydrogenic system (see footnote 127); if, for example, such participation occurs almost exclusively through AB antibonding MO's as is the case in HNO (for which the H AO's mix quite strongly with  $\pi_g$ -type species in bent nuclear conformations), this trend becomes much less significant ( $R_{\rm NO}$  in HNO is 1.21-1.24 Å as compared to R<sub>OO</sub> in O<sub>2</sub> of 1.207 Å). Of course, it is to be expected that any effect which depends strongly on the number of hydrogens in a system will be of relatively minor significance for members of the HAB family, with only one such atom per molecule. The AH bond distances observed for these systems depend almost exclusively on the character of the attached heavy atom (see Table II); for example, R<sub>CH</sub> values in HCN (1.064 Å) and in HCP (1.067 Å), respectively, are quite typical for triply bonded carbon atoms.

The third type of nuclear motion possible in such systems is HAB bending. A correlation diagram for this molecular family has been obtained<sup>23</sup> for FOH and is given in Figure 24; the same general trends in orbital stability are reproduced in the HCN diagram obtained by Pan and Allen,43 although generally speaking they are less pronounced than in the FOH case. It should be noted that if the 5 $\sigma$  MO lies just slightly below the 1 $\pi$  for a linear conformation (as in FOH, for example; see Figure 24) it necessarily has to be correlated with a  $\pi$ -type species for the bent molecule, as can be seen from the actual calculated orbital charge densities for FOH (given in Figures 17-20 of ref 23); at the same time the in-plane component of the  $1\pi$  MO must be correlated with a  $\sigma$ -type (a') species in the bent geometry. Hence an alternative correlation has been given for these MO's in the schematic diagram of Figure 23 (the decreased symmetry of the bent configuration and application of the noncrossing rule require such a correlation).

It is worth pointing out, however, that even if the  $5\sigma$ and  $1\pi$  MO's are separated by a great enough margin at

#### TABLE VI. Bond Angle Trends in HAB Systems<sup>a</sup>

No.⁵	State	General MW trend	Behavior with bending $\Sigma \epsilon_i $ $V_{ee}$	Horizontal correction (eq 12) for central atom <sup>e</sup> In same row In same group	Examples
8	$3\sigma^2 4\sigma^2 1\pi^4$ $^1\Sigma^+$	Weakly bent (5ơ not yet occu- pied)⁴	Decreases faster with more concentrated charge den- sity at central atom (bent trend) (linear trend)	Less bent More bent with increasing atomic number of central atom <sup>c</sup> (V <sub>ee</sub> dominant) <sup>e</sup>	HCN <sup>2+</sup> (bent), LiOH (weakly linear)
10	$3\sigma^2 4\sigma^2 1\pi^4 5\sigma^2$ $1\Sigma^+$	Linear (5ơ occu- pied)	strongly linear with in- ( creasing charge con- F		HCN (linear), FOH4+ (more strongly linear, Figure 11), HNN+, HCO+ (linear)
11	3a′²4a′²1a″²5a′²- 6a′²7a′ ²A′	Weakly bent (2 <del>7-</del> 7a' singly occu- pied)	Same as above	Less bent with increasing charge concentration at central atom	HCO (119.5), HNN (bent)
12 -	7a'² ¹A'	Bent (7a' doubly occupied)	Same as above	Same as above	HCF (101.6), HCC! (103.4) <sup>7</sup> , HNO (108.6), HSiCI (102.8), HPO (104.7)
13	7a′²2a″ ²A″	Bent (2a″ occu- pied)	Same as above	Same as above	HOO (106, calcd) <sup>g</sup>
14	7a′²2a″² ¹A′	Strongly bent (7a', 2a" both doubly occu- pied)	Same as above	Same as above	HOF (97.2), <sup>&amp;</sup> HOCI (113) <sup>(,,)</sup>

<sup>a</sup> Conclusions drawn in the same manner as in Tables III and IV. Internuclear angles are given in degrees. <sup>b</sup> Number of valence electrons. <sup>c</sup> The terminal atom is also important as it withdraws or donates charge toward the central atom. <sup>d</sup> The alternative correlation (Figures 23 and 24) is assumed, in which case the  $5\sigma$  in C<sub>s</sub> symmetry favors linear geometry. <sup>e</sup> The trends discussed are based on the assumption that V<sub>ee</sub> is dominant; they would be reversed if it were opposite, *i.e.*, if  $\Sigma_{e_i}$  were dominant. <sup>f</sup> The charge at the central atom is more contracted than in HCF since F is withdrawing more charge than Cl. <sup>a</sup> D. H. Liskow, H. F. Schaefer, III, and C. F. Bender, *J. Amer. Chem. Soc.*, **93**, 6734 (1971); calculated value. <sup>b</sup> H. Kim, E. F. Pearson, and E. H. Appelman, *J. Chem. Phys.*, **56**, 1 (1972). <sup>i</sup> Charge at central atom more contracted than in HOF. <sup>j</sup> K. Hedberg and R. M. Badger, *J. Chem. Phys.*, **19**, 508 (1951).

180° so that  $\sigma$  and  $\pi$  character is preserved for these orbitals throughout the bending process, there still is a major difference between the calculated SCF correlation diagrams and that given empirically by Walsh<sup>2</sup> with respect to the shapes of the orbital energy curves of the first  $\pi$ -type MO. Walsh has explained the linear geometry of an HAB system with ten valence electrons (such as HCN) on the basis of a correlation diagram in which both the 5 $\sigma$  and 1 $\pi$  (in-plane component) become less stable with bending whereas the calculated SCF correlation diagram of Figure 24 actually shows that only one of these orbitals is in this category. The  $p\sigma$  MO does become less stable with bending because of decreased interaction with the hydrogen AO's (Figure 24 and discussion in ref 23), but the in-plane component obviously becomes more stable as a result of increased overlap with the hydrogen AO's. Calculations based on Koopmans' theorem (eq 9) are successful in predicting the correct (linear) structure for a ten-valence-electron system (see Figure 11 and the discussion in section II.B.2) on the basis of a correlation diagram such as that obtained for FOH (Figure 24), thereby clearly demonstrating that Walsh's assumption of a  $1\pi$  orbital energy which favors a linear nuclear arrangement is unnecessary to explain the basic geometrical trends observed for this family of molecules.

The behavior of the  $2\pi$ -7a' orbital energy curve in the original diagram agrees qualitatively with the calculated behavior; occupation of this MO (and also that of the  $2\pi$ -2a'' component) produces a trend toward bent structures as is observed in the change from linear HCN to the bent systems HNO and FOH, respectively (Figure 11).

As usual, however, closer examination of existing experimental (and calculated) results for the bond angles of HAB molecules shows that the broad qualitative predictions of the MW model need some refining in order to explain significant quantitative differences in the shapes of isovalent systems in this class (see Table VI). For example, there is apparently a spread of at least 7° in the ground-state equilibrium angles of known HAB systems with 12 valence electrons, with reported values varying from 101.6° in HCF to 108.6° in HNO; in addition the Koopmans' theorem analysis of the FOH SCF calculation<sup>23</sup> indicates (see Figure 11 for the curve marked "HNO")<sup>130</sup> that FOH<sup>2+</sup> has a larger angle than any of the other isovalent HAB systems (about 120°).

In order to explain results of this type, it is necessary to derive a horizontal correction to the MW model by means of eq 12 (again with the aid of existing ab initio SCF calculations), and when this is done it is found that the situation is actually quite similar to that found in the study of AH<sub>2</sub> equilibrium angles. The  $(-\Delta V_{ee})$  term in eq. 12 appears to be the dominant factor in causing distinctions in the bond angles of HAB systems, particularly when the  $2\pi$ -7a' MO is occupied (recall the similar role played by the  $1\pi$ -3a<sub>1</sub> species for the AH<sub>2</sub> molecular family), with this quantity increasing with bending as the electronic distribution at the central atom A becomes more concentrated; again the other term in eq 12 ( $\Delta \Sigma \epsilon_i$ ) shows the opposite behavior but is apparently always a less significant factor than the electron repulsion difference referred to above. Consequently, applying this correction to the original form of the MW model leads one to conclude<sup>47</sup> that the bond angles for a given group of isovalent systems (at least as long as the  $2\pi$ -7a' species is occupied) should increase as the charge distribution at the central atom becomes more concentrated (compare also the discussion of the horizontal corrections for AH2 and AH3 molecules in their bending modes in section III.A.1,2).

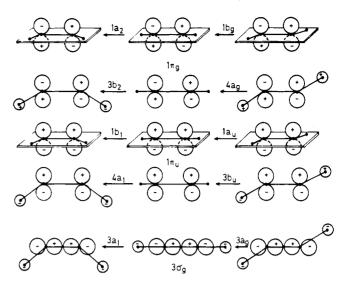
Reference to Table VI shows that, in fact, this pattern is actually what is observed experimentally. For example, the charge concentration at the central atom is increased in HCCI relative to HCF and also in HOCI relative to HOF (because of the change in the electronegativity at the end atom), and in both comparisons it is the first member which possesses the larger equilibrium angle. A similar change occurs from HSiCl to HCCl, with the concentration of (valence) electronic charge increasing from Si to C, again causing the bond angle to open up (see Table VI). Furthermore, HNO clearly possesses a more concentrated central atom charge distribution than either of its aforementioned isovalent counterparts HOCI or HCF, and thus again because of the dominance of the electron repulsion term in eq 12 is expected to have a larger bond angle than each of these systems, as, in fact, is observed; the fact that the central atom in FOH2+ possesses a still more concentrated electronic charge distribution is also consistent with this general trend, at least on the basis of Koopmans' theorem results discussed earlier (see Figure 11).

The general techniques of the MW model are also quite satisfactory for the prediction of the gross shapes of HAB systems in their excited states. Once again application of eq 9 using the results of SCF calculations for a typical HAB system is able to reproduce the general conclusions of the MW model as they pertain to the geometry of excited states; for example,  ${}^{3,1}A''$  (7a'  $\rightarrow$  2a'') states of 12-valence-electron HAB species are predicted to be uniformly less bent than the corresponding ground states as a result of the transfer of an electron to an MO with decreased tendency toward bent geometry (see Figure 24). Similarly, one can use this theoretical procedure to explain the fact that the lowest excited states of tenvalence-electron HAB molecules (such as HCN, for example) are characterized<sup>131</sup> by bent nuclear structures in contrast to what is observed for their respective ground states; in this case, as Walsh has pointed out in his original work, the reason for the change in geometry is the excitation of an electron from an orbital which is more stable for a linear conformation  $(1\pi-6a')$  to one with the opposite characteristic  $(2\pi - 7a')$ .

At present there are still some experimental results which do not seem to be so easily explained according to the foregoing model, however, such as the finding that the equilibrium angle of HNO in its 1A" excited state is considerably smaller than that for the corresponding HCF species<sup>132,133</sup> (with a less concentrated electron distribution at its central atom) and also the conclusion that the ground-state bond angle of HCO is somewhat less<sup>133</sup> than 120° (see Table VI) despite the fact that in this electronic configuration the  $2\pi$ -7a' MO is only singly occupied (11 valence electrons). Both of these points are being studied at the present time by means of ab initio SCF and CI calculations.<sup>134</sup> But even granting these exceptional cases, it seems safe to say that the MW model, especially as corrected by means of eq 12, is quite capable of giving an accurate description of the geometrical relationships that exist within the HAB family, as well as of pointing up certain striking similarities between such systems and those of AH<sub>2</sub> type. The various trends in HAB bond angles which have emerged from the foregoing study are summarized in Table VI, and these in turn should be compared with the analogous results given earlier for the AH<sub>2</sub> family of molecules (Table III).

#### 3. $A_2H_2$ Systems

There are two distinct ways in which two hydrogen atoms can be bonded to the skeleton of a simple AB diatomic molecule: both at the same heavy atom or one at each of them. The empirical finding is that molecules of the type HABH are favored only if the parent diatomic is of the *homonuclear* variety, as, for example, in acetylene  $(C_2H_2)$ , diimide  $(N_2H_2)$ , and hydrogen peroxide  $(O_2H_2)$ .



**Figure 25.** Schematic diagram representing the upper valence MO's in cis and trans  $A_2H_2$  molecules and in the corresponding linear conformer, respectively.

In a situation in which there are two *different* atoms to choose from, both of the hydrogen species apparently prefer bonding at the same site. Furthermore, the fact that the diimide isomer  $H_2N^+N^-$ , in which both hydrogens are bound to the same heavy atom of an N<sub>2</sub> skeleton, is apparently only slightly less stable than diimide itself<sup>135</sup> suggests rather strongly that there is virtually never any *strong* preference for the formation of symmetric A<sub>2</sub>H<sub>2</sub> systems.

One reason for the observed paucity of systems in this molecular family might be the fact that the presence of the hydrogen atoms invariably reduces the bond strengths between the nonhydrogenic species in such molecules, as compared to those found to exist for isoelectronic simple diatomics.<sup>85</sup> Thus  $C_2H_2$ ,  $N_2H_2$ , and  $O_2H_2$ all have somewhat greater RAA values than the corresponding isovalent diatomic systems N2, O2, and F2, respectively; this rather general diminution in AA bonding upon hydrogenation coupled with a simultaneous reduction in the rate of change of the total electronic repulsion has been discussed earlier with reference to eq 12 (section III.B.1). It is not surprising then that systems such as  $B_2H_2$  or  $Be_2H_2$  are apparently not bound with respect to dissociation into two AH fragments since the bonds in the corresponding isoelectronic diatomics C2 and B2 are already rather weak. Similarly the considerably reduced binding energies of simple diatomics<sup>125</sup> containing atoms in the second and higher rows of the periodic table are likewise quite consistent with the failure to observe stable HAAH systems composed of other than first-row heavy atoms; the system Si<sub>2</sub>H<sub>2</sub>, for example, is unstable with respect to dissociation into two SiH radicals.

Rotation of the AH bonds in such systems relative to a linear nuclear arrangement leads to a number of possible structures of which the cis, trans, and skewed conformations will be discussed below. The higher lying valence MO's of  $A_2H_2$  systems are shown in Figure 25 for the cis, linear, and trans conformations, respectively, and are seen to be quite similar to the analogous species in HAB molecules (see Figure 23). Nevertheless, these similarities are not carried over entirely into the appearance of the angular correlation diagrams of both types of systems, as can be seen by comparing the calculated  $C_2H_2$  and  $Si_2H_2$  results<sup>136-140</sup> in Figure 26a,b with analogous data for FOH (Figure 24). In the case of trans distortions, most of the discrepancies (if not all of them) are found to stem from the fact that the  $3a_g$  and  $3b_u$  MO's retain their

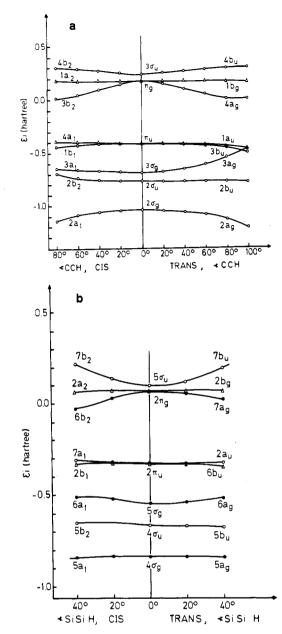


Figure 26. Calculated correlation diagram for the valence MO's in cis- and trans-acetylene,  $^{137}$  C<sub>2</sub>H<sub>2</sub> (a), and cis- and trans-Si<sub>2</sub>H<sub>2</sub> (b).  $^{139,140}$ 

identity throughout the entire bending process for the  $A_2H_2$  systems, whereas as discussed before the analogous species for HAB molecules are generally transformed into one another<sup>141</sup> (section III.B.2).

Again it is found that the lowest  $\pi$ -type MO (in-plane component 3b<sub>u</sub>) becomes more stable as the internuclear angle decreases (Figure 26a,b), contrary to what is shown in Walsh's generalized A2H2 diagram; the same distinction has been found to exist between calculated and empirical HAB correlation diagrams. Interestingly enough, however, the same MO<sup>(4a1)</sup> becomes less stable on bending into the cis conformation (see Figure 26a,b), a result which has been interpreted by Gimarc<sup>60</sup> as resulting from the operation of the noncrossing rule (since  $p\sigma$  and in-plane  $p\pi$  MO's belong to the same symmetry species in cis but not trans geometries). Examination of the pertinent SCF results<sup>137</sup> indicates that the charge distribution of the 3a1 and 4a1 MO's, respectively, are increasingly confined to the same region of space upon cis bending, and thus that increased electronic repulsion<sup>142</sup> causes the destabilization of the 4a1 species

for this type of geometrical motion; the change of hybridization for the corresponding MO's ( $3a_g$  and  $3b_{3u}$ , respectively) in the trans bending mode produces the opposite behavior (see Figure A9-A13 in ref 137), thereby avoiding this destabilizing effect to a great extent. The behavior of the second  $\pi$ -type orbital energy is much more readily understood, just as in the case of the HAB correlation diagram; the fact that the in-plane component of this MO becomes much more stable on bending (into either the cis or trans nuclear arrangements; Figure 26a,b) is again the key in the MW model for the explanation of the nonlinear equilibrium geometries in the ground states of all such systems in this class with more than ten valence electrons.

The orbital energy trends discussed above can be used in the usual manner to rationalize and/or predict equilibrium structures for members of the A2H2 family, including many such systems not discussed in Walsh's original presentation.<sup>2</sup> For example, if ionization in acetylene takes place from the  $1\pi_{\rm u}$  MO (producing the  ${}^{2}\Pi_{\rm u}$  ground state of  $C_2H_2^+$ ), a Koopmans' theorem analysis of Kammer's acetylene results<sup>138</sup> indicates that the resulting species is even more strongly linear than the neutral ground state (see Figure 26a,b); if the electron is lost from the  $3\sigma_{\sigma}$ , however, application of eq 9 finds that the acetylene ion in the resulting  ${}^{2}\Sigma_{g}^{+}$  state actually prefers a bent (trans) geometry. The CC bond length of the positive ion in the  ${}^{2}\Pi_{u}$  state is also expected to be substantially larger than in acetylene itself, while the corresponding change is probably relatively small if ionization occurs from the  $3\sigma_g$ species (see Figure 6 of ref 85 for the pertinent R<sub>CC</sub>stretching correlation diagram).

Just as with the class of HAB systems, it is found that all systems of  $A_2H_2$  type possessing ten valence electrons (with all valence MO's except the  $2\pi_u$  fully occupled) prefer linear geometries. The same type of horizontal correction to the MW model must be applied as before in order to account for distinctions in the strength of this linear trend for isovalent  $A_2H_2$  systems, *i.e.*, it is again the electron repulsion term which is dominant in such corrections (see eq 12), with the usual result that the linear trend weakens as the electronic charge distribution at the central atom becomes less concentrated (see Table VII). Thus it is found, for example, that the Si<sub>2</sub>H<sub>2</sub> ground state angular potential curve<sup>140</sup> shows less curvature in the neighborhood of the linear geometry than does its C<sub>2</sub>H<sub>2</sub> counterpart.<sup>138</sup>

Systems with 11 valence electrons, as, for example,  $C_2H_2^-$  and  $N_2H_2^+$ , are found to prefer bent geometries in their ground states, as a result of occupation of the inplane component of the  $\pi_g$  MO (see Figure 26a,b). A Koopmans' theorem analysis of  $C_2H_2$  and  $N_2H_2$  SCF results indicates that  $N_2H_2^+$  has a somewhat stronger bent trend than  $C_2H_2^-$ , thereby suggesting that in this case it is the  $\Delta\Sigma\epsilon_i$  term which is dominant in eq 12 for comparison of these systems (see Table VII), although explicit SCF calculations would have to be carried out to be certain on this point. Both of these systems have larger AA bond lengths than that of ground-state acetylene because of occupation of the  $1\pi_g$  MO, with its strong antibonding character<sup>68</sup> (see Table V).

Molecules in this class with 12 valence electrons doubly occupy the  $\pi_g$  MO in their ground states and are thus more strongly bent than either  $C_2H_2^-$  or  $N_2H_2^+$ . In the case of diimide ( $N_2H_2$ ) the major question has been whether a cis or a trans nuclear conformation is preferred; consideration of the various calculated correlation diagrams indicates that a definite prediction (based on orbital energy behavior alone) as to which of the two

TABLE VII.	. Bond Angle	Trends	in /	$A_2H_2$	Systems <sup>a</sup>
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No. <sup>b</sup>	State	General MW trend	Behavior with bending $\Sigma \epsilon_i$ $V_{ee}$	Horizontal correction (eq 12) for atoms A in Same row Same group	Examples
8	$\frac{3\sigma_g^2 1\pi_u^2}{3\Sigma_g^2}$	Strongly linear ( $\pi_u$ only half occu- pied)	Increases Decreases faster with more contracted charge density at central atoms (linear trend) (linear trend)	More strongly Less strongly linear with increasing atomic number of A	$B_2H_2$ (should be linear)
9	$\dots 3\sigma_{\mathbf{g}}^2 1 \pi_{\mathbf{u}}^3$	Linear ( $\pi_u$ triply occ)	Same as above	Same as above	B <sub>2</sub> H <sub>2</sub> <sup></sup> , C <sub>2</sub> H <sub>2</sub> + (more strongly linear)
	$\ldots 3\sigma_{\mathbf{g}} 1 \pi_{\mathbf{u}}^4 2\Sigma_{\mathbf{g}}^+$	Bent $(\pi_u \text{ fully occ})$ while $3\sigma_g$ only singly occ	Decreases faster with more contracted charge density at central atoms A (bent trend) (linear trend)	Less strongly More strongly bent with increasing atomic number of A (Vee dominant in horizontal correct.)	C <sub>2</sub> H <sub>2</sub> + (weakly bent, 10-30, cis), Si <sub>2</sub> H <sub>2</sub> + (25-55)
10	$\ldots 3\sigma_g^2 1 \pi_u^4 \\ {}^1\Sigma_g^+$	Linear	Increases Decreases faster with more contracted charge density at central atoms A (bent trend) (linear trend)	More strongly Less strongly linear with increasing atomic number of A	C <sub>2</sub> H <sub>2</sub> linear, Si <sub>2</sub> H <sub>2</sub> linear (smaller bending force const), N <sub>2</sub> H <sub>2</sub> <sup>2+</sup> linear
	$\frac{3\sigma_{g}^{2}1\pi_{u}^{3}1\pi_{g}}{^{3}\Sigma_{u}^{+}(^{3}B_{u}, \ ^{3}B_{2})}$	Bent (πg occupied, in-plane compo- nent)	Decreases faster with more contracted charge density at central atoms (bent trend) (linear trend)	More strongly Less strongly bent with increasing atomic number of A ( $\Sigma_{\epsilon_i}$ dominant)	C <sub>2</sub> H <sub>2</sub> (40-50, trans), Si <sub>2</sub> H <sub>2</sub> (30-40, presum- ably cis)
11	$ 3\sigma_{g}^{2}1\pi_{u}^{4}1\pi_{g}^{2}\pi_{g}^{2}(^{2}A_{g}, ^{2}B_{2}^{2})$	Bent (in-plane $\pi_g$ component occ)	Same as above	Same as above	C <sub>2</sub> H <sub>2</sub> - (45-55, trans), N <sub>2</sub> H <sub>2</sub> + (50-60, trans)
12	$\frac{3\sigma_{g}^{2}1\pi_{u}^{4}1\pi_{g}^{2}}{^{1}A_{g}, {}^{1}A_{1}}$	Bent ( $\pi_g$ doubly occ)	Same as above	Same as above	N <sub>2</sub> H <sub>2</sub> (70, trans)
14	$3\sigma_{g}^{2}1\pi_{u}^{4}1\pi_{g}^{4}$ <sup>1</sup> A	Bent (skewed)	Same as above	Same as above	H <sub>2</sub> O <sub>2</sub> (83, trans, 112 skewed), H <sub>2</sub> S <sub>2</sub>

<sup>a</sup> Conclusions are drawn in a similar manner as in corresponding previous tables. Internuclear angles are given in degrees;  $0^{\circ}$  corresponds to a linear nuclear arrangement in this case.<sup>b</sup> Number of valence electrons. The notation for the electronic configurations is according to first-row members; *i.e.*,  $2\sigma_g$  is the first valence MO.

N<sub>2</sub>H<sub>2</sub> isomers is the more stable is not really possible. In spectroscopic studies it has sometimes been assumed<sup>143</sup> that the ground state of this system is a triplet just as it is for isoelectronic O<sub>2</sub>, but there seems little question now that it is in reality a singlet species, 135, 144-145 analogous to that of isoelectronic<sup>134</sup> HNO. Alster and Burnelle<sup>146</sup> have determined via semiempirical calculations that cistrans isomerization of diimide occurs most easily via a geometrical path in which only one of the NH bonds is rotated in the molecular plane to the opposite side of the NN bond, a finding which clearly emphasizes the importance of NH bonding in producing molecular stability for this system since such a path provides for the minimal loss in H AO mixing for this process. Recent ab initio SCF calculations<sup>135,144</sup> have indicated that it is the trans isomer which is favored, and a more recent CI study145 has reached the same conclusion.147

Addition of more electrons to the  $\pi_g$ -type MO of A<sub>2</sub>H<sub>2</sub> systems not only enhances the trend toward bent geometry and increased central bond lengths but also produces a tendency toward skewed structures such as in the case of hydrogen peroxide  $H_2O_2$  (14 valence electrons). Such additional distortions are again consistent with the tendency to relieve the unfavorable situation which develops from greater and greater occupation of the  $\pi_g$  species (with its nodal plane between the heavy nuclei), as discussed earlier in connection with a more general study of geometrical trends in hydrogen-containing systems possessing a diatomic skeleton.68 Nevertheless, the rotational barrier restricting this system to a nonplanar geometry is relatively small, and thus again it is questionable whether the simple MW model is really adequate for making the fine distinctions required to determine the gross molecular shapes in this case.

As usual details of the geometry of excited states in this molecular family are also forthcoming from the MW

model, with the most well-known applications occurring for the spectrum of acetylene. The lowest excited states of this system result from a  $1\pi_u \rightarrow 1\pi_g$  excitation, and a straightforward analysis of the SCF results for either the acetylene<sup>137,138</sup> or the diimide<sup>145</sup> ground state by means of the differential form of Koopmans' theorem leads quite unambiguously to the conclusion that all such species should prefer a bent nuclear arrangement, again in qualitative agreement with the predictions of the simple MW model. Experimental measurements148,149 have confirmed that the lowest lying singlet species of this type does prefer a bent (trans) geometry, but fairly extended CI calculations by Kammer<sup>138</sup> have indicated quite strongly that not all multiplets resulting from such a  $\pi_u^3 \pi_g$ configuration favor the same structure (see Figure 27). The lowest triplet of this type  $({}^{3}\Sigma_{u}{}^{+}{}^{-3}B_{2})$ , for example, is calculated to prefer a cis nuclear conformation, while the two spatial components of the next triplet  $({}^{3}\Delta_{u})$  are found to prefer bent trans and nearly linear geometries, respectively; the least stable triplet of this type  $({}^{3}\Sigma_{n}{}^{-})$  is also calculated<sup>138</sup> to prefer a nearly linear geometry (Figure 27). In these cases the MW model can still be used to predict at least some of the geometrical distinctions on the basis of which components of the  $1\pi_{\rm u}$  and  $1\pi_{\rm g}$ species are actually involved in a specific transition, but to proceed further it is necessary to have at least a rough idea of the form of the multideterminantal wave function for each of these species.

Certainly one interesting feature concerning the multiplets of the  $\pi_u^3\pi_g$  configuration of acetylene is the finding that the order of its singlets is exactly inverted relative to that of the triplets of this type (Figure 27). This observation was first made by Ross on the basis of some semiempirical calculations<sup>150</sup> and was later reaffirmed by means of *ab initio* CI calculations<sup>151</sup> (and again *via* Kammer's more recent CI study<sup>138</sup>). According to these cal-

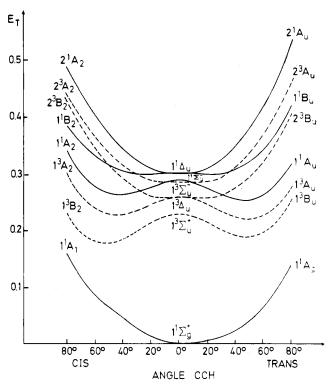
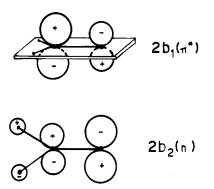


Figure 27. Calculated angular potential curves for various states of *cis*- and *trans*-acetylene, obtained from a limited CI treatment.<sup>138</sup>

culations the most stable singlet state of this type is a  ${}^{1}\Sigma_{u}{}^{-}$  species rather than the  ${}^{1}\Delta_{u}$  multiplet assumed by Walsh in his early interpretation of the acetylene electronic spectrum. A comparative study of the excited states of the isovalent silicon analog<sup>140</sup> has led to similar findings for the spectrum of this (unstable) system.

The electronic spectrum of diimide is not as accessible to experimental study as is that of acetylene. The most stable configuration of this system in linear geometry clearly is  $\pi_g^2$ , the same as that of isoelectronic O<sub>2</sub> and HNO in analogous nuclear arrangements. The presence of the hydrogen atoms in both HNO and N<sub>2</sub>H<sub>2</sub> leads to a splitting of the  $\pi_g$  MO in bent geometries, however, and not at all surprisingly it is found<sup>134,135</sup> that this effect is significantly stronger for A2H2 systems than for the monohydrogenic HAB species discussed in section III.B.2. In trans geometry the order of multiplets in  $N_2H_2$  is  $a_g^2$  ${}^{1}A_{g}$ ,  $a_{g}b_{g} {}^{3,1}B_{g}$ , and  $b_{g}{}^{2} {}^{1}A_{g}$ , which, of course, is different from the order in the linear structures, for which the most stable state is a triplet (just as for isoelectronic O<sub>2</sub>). The tendency toward bent geometry in these states weakens as the electrons are removed from the more stable ag species (see Figure 26a,b) and placed instead in the out-of-plane bg MO; thus according to the calculations  $^{145}$  the  $a_g{}^2$  ground state of  $N_2H_2$  favors a 70° trans conformation, while the higher energy bg2 species is actually weakly linear.152

The effect of the additional hydrogen atom in  $A_2H_2$  systems as compared to those of HAB type can be judged by the greater size of the  ${}^{1}A_{g} - {}^{3}B_{g}$  splitting calculated for diimide in its ground-state equilibrium geometry  ${}^{145}$  *.vis-à-vis* the analogous singlet-triplet separation for isoelectronic  ${}^{134}$  HNO. The gradual changeover from a triplet ground state in  $O_2$  to a singlet species in  $N_2H_2$  is quite apparent in this comparison, with HNO representing an obvious intermediate situation between these two extremes having a quite small singlet-triplet energy splitting. All of these relationships are clearly consistent with the general considerations of the MW model, especially if



**Figure 28.** Schematic diagram representing the n and  $\pi^*$  orbitals of an H<sub>2</sub>AB molecule.

one looks at the three systems  $O_2$ , HNO, and  $N_2H_2$  as fundamentally heavy-atom diatomics with differing degrees of perturbation effected by the various numbers of hydrogen atoms in the molecules of this series (see section III.B.1). A summary of experimental and calculated trends in the shapes of  $A_2H_2$  systems is contained in Table VII and this should be compared with the very similar results discussed earlier for members of the HAB family of molecules (Table VI).

## 4. H<sub>2</sub>AB Systems

As mentioned in the previous subsection systems consisting of two different heavy atoms and a pair of hydrogenic species invariably prefer an H<sub>2</sub>AB structure (both H atoms on the same side of the molecule). The AB bond lengths in such systems again follow a pattern similar to that noted above for the family of simple diatomics (Table V), but some clear distinctions do arise as a result of the fact that the  $\pi_{g}$ -type orbitals (generally denoted as n and  $\pi^*$ , respectively; see Figure 28) are not equivalent in the reduced symmetry of the molecules in this category. Although both of these species possess nodal planes between the constituent heavy nuclei, that of the  $\pi^*$  is considerably more pronounced since the n orbital is localized to a large extent at the B atom of such systems; consequently, while the  $\pi^*$  is a normal antibonding MO in this case, the n is generally considered to be nonbonding (although, in fact, it too is somewhat antibonding).

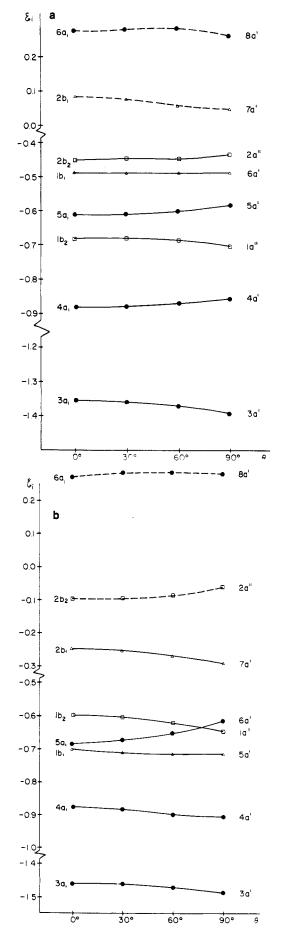
Consequently, a Koopmans' theorem analysis of an  $H_2AB$  prototype system (such as formaldehyde,  $H_2CO$ ) shows that addition of electrons to the  $\pi^*$  is marked by a lengthening of the AB bond of about the same magnitude as is observed for the analogous population of  $\pi_g$  MO's in homonuclear diatomics (Table V), but that occupation of the n orbital leads to a much smaller increase in  $R_{AB}$ . Translated into practical terms for the ground states of H<sub>2</sub>AB molecules, this finding indicates that there is a gradual increase in  $R_{\rm AB}$  from 10- to 12-valence-electron systems (for which the n orbital is successively populated), followed by a much more pronounced increase in going from systems with 12 to those with 14 valence electrons. Indeed the same type of behavior can be seen in the bond lengths of HAB systems, with  $R_{AB}$  increasing by only about 0.05 Å from HCN to HNO (10 to 12 valence electrons) but by nearly 0.20 Å from HNO to FOH (12 to 14 valence electrons; see Table VI) and is also apparent from the analogous data for A2H2 species, with  $R_{OO}$  in H<sub>2</sub>O<sub>2</sub> being much larger than  $R_{NN}$  in N<sub>2</sub>H<sub>2</sub> but with much less difference between the heavy-atom bond lengths of  $N_2H_2$  and  $C_2H_2$ , respectively (see Table VII).<sup>153</sup>

The main interest in the shapes of  $H_2AB$  molecules

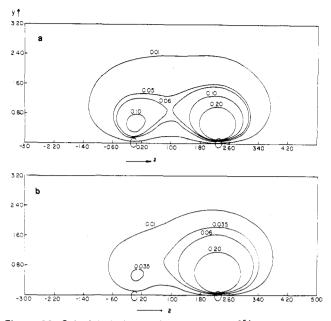
has generally centered around the question of whether such systems are planar or nonplanar; all known species with 12 or less valence electrons (H<sub>2</sub>CN, H<sub>2</sub>CO, H<sub>2</sub>CS, for example) are believed to be planar, whereas those with 13 or 14 (the maximum number normally observed) are thought to be nonplanar (H2CF, H2NF, H2NCI). As mentioned above, the MO's which are differentially occupied in the ground states of this series of molecules are the n and  $\pi^*$  species, respectively (see Figure 28). The original Walsh diagram<sup>2</sup> for this class of systems shows the  $\pi$  orbital energy to decrease with out-of-plane bending but the corresponding quantity for the n MO to be independent of  $\angle$  HAB; more recently Gimarc<sup>60</sup> (on the basis of semiempirical calculations) has reported the same type of orbital energy trends for the H<sub>2</sub>AB family. The results of ab initio calculations<sup>154</sup> are in essential agreement with the foregoing analysis as it regards the  $\pi^*$  species but, nevertheless, find that the n orbital actually becomes somewhat less stable as bending out of the molecular plane proceeds (see Figure 29a,b), apparently because for this species the H and B AO's approach each other through a nodal plane (see Figure 6a,b of ref 154 as well as Figure 28 of the present work). As a result because of the foregoing orbital energy trends, it follows quite easily by means of Koopmans' theorem analysis of the aforementioned SCF results of H<sub>2</sub>CO that systems with 12 valence electrons are in their ground state the most strongly planar of all members of the H<sub>2</sub>AB family with from 10 to 14 valence electrons; not only do those with 13 and 14 valence electrons become nonplanar as a result of the occupation of the  $\pi^*$  MO but also those with 10 and 11, respectively, become less strongly planar compared to those with 12 upon removal of electrons from the n species.

From a more general point of view it is important to note that the orbital energy trends found in Figure 29a,b are essentially equivalent, despite the fact they are obtained for two different closed-shell states (the ground and  $n^2 \rightarrow \pi^{*2}$  species, respectively) of the same system (H<sub>2</sub>CO). In fact, the same behavior is observed for the orbital energy results of open-shell SCF treatments for various singly excited states of formaldehyde (such as the  ${}^{3,1}A_2{}^{-3,1}A^{\prime\prime}$ , n  $\rightarrow \pi^*$  species, for which both n and  $\pi^*$  are occupied). These findings emphasize that in the great majority of cases the shapes of orbital energy curves are determined to a major extent by the nodal structure of the corresponding MO's and are not greatly affected by the nature of the electronic state for which they are calculated. This result does not imply, however, that the charge distributions of the orbitals themselves do not vary greatly from state to state; quite the opposite behavior is often observed, in fact, as, for example, in the case of the 1b<sub>1</sub> ( $\pi$ ) species obtained for the aforementioned closed-shell states of H<sub>2</sub>CO (see Figure 30a,b for the pertinent charge density contours; note the great similarity in the corresponding orbital energy curves given in Figure 29a.b).

The main point of interest in the spectra of H<sub>2</sub>AB molecules has been the so-called  $n \rightarrow \pi^*$  excited states of systems with 12 electrons. Walsh's prediction that such species would be nonplanar because of the effect of exchanging n for  $\pi^*$  population relative to the electronic configuration of the (planar) ground state has been verified by subsequent experimental investigations<sup>155,156</sup> of both the singlet and triplet, and it has also been shown to be in accord with the results of *ab initio* (both SCF and CI) calculations.<sup>154</sup> The inversion barriers for both states (0.096 eV for the triplet and 0.044 eV for the singlet<sup>156</sup>) are quite small, however, thereby emphasizing that there is no strong preference for the nonplanar structures; it is



**Figure 29.** Calculated canonical orbital energies<sup>154</sup> of H<sub>2</sub>CO as a function of the out-of-plane bending angle for the ground state (a) and the doubly excited  $n^2 \rightarrow \pi^{*2} (2b_2^2 \rightarrow 2b_1^2)$  state (b).



**Figure 30.** Calculated charge density contours<sup>154</sup> of the  $\pi(1b_1)$  orbital in H<sub>2</sub>CO in the ground state (a) and in the doubly excited  $n^2 \rightarrow \pi^{*2}$  state (b).

probably more realistic to say that the MW model (and also a Koopmans' theorem analysis of the aforementioned SCF results) merely predicts a strong trend away from the planar geometry of the  $H_2CO$  ground state, without being able to give a clear-cut decision as to what the actual equilibrium conformations are for these excited species.

The observation<sup>157</sup> that the transition energies to the n  $\rightarrow \pi^*$  states are substantially *lower* for thioformaldehyde H<sub>2</sub>CS than for H<sub>2</sub>CO itself is easily understood in light of the composition of the n orbital in these two systems (Figure 28); in both cases this orbital is more or less localized at the B atom, but because of the greater electronegativity of the oxygen atom relative to that of sulfur, this fact implies it is more stable (corresponds to a higher ionization potential) in formaldehyde than in thioformaldehyde. By contrast the  $\pi^*$  MO's of both systems are practically equivalent since they are composed mainly of C AO's (see Figure 28), and hence the orbital energy difference between the n and  $\pi^*$  species is considerably smaller for H<sub>2</sub>CS.<sup>158</sup>

Finally, although the  $\pi \rightarrow \pi^*$  triplet has been calculated to be a valence state in the aforementioned SCF-CI treatment,<sup>154</sup> all indications are that the corresponding *singlet* has a considerably more *diffuse* composition and is not in fact responsible for the rather strong absorption in the H<sub>2</sub>CO spectrum at 8.0 eV, as originally thought. The aforementioned distinction between the  $\pi \rightarrow \pi^*$  triplet and singlet states appears to be an example of a more general phenomenon, not accounted for in the original presentation of the MW model. This point will be taken up again in the next subsection in connection with the discussion of the electronic spectrum of ethylene.

#### 5. H<sub>2</sub>ABH<sub>2</sub> Systems

Although the molecular orbitals and spectrum of ethylene were discussed in Walsh's original presentation, no general correlation diagram was given for this class of (H<sub>2</sub>ABH<sub>2</sub>) molecules. The MO's of all such systems can again be classified according to  $\sigma$  or  $\pi$  character, just as for the other types of molecules discussed earlier which contain a heavy-atom diatomic skeleton. Figure 22 shows, for example, that the 1b<sub>3u</sub> and 1b<sub>2u</sub> of ethylene

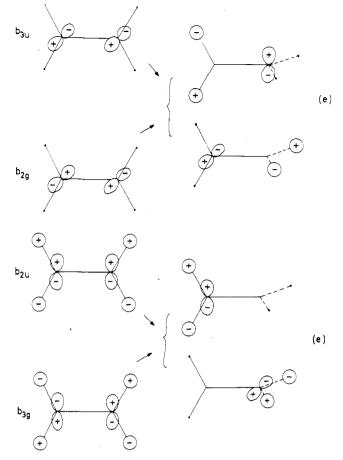
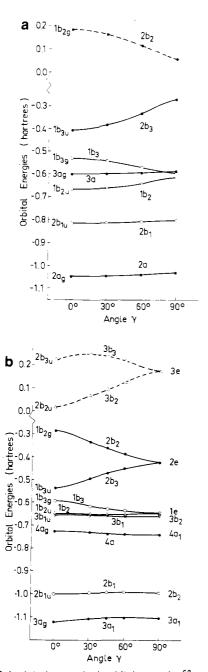


Figure 31. Schematic diagram depicting the transition between corresponding MO's of planar and perpendicular conformations of  $A_2H_4$  systems.

stem from a  $\pi_u$ -type orbital of C<sub>2</sub>, with only the latter being affected heavily by hydrogen AO mixing and hence being considerably more stable than the  $1b_{3u}$  ( $\pi$ ) species; similarly the 1b\_{3g} and 1b\_{2g} (\pi^\*) MO's are clearly related to the  $\pi_{g}$  orbital of isoelectronic O<sub>2</sub>. Consequently the interpretation of the various orbital energy trends for H<sub>2</sub>ABH<sub>2</sub> systems can be based on the same principles as have been used previously for the HAB, HAAH, and H<sub>2</sub>AB systems (section III.B.2-4). The AB bond lengths in this family are in general considerably larger than for their isoelectronic homonuclear diatomic counterparts, mainly because hydrogen AO mixing depletes the strengths of the bonding MO's  $3a_g~(3\sigma_g)$  and  $1b_{2u}$  ( $\pi_{u}$ ) more than it lessens the opposite character in the antibonding orbitals (such as 1b3g). As a result one finds only a few bound systems in this class, just as in the HAAH family, with members containing second- or higher row atoms or even such weakly binding first-row species as beryllium or boron<sup>159</sup> still unknown.<sup>160</sup>

The geometrical motion of most interest for  $H_2ABH_2$ systems is that of relative rotation (or torsion) of the  $AH_2$ groups; a schematic diagram for the behavior of the important  $\pi$ -type MO's for such structural changes is given in Figure 31. Torsion is strongly favored for the antibonding  $\pi_g$ -type species ( $b_{3g}$  and  $b_{2g}$ ) but strongly resisted by their bonding counterparts (see Figure 32a,b).<sup>68</sup> By contrast the orbital energies of the  $\sigma$ -type MO's are seen to be relatively unaffected by such nuclear motion, and closer investigation shows that even the small changes which do occur are caused almost entirely by variation in  $\sigma$ - $\pi$  repulsion, that is, not by the direct effect of the  $\sigma$ MO's themselves. In fact, it has been found (see Table III or ref 68) that only a little more than 5% of the total



**Figure 32.** Calculated canonical orbital energies<sup>68</sup> as a function of the CH<sub>2</sub> twisting angle  $\gamma(\gamma = 0^{\circ} \text{ planar and } \gamma = 90^{\circ} \text{ perpendicular CH}_2$  groups) in ethylene C<sub>2</sub>H<sub>4</sub> (a) and in allene C<sub>3</sub>H<sub>4</sub> (b).

barriers to internal rotation in these molecules is caused by what could be described as pure  $\sigma$ -orbital effects. In addition it is found that the effect of a given antibonding  $\pi_g$ -type MO generally significantly outweighs that of a bonding  $\pi_u$  species, so that planar  $\mathsf{H}_2\mathsf{A}_2\mathsf{H}_2$ species are only possible if the number of electrons in  $\pi_u$ MO's exceeds that in the  $\pi_g$  species by at least two, as of course it does in the ethylene ground state (12 valence electrons) but not in any of the other low-lying states of such molecules (note that the SCF method is not adequate for a quantitative description of this rotational potential surface,67,68 as can be seen from Figure 33, and thus CI effects should be taken into account in applying the MW model in this case). Thus a Koopmans' theorem analysis of SCF results<sup>68</sup> for C<sub>2</sub>H<sub>4</sub> shows that both the positive and the negative ions of this species are slightly nonplanar (by about 20° in each case) in their equilibrium nuclear arrangements, as a result of either the re-

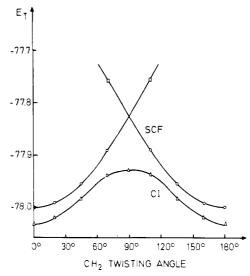
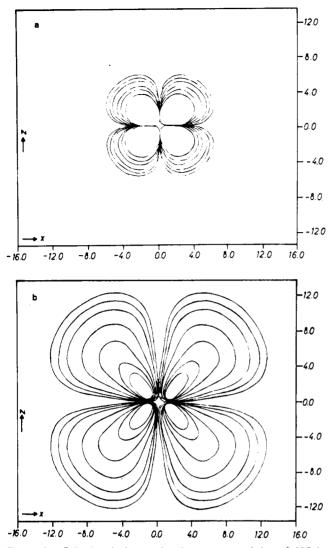


Figure 33. Calculated SCF and CI twisting potential curves of ethylene (planar 0°, perpendicular 90°).

moval of an electron from the  $\pi$   $(1b_{3u})$  MO or the addition of same to the  $\pi^*$   $(1b_{2g})$  species relative to the unionized ground state; such behavior contrasts sharply with that of other systems discussed up to this point (including H<sub>2</sub>AB systems), for which planar (or linear) ground-state geometries have been seen to be possible for more than just a single number of electrons.

The hydrazine ion  $N_2H_4^+$  is also believed to be slightly nonplanar since it is isoelectronic with  $C_2H_2^-$ , while hydrazine itself prefers a strongly rotated geometry in which, just as in H<sub>2</sub>O<sub>2</sub>, there is a skewed arrangement of the AH bonds; this fact again illustrates that occupation of (antibonding)  $\pi_g$  MO's produces a much more decisive geometrical effect than that of the (bonding)  $\pi_u$ -type species. The same type of analysis<sup>68</sup> is also possible for the larger system  $C_3H_4$  allene (Figure 32b) which, as  $N_2H_4$ , has equal occupation of  $\pi_u$ -type ( $\pi$ ) and  $\pi_g$ -type  $(\pi^*)$  MO's in its ground-state configuration and is known to prefer an antiplanar arrangement of CH<sub>2</sub> groups. In this case the nodal planes in the  $\pi_g\mbox{-type}$  MO's are located further away from the charge density maxima, and thus the aforementioned tendency toward skewed geometry is not dominant in the electronic structure of this system; hence a relatively symmetric  $(D_{2d})$  nuclear configuration (at least compared to that of N<sub>2</sub>H<sub>4</sub>) is believed to be optimum. This distinction is apparently also responsible for the fact that while hydrazine has a considerably larger AA bond length than ethylene as a result of differential occupation of the antibonding  $\pi^*$  (1b<sub>2g</sub>) MO, the analogous bond distance in allene is actually somewhat smaller than that of  $C_2H_4$  (see also section III.C.3).

The MW model has also been used with great success<sup>2,84</sup> in interpreting details of the electronic spectra of A<sub>2</sub>H<sub>4</sub> systems, particularly for the prediction of the gross shapes of the rotational potential curves of ethylene in its interesting  $\pi \rightarrow \pi^*$  triplet (T) and singlet (V) excited states. According to the rule discussed earlier in this section, both of these states should favor antiplanar geometry because of their equal occupation of the  $\pi$  and  $\pi^*$ MO's, respectively. This expectation is, in fact, borne out by ab initio SCF and CI calculations  $^{\rm 67,\,68,\,161}$  for the T state, with a quite deep potential minimum found for the antiplanar arrangement of CH2 groups, but considerable controversy has arisen over the analogous calculations for the corresponding singlet (V) state. The major point of discussion in this case has concerned the very large difference in the charge distributions calculated for the



**Figure 34.** Calculated charge density contours of the  $\pi^*$  MO in the  $\pi \rightarrow \pi^*$  triplet state of ethylene (a) and in the corresponding  $\pi \rightarrow \pi^*$  singlet (b).

respective  $\pi^*$  MO's of each state (Figure 34a,b), with this MO in the singlet species quite generally found to be considerably more diffuse<sup>162-164</sup> than that in the T state.

Basch and McKoy<sup>163</sup> have suggested that this difference might be only an artifact of the SCF method, and more recently Mulliken<sup>165</sup> has also speculated that such distinctions can most probably be corrected *via* (extremely) accurate CI treatments. On the other hand, from at least one point of view the calculated results seem quite reasonable as they stand. To begin with it should be recalled<sup>81</sup> that the total energies of corresponding triplet and singlet states of this type differ by exactly twice the exchange integral  $K_{ab}$  between the two singly occupied MO's a and b in the identical electronic configuration

$$E_{\rm T}(^{3}A) = E_{\rm T}(^{1}A) + 2K_{\rm ab} \qquad K_{\rm ab} > 0 \qquad (14)$$

provided the same set of MO's is used for both states; this approximation is the same as that used in the derivation of Koopmans' theorem (eq 9). In *ab initio* SCF calculations,<sup>166</sup> however, the MO's are *individually optimized for each excited state*, and it is certainly not surprising in view of eq 14 that the energy minimization process leads to particularly significant differences in the corresponding MO's of triplet and singlet, respectively, whenever  $K_{ab}$  is large, as is the case for the *valencetype*  $\pi$  and  $\pi^*$  MO's in ethylene and more generally for so-called in-plane excitations;<sup>167</sup> if  $K_{ab}$  is small, clearly less adjustment need be made to obtain the singlet wave function from that of the corresponding triplet. A general effect of this type is obviously quite closely related to the Pauli principle, and in light of these considerations it is easy to understand why the upper orbital of the singlet wave function in such a case is calculated to be relatively diffuse since such composition clearly allows for a decreased value for  $K_{\rm ab}$ .

Once it is seen that the diffuseness of the  $\pi^*$  MO in the ethylene V state results directly as a consequence of removing the restriction that both triplet and singlet species make use of the same set of orbitals, it is clear that this situation is not greatly different from that arising more generally in comparing the results of ab initio SCF calculations with the conclusions of the MW model (with its close relationship to Koopmans' theorem). Systems with the same electronic configuration are quite generally assumed to prefer the same net charge distribution and hence the same types of structural potential curves in the latter model (see sections II.B.2-3), while for quantitative results such an assumption is never entirely justified. In essence it is necessary to apply a correction to the MW model wherever significant differences in charge distribution occur for systems with the same electron configuration; in this case the correction is somewhat different from that of eq 12. Stated in qualitative terms the simple rule suggested by these calculations is as follows: as the amount of exchange energy increases through a series of multiplets arising from the same electronic configuration, so also does the diffuseness of the associated electronic charge distribution, and with it the tendency for states of this type to exhibit geometrical characteristics approaching those of positive ions (or Rydberg-type species). If such a rule is actually operative, it is clear that in-plane excitations<sup>167</sup> such as  $\pi \rightarrow \pi^*$  transitions in ethylene and formaldehyde (see also section III.B.4) and other  $\pi$ -electron systems should be very much affected by this principle, whereas out-of-plane species such as n  $\rightarrow \pi^*, \sigma \rightarrow \pi^*, \text{ or } \pi \rightarrow \sigma^*$  and especially Rydberg transitions should be influenced much less by it. Perhaps a principle of this kind offers the true explanation as to why the T-V energy separation is very much overestimated<sup>84</sup> by eq 14 unless a much smaller value of  $K(\pi\pi^*)$  is assumed than that calculated using typical valence representations of the initial and final orbitals in such transitions.

#### 6. H<sub>3</sub>ABH<sub>3</sub> Systems

There are essentially two distinct nuclear geometries favored by systems containing six hydrogen atoms and a parent diatomic skeleton, namely the umbrella structure of ethane  $(C_2H_6)$  or the bridged structure of diborane  $(B_2H_6)$ . Quite obviously it is possible to define a geometrical path<sup>168</sup> by which a general system in this class is transformed in a continuous manner from one of the limiting structures into the other, and hence an analysis of geometrical trends for these molecules can be carried out in a manner completely analogous to that used previously in discussing more conventional types of structural changes, despite the fact that such an application was not actually considered in the original presentation of the MW model.

The constitution of the upper valence MO's of a general system of this type is again closely related to that of the corresponding orbitals for a simple diatomic (see Figure 35 for a schematic diagram). Calculations for diborane and ethane<sup>22</sup> have demonstrated, for example, that the most stable unoccupied valence MO of 12-valenceelectron diborane in its equilibrium bridged structure is of

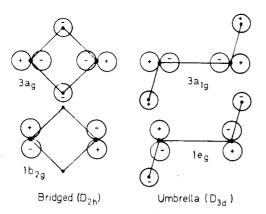


Figure 35. Schematic diagram representing two MO's of importance in the transition from an umbrella to a bridged structure of an  $A_2H_6$  molecule.

 $\pi^*$  type (1b<sub>2g</sub>), quite similar to the  $\pi^*$  MO in ethylene or the  $\pi_g$  in O<sub>2</sub>. Calculated orbital energy diagrams show very simply (Figure 36) that this MO  $(1b_{2g}-4a_g-3a_{1g})$  is more stable in an ethane-like umbrella nuclear arrangement, mainly because of the possibility of significant interaction with the hydrogen AO's in such geometries (Figure 35).169 In ethane, with 14 valence electrons, the corresponding MO is occupied, thereby producing a strong tendency toward the umbrella geometry, whereas in diborane, in which it is not occupied, the opposite trend is observed. The usual analysis thus predicts that members of the H<sub>3</sub>ABH<sub>3</sub> family favor umbrella geometries if they possess 14 valence electrons, such as ethane, ammonia-borane<sup>70</sup> (BNH<sub>6</sub>) and silane<sup>139</sup> (Si<sub>2</sub>H<sub>6</sub>) but that systems of this type with a smaller number of electrons, such as diborane, prefer less conventional nuclear arrangements in which two of the hydrogen atoms are bent back toward the center of the molecule.

Closer investigation of these geometrical trends<sup>70</sup> has shown, however, that an important horizontal correction must be applied to the MW model in this case to account for the significantly different bonding characteristics of carbon and boron AO's, respectively. Just as for AH2 and AH<sub>3</sub> bending, it is found that the electron repulsion term  $V_{ee}$  is the dominant factor in this correction (eq 12), decreasing faster with distortion into a bridged geometry for the more contracted charge distribution of carbon compounds than for that of boron-containing systems. This fact then makes the less contracted system more inclined<sup>47</sup> to favor compact nuclear geometries (in this case the bridged nuclear arrangement), as is observed. Explicit SCF calculations<sup>70</sup> for  $C_2H_6^{2+}$ , for example, have found that while this species favors a strongly distorted geometry relative to that of neutral ethane, it does not possess an energy minimum for a completely bridgedtype structure; thus the tendency to distort from the umbrella configuration is unquestionably present for this system, but the aforementioned electron repulsion effect prevents this trend toward bridged structures from being fully realized in this case.

Another important distinction between boron and carbon compounds in the  $H_3ABH_3$  class concerns their respective behavior toward stretching of the central bond. In the *umbrella* nuclear conformation, the  $p\sigma$  ( $3a_{1g}$ ) MO is much stronger for ethane than for either isoelectronic  $B_2H_6^{2-}$  or for diborane itself;<sup>169</sup> as a result it appears that *neither* of the aforementioned two boron compounds is stable in an umbrella geometry, whereas, of course, at least one of the corresponding carbon-containing substances is definitely bound with respect to dissociation into two CH<sub>3</sub> radicals in this  $D_{3d}$  arrangement. Furthermore, in a *bridged* geometry, for which the strengths of

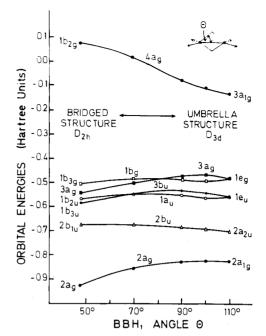


Figure 36. Correlation diagram for the transition between an umbrella and bridged structure of an  $A_2H_6$  molecule (calculated for  $B_2H_6$ ).<sup>22</sup>

the AH bonds are a more important factor than  $p\sigma$  bonding, the situation is completely reversed, with both  $C_2H_6$ and  $C_2H_6^{2+}$  potential curves being repulsive with respect to CC stretch (Figure 2 of ref 70) while diborane is known to be bound in such a nuclear arrangement (although by only a relatively small margin<sup>22</sup>). Apparently the presence of the bridged hydrogen atoms lessens the strength of  $p\sigma$  bonding between carbon atoms while somehow improving it in the case of the boron compounds. The conclusion from these investigations is that for this molecular family bridged structures are only possible if bonding between the  $p\sigma$  AO's of the heavy atoms is relatively weak. A similar analysis accounts for the existence of bridged A<sub>2</sub>H<sub>4</sub> compounds of either beryllium or boron.

The other type of geometrical motion in H<sub>3</sub>ABH<sub>3</sub> systems of great interest is that of internal rotation from eclipsed to staggered nuclear conformations within an umbrella nuclear framework. If this type of motion is analyzed according to the methodology of the MW model, or more specifically in terms of changes in  $\pi$  orbital energies and repulsions,<sup>68</sup> the only safe conclusion seems to be that such a qualitative approach is simply not sufficiently precise to make a definite prediction one way or another as to which of the aforementioned nuclear conformations is the most stable; the situation is wholly similar to that discussed earlier in connection with attempts to predict the relative stability of the cis and trans isomers of diimide (section III.B.3).

Nevertheless, Fink and Allen<sup>170</sup> have claimed that certain invariants do exist among the components of the total energy of ethane upon rotation. Similarly, Lowe<sup>171</sup> has asserted that the ethane barrier arises exclusively from the behavior of (extended Hückel)  $e_u$  and  $e_g$  pairs of orbital energies (Figure 22) during the course of the rotation process, again an explanation which would appear to bear a close relationship to the techniques of the MW model. On the basis of a comparison of two different *ab initio* SCF treatments,<sup>172,173</sup> however, Epstein and Lipscomb<sup>174</sup> have pointed out that neither the Fink-Allen nor the Lowe explanations for the occurrence of the ethane rotational barrier are supported by the results of calculations which optimize all geometrical parameters for

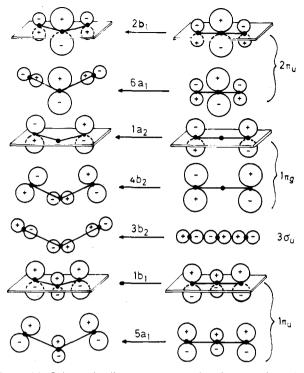


Figure 37. Schematic diagram representing the most important upper valence MO's in a linear and bent  $\mathsf{AB}_2$  molecule, respectively.

each stage of the internal rotation process. Such refinements in the theoretical treatment do not result in significant changes in the shape of the rotational potential curve itself, but they do greatly affect the variations exhibited by *individual energy components*. It is precisely because in the great majority of cases orbital energy trends are *practically unaffected* by changes in auxiliary geometrical variables and/or AO basis set that such a simple geometrical model as that given by Mulliken and Walsh has proven to be so generally successful; the ethane rotational barrier results merely underscore the limitations of analyses of this type, especially in situations for which the total energy varies relatively little from one conformation to the other.

# C. Molecules Containing Three Nonhydrogenic Atoms

### 1. Symmetric AB<sub>2</sub> Systems

The discussion of geometrical trends for symmetric triatomic molecules constitutes the largest single portion of Walsh's original series of papers,<sup>2</sup> and indeed forms the sole basis of Mulliken's initial presentation<sup>1</sup> of this structural model. This observation, coupled with the fact that a very high percentage of the early attempts to obtain a quantitative realization of the MW model were not at all successful in representing the essential features of AB2 correlation diagrams (see the discussion in section II.A.2), emphasizes that the class of triatomic molecules represents a very important testing ground for any theoretical construct designed to give mathematical justification to the methodology of such an empirical model. It is precisely in this pursuit that the use of canonical SCF orbital energies has succeeded as being the most physically consistent means for constructing the MW diagrams strictly on the basis of quantitative calculations, at least as long as the concept of equating total energy potential curves with corresponding  $\Sigma \epsilon_i$  species is abandoned and instead the differential form of Koopmans' theorem (eq 9) is used to obtain the geometrical relationships which constitute the primary objective of empirical models of this type (see the discussion in section II.B.1-2).

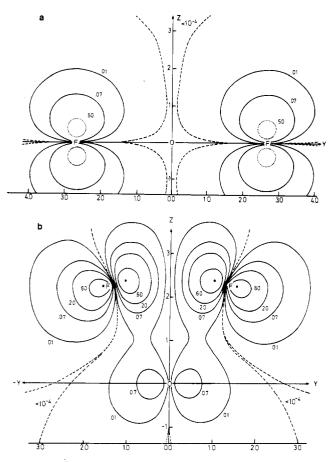
#### a. Bond Angle Trends

The very first angular correlation diagram for an AB2 system constructed from ab initio SCF canonical orbital energies was obtained<sup>23</sup> for  $F_2O$  (see Figure 2 of ref 23), and this work was followed shortly thereafter with 20 more such correlation diagrams for a series of ground and excited states  $^{24}$  of the systems O<sub>3</sub> and N<sub>3</sub><sup>--</sup> (Figures 2-3 of ref 24),  $NO_2^+$  and  $NO_2^{-175}$  and also symmetric NON,74 all of which agree in essential detail (particularly in the behavior of the upper valence orbital energy curves) with that calculated for  $F_2O$  and indeed with that given empirically by Walsh for a generalized AB<sub>2</sub> system: a representative calculated diagram of this type has already been introduced in Figure 2a. Subsequently, still other AB<sub>2</sub> correlation diagrams have been reported for NO<sub>2</sub> (Burnelle<sup>176</sup>), NO<sub>2</sub> $\pm$  (Pfeiffer and Allen<sup>177</sup>), CF<sub>2</sub> (Sachs, Geller, and Kaufman<sup>178</sup>), and C<sub>3</sub> (Liskow, Bender, and Schaefer<sup>179</sup>), all of which show the same familiar canonical orbital energy trends for molecular bending.

As usual a schematic diagram showing the approximate constitution of the valence MO's of an AB2 system (Figure 37) is helpful in understanding the behavior of the corresponding orbital energy curves; for the great majority of applications only the  $3\sigma_u$ ,  $1\pi_g$ , and  $2\pi_u$  MO's need be considered. The  $3\sigma_u$  and both components of the  $1\pi_g$  (4b<sub>2</sub> and 1a<sub>2</sub> in the bent system) possess orbital energy curves which rise with decreasing internuclear angle (Figure 2a), a behavior which is explained quite simply on the basis of the common nodal plane through the central atom which characterizes each of these species (Figure 37); the opposite trends are exhibited by the  $2\pi_{\rm u}$  (6a<sub>1</sub> and 2b<sub>1</sub> for bent systems) orbital energy curves. From Figure 2a it is seen that the aforementioned tendencies are stronger in the case of the  $1\pi_g$ -4b<sub>2</sub> and  $2\pi_{\rm u}$ -6a<sub>1</sub> species, whose charge density contour diagrams are given in Figures 38a,b and 39a,b, respectively, for both linear and bent geometries.<sup>23</sup> For the 4b<sub>2</sub> MO end atom p functions strike the nodal plane more or less head-on, thereby enhancing the geometrical trends much more than in orbitals whose p functions approach each other laterally upon bending  $(1\pi_g - 1a_2 \text{ or } 3\sigma_u - 3b_2)$ .

Similarly it is clear that the orbital energy trend for the  $2\pi_u$ -6a<sub>1</sub> MO is much more pronounced than that of the  $2\pi_u$ -2b<sub>1</sub> species because in the case of the former  $p\sigma$  bonding between the central and end atoms becomes an increasingly significant factor as bending proceeds (Figure 39a,b), whereas the analogous development cannot occur for the 2b<sub>1</sub> species (see Figure 37). A much more detailed description of the angular dependence of the MO's of symmetric triatomics, complete with charge density diagrams for all valence species, may be found elsewhere.<sup>23</sup>

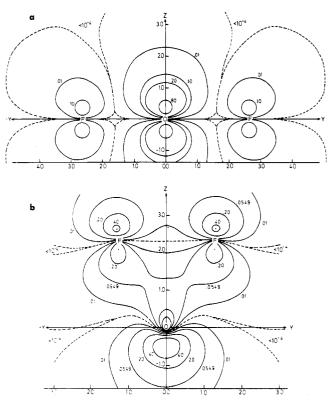
Because the MO's which stem from the linear  $2\pi_u$  species and favor a bent nuclear arrangement are invariably less stable than any of their  $3\sigma_u$  or  $1\pi_g$  counterparts with the opposite angular behavior, it follows easily that  $AB_2$  systems with 16 valence electrons (hence occupying all MO's except for the  $2\pi_u$ ) possess the most strongly linear ground states observed for the entire  $AB_2$  family (see Table I; CO<sub>2</sub>, N<sub>3</sub><sup>-</sup>, NO<sub>2</sub><sup>+</sup>, for example); this conclusion is in fact borne out by experimental force constant measurements.<sup>50,180</sup> Adding electrons to the  $2\pi_u$ -6a<sub>1</sub> MO reverses this tendency completely, so that AB<sub>2</sub> systems with 17 valence electrons (such as NO<sub>2</sub>) are actually nonlinear in their ground states. Further addition of



**Figure 38.** Calculated charge density contours for the  $1\pi_g$ -4b<sub>2</sub> MO of F<sub>2</sub>O in the linear nuclear arrangement (a) and for a bent structure with  $\angle$  FOF = 60° (b).

electrons up to a total of 20 of valence type enhances this trend away from linear structures, with  $F_2O$  possessing a quite small internuclear angle of about 100° (Table 1).

Proceeding downward from 16 valence electrons implies depopulation of the  $\pi_{\rm g}$  MO, and hence a trend toward less strongly linear molecules is expected; the 12-valence electron molecule C<sub>3</sub> is still believed to be linear but to be characterized by an extremely weak bending force constant,179,180 in obvious agreement with what must be concluded on the basis of the MW model (and also eq 9). For systems with less than 12 valence electrons, such as  $C_3^+$ , one can further predict that depopulation of the  $3\sigma_u$ -3b<sub>2</sub> MO weakens the trend toward linear equilibrium geometry still more, in fact, producing bent systems again. If the electrons are removed from either component of the  $1\pi_u$ , however, which shows the opposite orbital energy behavior as the  $3\sigma_u$  species, the trend toward linearity should be somewhat enhanced relative to the case of the C<sub>3</sub> ground state; thus on the basis of the differential form of Koopmans' theorem the  ${}^{2}\Pi_{u}$  multiplet of  $C_3^+$  (which is apparently the ground state of this system)<sup>179</sup> is predicted to be definitely linear. Systems with more than 20 valence electrons would also be predicted to be linear on the basis of Figure 2a because of the strongly linear trend in the  $5\sigma_{g}$ -7a<sub>1</sub> MO, next to be occupied after the  $2\pi_u$ -(6a<sub>1</sub>, 2b<sub>1</sub>) species; in fact, complex ions with 22 valence electrons such as  $I_3^-$  are believed<sup>2</sup> to be linear. All of these trends are obviously predicted in a straightforward manner on the basis of a Koopmans' theorem analysis (eq 9) of the total and orbital SCF energies of any of the triatomic systems for which calculations are available; specific applications using the O3 and N<sub>3</sub><sup>-</sup> results are found in section II.B.2. (A summary of



**Figure 39.** Calculated charge density contours for the  $2\pi_u$ -6a<sub>1</sub> MO of F<sub>2</sub>O in the linear nuclear arrangement (a) and for a bent structure with  $\angle$  FOF = 60° (b).

the trends in internuclear angles of AB\_2 molecules is given in Table VIII.)

Nevertheless in certain well-defined cases a rather large horizontal correction (in the sense of eq 12) must be applied to the MW model in order to satisfactorily account for observed geometrical distinctions between isovalent members of this important class of molecules; for example, it was noted in section II.B.3 that ionic systems of this type generally possess quite different angular potential curves from species of much more covalent character containing the same number of valence electrons. Thus Li<sub>2</sub>O is linear whereas water is strongly bent, and the whole group of alkaline earth metal fluorides becomes progressively more bent as the row number of the central atom increases, despite the fact that isovalent (and much less ionic) CO<sub>2</sub> is strongly linear (see Table VIII). In all these cases decreased interaction between central- and end-atom AO's for the ionic species coupled with quite understandable alterations in the rate of change of electron repulsion  $V_{ee}$  as bending proceeds (see section II.B.3) has a decided effect on the angular potential curves of such systems, even though the underlying postulates of the MW model (and Koopmans' theorem) do not distinguish between members of the same family on this basis.

From a technical point of view it should be emphasized that such geometrical trends are reproduced to a high degree without the aid of d functions or other polarization species in the AO basis set.<sup>53</sup> This statement must be qualified somewhat, however, if the *potential curves of interest possess extremely shallow minima*. Thus Hayes<sup>54</sup> has noted that d functions are important to obtain quantitatively reliable bond angles in the series of alkaline earth metal fluorides and has based the explanation of this result on a symmetry argument which stresses the fact that *more* d AO's can interact with the MO's of such AB<sub>2</sub> systems in *bent* than in linear geometries. Similar arguments have been given by Body, McClure, and Clementi<sup>181</sup> for

TABLE VIII. Bond Angle	Trends in ABC	C (and H <sub>n</sub> ABC)	Systems⁰
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No.⁵	State	General MW trend	Behavior with Σε;	bending Vee	Horizontal correction (eq 12) for central atom B in Same row Same group	Examples
12	$\begin{array}{cccccccccccccccccccccccccccccccccccc$		<i>2, 2,</i>			
14	$\frac{1}{3\Sigma_g} \frac{1}{2}$	Weakly linear (π <sub>g</sub> doubly occ)	Increases faster with increased charge at ter- minal atoms (linear trend)	Same as above	Same as above	NCN (180), CCO (180)
16	$\dots 1\pi_{\mathrm{u}}{}^{4}1\pi_{\mathrm{g}}{}^{4}$ ${}^{1}\Sigma_{\mathrm{g}}{}^{+}$	Linear ( <sub>πg</sub> fully occ)	Same as abov <b>e</b>	Same as above	Same as above	CO <sub>2</sub> , N <sub>3</sub> <sup>-</sup> , NO <sub>2</sub> <sup>+</sup> (linear), BeF <sub>2</sub> (weakly linear), SrF <sub>2</sub> (108) <sup>d</sup>
17	${\dots} 1{\pi_{\mathrm{u}}}^4 1{\pi_{\mathrm{g}}}^4 2{\pi_{\mathrm{u}}}$ or $1{a_2}^2 4{b_2}^2 6{a_1}$ $^2 A_1$	Weakly bent (6a1 singly occ)	Decreases slower with increased electronegativ-	Same as above	Less strongly More strongly bent with increased atomic number of central atom	NO2 (134.1), FCO (135), BF2 (<134)
18	1a <sub>2</sub> ²4b <sub>2</sub> ²6a <sub>1</sub> ² ¹A <sub>1</sub>	Bent (6a <sub>1</sub> doubly occ)	ity difference between termi-	Same as above	Same as above	O <sub>3</sub> (116.8), FNO (110), CF <sub>2</sub> (105), SiF <sub>2</sub> (101)
19	1a <sub>2</sub> <sup>2</sup> 4b <sub>2</sub> <sup>2</sup> 6a <sub>1</sub> <sup>2</sup> 2b <sub>1</sub> <sup>2</sup> B <sub>1</sub>		nal and central atoms (bent	Same as above	Same as above	NF <sub>2</sub> (104.2), FOO (bent)
20	6a <sub>1</sub> <sup>2</sup> 2b <sub>1</sub> <sup>2</sup> <sup>1</sup> A <sub>1</sub>	Strongly bent (6a1 and 2b1 doubly occ)	trend)	Same as above	Same as above	F₂O (103), Cl₂O (110.8), <sup>c</sup> Cl₂S (103)
22	6a <sub>1</sub> ²2b <sub>1</sub> ²7a <sub>1</sub> ²	Linear	Increases slower with increased electronegativity difference (linear trend)	Same as above	More strongly Less strongly linear with increased atomic number of central atom	I₃ <sup></sup> (180), XeF₂ (180) [CIF₂ with 21 electrons: 135]

<sup>*a*</sup> Conclusions are drawn in a similar manner as in previous tables. Internuclear angles are given in degrees. <sup>*b*</sup> Number of valence electrons. <sup>*c*</sup> The net charge at the terminal atoms is smaller in Cl<sub>2</sub>O than in F<sub>2</sub>O, and therefore the "effective" atomic number of A is larger in Cl<sub>2</sub>O than in F<sub>2</sub>O; hence according to eq 12 the larger angle occurs in Cl<sub>2</sub>O. <sup>*d*</sup> See section II. B. 3.

d-orbital effects in NH3 and also more recently by Liskow, Bender, and Schaefer<sup>179</sup> in their discussion of SCF calculations for  $C_3$ . Nevertheless it is significant that in such exceptional cases other polarization functions, particularly off-center s and p species, 118 can be just as effective as d AO's in obtaining the desired quantitative geometrical behavior for a system, thereby emphasizing the fact that inclusion of d functions is not conceptually important. Furthermore, on the basis of existing SCF calculations there are strong reasons to conclude that it is the polarity of the AB bonds in triatomic systems and not the proclivity of their constituent atoms to make use of polarization functions which is mainly responsible for the observed fact that isovalent members of this molecular family can possess widely different angular potential curves (see also section II.B.3).

#### b. Bond Length Trends

Characteristic geometrical trends can also be distinguished in surveying experimental results for bond lengths of AB<sub>2</sub> molecules, and as usual it is possible to rationalize these general patterns on the basis of appropriate (AB stretching) orbital energy correlation diagrams, such as those given in Figure 40a,b for CO<sub>2</sub> and BeF<sub>2</sub>, respectively.<sup>44</sup> In both of these cases, for example, it is found that among the most important valence orbitals the  $1\pi_u$ ,  $3\sigma_u$ , and even the  $1\pi_g$  are AB bonding, while the  $2\pi_u$  is AB antibonding.<sup>182</sup> Each of these trends seems quite reasonable in light of the constitution of the corresponding MO (see Figure 37), with the apparent exception of the AB bonding nature of the  $1\pi_g$ ; by analogy to the  $\pi_g$  orbital in simple diatomics, this orbital might be expected to be antibonding because of the nodal plane present in its charge density. Experimental evidence, however, does support the calculated finding of distinctly bonding characteristics for this species since  $CO_2^+$  in its <sup>2</sup>IIg ground state (obtained by removing one electron from the  $1\pi_g$  MO) is observed to possess a larger CO bond length than CO2 itself in its ground state, thereby indicating according to Koopmans' theorem (eq 11a) that ionization has indeed occurred from an orbital of bonding type. Mulliken<sup>1</sup> has pointed out that a d $\pi$  function located at the A atom in such systems has the proper symmetry to be bonding with both  $p\pi$  AO's at the terminal atoms and thus might be expected to play an important role in producing the aforementioned behavior, but the fact remains that the actual SCF calculations<sup>44</sup> find the  $1\pi_g$  to be bonding without the use of any d functions whatsoever.

The real explanation for the  $1\pi_g$  orbital energy trend for AB stretch appears to lie in the fact that the central A atom in triatomic molecules, particularly in CO<sub>2</sub> and BeF<sub>2</sub>, has a *large net positive charge*, thereby producing a strong attraction for the electronic charge of the orbital in question, localized as it is at the terminal (B) atoms of such systems. This statement is clearly supported by the results of a study of changes in the character of H<sub>2</sub> orbital energy curves whenever a *positive or negative charge is placed at the inversion center of the molecule.* If a negative charge is placed between the two nuclei, the normally bonding  $\sigma_{\rm g}$  MO of H\_2 eventually is converted into an antibonding species as the magnitude of the central charge is increased; if, on the other hand, a positive charge is used for this purpose, the normally antibonding  $\sigma_{\mathrm{u}}$  species gradually becomes a bonding orbital (the conversion is complete when the magnitude of the extraneous charge has increased to about 1.0). These findings emphasize, just as the results for the 1s orbital energy variations discussed earier in sections III.A.1-2 and III.B.1, the basic weakness of the conventional MW model in attempting to explain all orbital energy changes entirely in terms of the AO constitution of the specific MO involved, thereby completely ignoring the very real and oftentimes more important consequences of the presence of large unscreened positive or negative charges at the various atomic centers.

As a result of the bonding character of the  $1\pi_g$  MO in AB<sub>2</sub> systems, it is found that the bond lengths in such molecules reach their minima (within a class of similarly covalent species containing atoms of the same row of the periodic table) for species such as  $CO_2$  and  $N_3^-$  for which the  $1\pi_{\varphi}$  becomes fully occupied (16 valence electrons). By Koopmans' theorem either addition or subtraction of electrons from such systems produces a trend toward larger AB bond lengths (see Table I). Thus C3 with 12 valence electrons has a fairly large bond length of 1.277 Å, NCN (14 valence electrons) a somewhat smaller value (1.23 Å), CO<sub>2</sub> and N<sub>3</sub><sup>--</sup> still smaller (16 valence electrons) at 1.16 and 1.12 Å, respectively, while further addition of electrons (occupying the  $2\pi_{\rm u}$  MO) reverses this trend, with AB bond distances increasing progressively through  $NO_2$  and  $O_3$  (17 and 18 electrons, respectively) to F2O (20 valence electrons), with values of 1.193, 1.278, and 1.41 Å, respectively, having been reported. (For more examples see Table | of the present work or Table IX of ref 44). These general trends in the bond distances of triatomic molecules are summarized in Table IX.

Nevertheless, a sizable horizontal correction must be applied to the original MW model to account for quite large bond distance discrepancies which exist between isovalent systems of *different covalency* in this family. In general, bond lengths will increase as the electronegativity difference between A and B becomes larger and/or as the row number of the constituent atoms increases. Both effects are quite understandable in terms of eq 12, as has been pointed out above in connection with a general discussion of this expression, and the reader is thus referred to section II.B.3 for more details on this subject.

### c. Geometry of Excited States

The geometrical trends indicated by the MW model hold equally well for valence excited states of systems as for the corresponding ground states, and thus the structure of a molecule in an excited valence state<sup>183</sup> can also be predicted by making use of the calculated orbital energy correlation diagrams given earlier. It has been shown in section II.B.2 that the differential form of Koopmans' theorem (or successive application thereof) can be used to give even quantitative substance to such relationships between ground and excited state potential curves (see, for example, the various AB<sub>2</sub> angular potential curves in Figures 8 and 9 which have been constructed via eq 9 from SCF data for a given state of a *single* molecule of this type).

Thus  $CO_2$ ,  $N_3^-$ , and other isovalent systems are expected to prefer bent geometries in their excited states (despite the opposite characteristic noted for their respective ground states) whenever the  $6a_1$  MO is populated in such

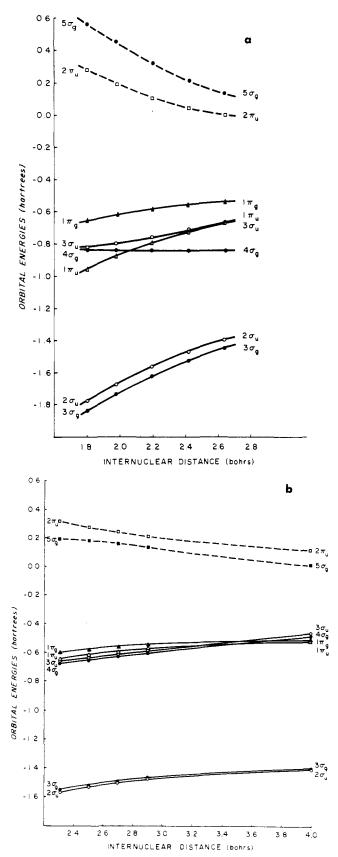


Figure 40. Calculated canonical orbital energies as a function of internuclear distance  $^{44}$  for CO  $_2$  (a) and for BeF  $_2$  (b).

species (see Figures 2a, 37, and 39a,b) since this orbital is well known to be much more stable in strongly bent than in linear nuclear arrangements. It is also clear from this model that  $AB_2$  systems with 18 valence electrons, such as  $SO_2$  and  $O_3$ , are *less strongly bent* in their lowest excited states than in their respective ground states as a

	TABLE IX.	Bond Distance	Trends in ABC	Moleculesa
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No.⁵	State	General MW trend <sup>¢</sup>	Behavior with approach of nuclei $\Sigma \epsilon_i \qquad V_{ee}$	Horizontal correction (eq 12) for atoms in Same row Same group	Examples
12	$\frac{3\sigma_{g}^{2}2\sigma_{u}^{2}4\sigma_{g}^{2}3\sigma_{u}^{2}1\pi_{u}^{4}}{1\Sigma_{g}^{+}}$	1.25-1.30	Decreases more Increases more slowly slowly	In general greater inter- nuclear distance with	C <sub>3</sub> (1.277)
13	$\dots 1^{\pi_u^4 1 \pi_g}$ $^2\Pi_g$	Somewhat smaller than above $(1\pi_g$ bonding)	with increased difference in elec- tronegativity between neighbor- atoms		CCN, CNC (1.245)
14	$\frac{1}{^{3}\Sigma_{g}}^{1}$	1.20–1.25 smaller than above ( $1\pi_g$ doubly occ)	(Trends valid throughout entire table)	negativity of either atom (Trends valid	NCN (1.232)
15	$\frac{1}{2} \prod_{g} \prod_$	Somewhat smaller than above	· · · · · · · · · · · · · · · · · · ·	throughout entire table)	N <sub>3</sub> (1.1815), <sup><i>d</i></sup> NNO <sup>+</sup> (1.155/1.185), <sup><i>e</i></sup> CO <sub>2</sub> <sup>+</sup> (1.177), NCO ( $\Sigma \leq$ 2.408), BO <sub>2</sub> (1.265), CS <sub>2</sub> <sup>+</sup> (1.564)
16	$\frac{1}{\Sigma_g}^{41}\pi_g^4$	1.12-1.16, small (1π <sub>g</sub> fully occ)			N <sub>3</sub> <sup>-</sup> (1.12), CO <sub>2</sub> (1.16), NNO (1.126/1.186), <sup>e</sup> OCS (1.16/1.56) <sup>e</sup> , CS <sub>2</sub> (1.554), BeF <sub>2</sub> (1.42)
17	$(\dots 1\pi_{u}{}^{4}1\pi_{g}{}^{4}2\pi_{u})$ $\dots 6a_{1}$ ${}^{2}A_{1}$	Somewhat larger than above $(2\pi_u$ antibonding)			NO <sub>2</sub> (1.1934), FCO (1.34/1.18)*
18	6a <sub>1</sub> ² <sup>1</sup> A <sub>1</sub>	1.25-1.30 larger than above ( $2\pi_u$ doubly occ)			O <sub>3</sub> (1.278), CF <sub>2</sub> (1.30), FNO (1.52/1.13),* SO <sub>2</sub> (1.432), SIF <sub>2</sub> (1.591), CINO (1.95/ 1.14)
19	6a <sub>1</sub> ²2b <sub>1</sub> ²B <sub>1</sub>	Somewhat larger than above			NF <sub>2</sub> (1.35 or 1.37)
20	6a <sub>1</sub> <sup>2</sup> 2b <sub>1</sub> <sup>2</sup> <sup>1</sup> A <sub>1</sub>	1.40-1.45 relatively large $(2\pi_u \equiv 6a_1 + 2b_1 \text{ fully occu-pied})$			F <sub>2</sub> O (1.41), Cl <sub>2</sub> O (1.68), Cl <sub>2</sub> S (2.0)

<sup>*a*</sup> All value are given in Å. <sup>*b*</sup> Number of valence electrons. The notation for the electronic configurations is according to first-row members. <sup>*c*</sup> The values given in this column refer to first-row members only. <sup>*d*</sup> Calculations (ref 182) find that the two bonds are not equal in N<sub>8</sub>, indicating an electronegativity difference between the atoms in the molecular environment (they find equal bond lengths in N<sub>3</sub><sup>-</sup>). <sup>*e*</sup> The prediction as to which one of the two bond lengths in asymmetric triatomics is the large one is obvious, whereas the magnitude of each depends heavily on the charge transfer which occurs.

result of (single) occupation of the 2b1 MO in place of the 6a1. Such argumentation also explains the fact that NO<sub>2</sub> in its first excited state (<sup>2</sup>B<sub>1</sub>) is weakly linear, while in its <sup>2</sup>A<sub>1</sub> ground state it is bent, again because occupation of the 2b1 in the excited state is less effective in reversing the trend toward linear geometry of systems with one less valence electron. More examples can be found in the existing calculated angular potential curves for various states<sup>24</sup> of  $O_3$  and  $N_3^-$  and also for the odd-electron system<sup>176,184</sup> NO<sub>2</sub> (see Figures 8 and 9 of the present work and Figure 1 of ref 176, for example). The underlying basis which produces such a simple and concise relationship between ground and valence excited state potential curves for all molecules in a given family is clearly the fact that orbital energy trends are largely unaffected by the nature of either the system or the electronic state in a given case (at least as long as the corresponding MO's are actually occupied in the calculations being considered, which is of course the only situation of any real interest; see section II.C.1.e).

### 2. Asymmetric Triatomics

#### a. Role of Symmetry

Although the analysis in the preceding section has been based to a very large extent on symmetric members of the triatomic family, it must be emphasized that the general geometrical trends discussed therein are also observed for systems of lower nuclear symmetry; for example, the shapes (i.e., bond angles and bond distances) of NNO, FCO, FOO, OCN, and many others appear to be determined basically by the number of valence electrons they contain. In order to study the relation between symmetric and asymmetric triatomics, it is useful to consider the results of ab initio SCF calculations carried out<sup>74</sup> for nitrous oxide in both symmetric NON and equilibrium NNO nuclear arrangements, in each case for a large series of internuclear angles. The most fundamental result stemming from these calculations is the great similarity they show to exist between the MO's of symmetric and asymmetric conformations, respectively. This result is particularly apparent in the comparison of the  $1\pi_g$  charge density of NON with that of the  $2\pi$  of NNO (Figure 41a,b); furthermore, practically the same degree of similarity is found to exist between the other valence MO's for these two nuclear arrangements (see Figures 4 and 5 of ref 74, for example). There is, to be sure, some central AO mixing present in the  $\pi_g$ -type species of the asymmetric structure which, of course, cannot occur in the corresponding MO of the symmetric NON conformation because of group theoretical restrictions, but this eventuality can be looked upon as merely a form of perturbation.

A comparison of the NNO ground state angular correlation diagrams (Figure 42) shows not surprisingly that this similarity between MO's of asymmetric and symmetric triatomics is carried over into the variation of their corresponding orbital energies (see Figure 2a). The only

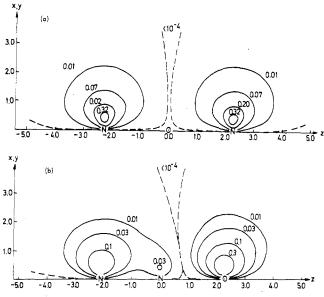
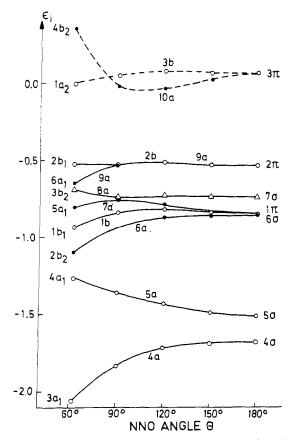


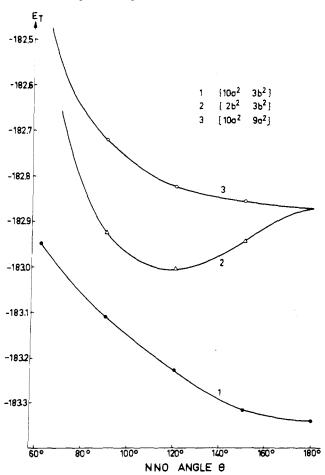
Figure 41. Calculated charge density contours for the  $1\pi_g$  (2 $\pi$ ) MO in the hypothetical molecule NON (a) and in NNO (b).

apparent discrepancies occur for angles beyond 100°, for which the  $2\pi_u$ -type in-plane orbital energy (10a) begins to rise while the corresponding  $\pi_g$ -type species (9a) starts to decrease with bending, but such distinctions are easily explained in terms of the lower symmetry of nitrous oxide and the effect of the noncrossing rule, with the  $\pi_g$  $(2\pi)$  species correlating with the  $6a_1$  MO at small angles rather than with the usual 4b<sub>2</sub>, and the opposite trend occurring for the  $2\pi_u$  ( $3\pi$ ) MO (see Figure 42). In the region from 180 to 100°, however, the angular behavior of the orbital energies is quite similar to that in symmetric triatomics, with the  $2\pi$  ( $\pi_g$ ) components becoming less stable and the  $3\pi$  ( $2\pi_u$ ) species becoming more stable, respectively, with bending out of the linear nuclear arrangement.

The aforementioned orbital energy trends are evident in comparing the calculated SCF potential curves for various states of NNO shown in Figure 43. First of all, the molecule is linear in its ground state (curve 1) just as all other covalently bonded symmetric triatomics with 16 valence electrons. Transferring two electrons from the 2b to the 10a MO yields a state (denoted 2) in which the molecule is strongly bent, just as for the analogous change in occupation for symmetric diatomics, in this case involving the 1a<sub>2</sub> (2b) and 6a<sub>1</sub> (10a) MO's, respectively (the analogous potential curve for N3- in Figure 9a is also labeled 2). A two-electron transition from the 9a (4b<sub>2</sub>) to the 3b (2b1) relative to the NNO ground state leaves the system linear but less strongly so, also just as in the analogous change in symmetric N3<sup>-</sup> (curve 3 of Figure 9a). In addition, the actual angular potential curves for asymmetric and symmetric triatomics should be even more similar than the respective calculated SCF species since a CI treatment will for all practical purposes remove any distinctions between these two types of systems which might be thought to result from the unusual correlation of the 9a and 10a MO's for angles smaller than 100°; this conclusion follows from the fact that in multideterminantal wave functions electron transfer between orbitals of different symmetry is not forbidden by the noncrossing rule, unlike the situation for single-determinantal (SCF) species. The agreement between potential curves of symmetric and nonsymmetric systems has also been found to be quite good in a comparison<sup>70</sup> of ethane C<sub>2</sub>H<sub>6</sub> and ammonia-borane BNH<sub>6</sub> (see section III.B.6) and seems to be of quite general significance.



**Figure 42.** Calculated canonical orbital energies as a function of internuclear angle for the ground state of NNO.<sup>74</sup>



**Figure 43.** Calculated angular potential curves for the  ${}^{1}A_{1}$  states of NNO. The notation  $\{10a^{2} \ 3b^{2}\}$  indicates that of the four MO's 9a, 10a, 2b, and 3b the orbitals 10a and 3b are not occupied.<sup>74</sup>

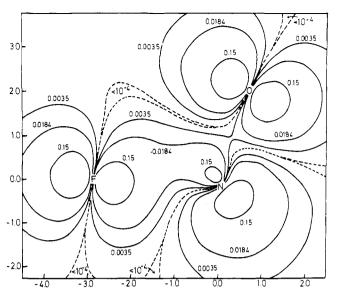


Figure 44. Calculated charge density contours for the 10a (highest occupied) MO in FNO in its equilibrium geometry.

Furthermore the same trends which are found to be operative for bond lengths in symmetric triatomics are also observed in the case of asymmetric species; for example, both addition and removal of electrons from systems with 16 valence electrons lead to an increase in the corresponding internuclear distances (see Table I). Obviously, compared to symmetric triatomics there is much less likelihood in these cases that the two bond lengths are equal,<sup>182</sup> and in order to predict which of these is the larger as well as the approximate magnitude of the observed difference between them it is again found that the horizontal correction of eq 12 can be of considerable utility. The NN and NO bond lengths in NNO of 1.128 and 1.184 Å are roughly equal to  $R_{\rm CO}$  in isovalent  $CO_2$  (1.16 Å), for example, but because of the smaller polarity of the bond between the two nitrogens in this system the NN distance is decreased (see section II.B.3) whereas the NO species shows the opposite change relative to the corresponding value for the symmetric molecule. In FNO the FN bond is much more polar than the OO counterpart in isoelectronic ozone, and hence the  $F^-N^+$  bond length is much larger (2.872 bohrs) than the equilibrium distance in  $O_3$  (2.413 bohrs); on the other hand, the NO bond in FNO is only 2.135 bohrs. It is worth noting that in both comparisons the two bond lengths in the nonsymmetric system straddle that of the corresponding isovalent symmetric species, with the magnitude of the bond length differences depending quite heavily on the respective bond polarities.

The finding that this effect is much smaller for NNO than for FNO is clearly related to the fact that the AO's in NNO are strongly interacting whereas those in FNO are not. Thus FNO can be described rather accurately185,186 as  $F^-$  + NO<sup>+</sup>, and, in fact, of all the MO's in this system only the 10a is accurately described as a mixture of both fluorine and NO character (Figure 44); the latter orbital is quite similar to a typical 6a1 MO of a symmetric triatomic, with its tendency toward a bent nuclear framework (compare Figure 39a,b). Despite the weak mixing of its AO's, however, FNO is found to possess an internuclear angle (110°) which is rather close to that of  $O_3$ (117°). In turn the fact that the FNO angle is somewhat smaller is quite consistent with what is expected from eq 12 on the basis of the relatively negative end atoms of this system (see section II.B.3). A summary of the geometrical trends in nonsymmetric as well as symmetric triatomic molecules (all heavy atoms) is also given in Tables VIII and IX.

#### b. Replacement of Fluorine by Hydrogen

In the present context it is worthwhile to briefly compare and analyze the shapes of molecules which differ only in the substitution of fluorene atoms for hydrogens (often with formal loss of symmetry). Historically systems such as  $H_2O$  and  $F_2O$  have been treated in different classes in the MW model because of rather obvious distinctions in both the number and constitution of their respective occupied valence MO's, but it is well known that systems which are so related generally do possess quite similar shapes (although certainly not bond lengths), and this resemblance seems to be more than just coincidence, as can be judged from a recent review of this general subject by Gillard.<sup>187</sup>

A comparison of the systems FNO185 and HNO134 shows that the similarity between F and H atoms is guite understandable from the standpoint of ab initio SCF calculations, especially in cases for which the fluorine AO's are only capable of rather weak mixing with the AO's of the other atoms contained in the compound. The electronic structures of both FNO and HNO can be described in terms of a perturbed NO (or NO<sup>+</sup>) system (recall the perturbed sphere model discussion in section III.A) accompanied by either a spherical H<sup>+</sup> or F<sup>-</sup> ion;<sup>188</sup> to be sure the bonds to fluorine and hydrogen in such cases are still best described as covalent, but the important point is that the degree of electron sharing is very nearly the same in both types of systems. As a result in both cases the preferred location of the H or F nucleus is determined to a large extent by the manner in which the charge density of the rest of the molecule becomes (slightly) perturbed by the presence of the latter.

Not surprisingly there are still some differences in equilibrium angles of corresponding systems of the two types, 189 but for the most part these distinctions can easily be understood in terms of the fact that F atoms tend to possess net negative charges while H atoms are more likely to be somewhat positive. As a result according to the general analysis of horizontal corrections to the MW model in terms of eq 12 it follows that  $V_{ee}$  should increase faster with bending for F compounds than those with substituted H atoms and hence that the fluorine-containing substances generally possess slightly smaller equilibrium angles  $^{47}$  (for example, F2O 100°, H2O 105°). Nevertheless as usual these discrepancies are generally far less important than the basic similarity observed between the shapes of corresponding pairs of such systems. This point will be pursued further in connection with a discussion of tetratomic molecules in section 111.D.

### c. Order of Heavy Atoms in Triatomic Molecules

The fact that the MO's of an asymmetric system such as NNO are not greatly different from those of symmetric AB<sub>2</sub> molecules (see Figure 41a,b) raises the rather obvious question of what factors actually determine *the order of nuclei* in this and other classes of polyatomic systems. The key to the understanding of this point has been shown<sup>74</sup> to lie in the fact that although MO composition may be roughly equivalent for NNO and NON, for example, the *relative* stabilities of their orbitals are definitely affected by such a change in the order of nuclei. To see why this is so it is necessary to recall that the  $1\pi_g$ MO (and also the  $2\pi$ ) is composed entirely of end-atom AO's, whereas the  $2\pi_u$  shows very nearly the opposite composition (the  $1\pi_u$  also has considerable end-atom AO character). It follows then that in NNO the MO's with considerable end-atom character are relatively more stable, because of the higher nuclear charge of O, than in NON (in which case both end atoms are nitrogens); at the same time the opposite situation holds for MO's of central-atom character such as the  $2\pi_u$ . Therefore the  $1\pi_g-2\pi_u$  energy separation is found<sup>74</sup> to be substantially less in NON than the analogous  $2\pi-3\pi$  splitting in NNO. The same type of argument has been used by Walsh<sup>2</sup> to explain the fact that transition energies to various states in NNO are uniformly lower than the corresponding values in CO<sub>2</sub> (with two O end atoms, of course).

This observation concerning the relative orbital stability is critical in determining the preferred order of nuclei because obviously the tendency in such systems is to occupy the lower lying  $1\pi_{\mathrm{u}}$  and  $1\pi_{\mathrm{g}}$  orbitals with their predominantly end-atom character before the less stable  $2\pi_{\rm u}$  species of opposite composition. For triatomics with 16 valence electrons the  $1\pi_g$  (or  $2\pi)$  is fully occupied, for example, while the  $2\pi_u$  is vacant. For a combination of two nitrogen atoms and one oxygen, by far the most stable  $\pi_g$  (and  $1\pi_u$ ) orbital is obtained if the more highly charged oxygen nucleus is located at one of the termini, and indeed the aforementioned SCF calculations<sup>74</sup> indicate that the NNO arrangement is preferred over the more symmetric NON conformation by at least 100 kcal/ mol; the stability of the  $2\pi_u$  is immaterial in this case since it is not occupied. Consequently it is not at all surprising that the order of nuclei in triatomics quite generally is such as to locate the most electronegative elements at the termini (FOF, FOO, FNO, FCO, NNO, NCN, OCO, OBO, ONO, ONO<sup>±</sup>, FCN, and many other examples, as in Table I).

Furthermore, the above argument indicates that the trend toward placing the most electropositive atom at the central position should reach its zenith for systems with 16 valence electrons, such as NNO,  $CO_2$ ,  $NO_2^+$ , and BeF2. For systems with fewer electrons and hence lower  $1\pi_g$  occupation the stability difference between symmetric and asymmetric arrangements should be significantly smaller, as is in fact indicated by the finding<sup>190</sup> that CCN and CNC (with 13 valence electrons) are both sufficiently stable to be studied experimentally. By the same token, it seems clear that systems with substantially more than 16 valence electrons, such as FOO and F<sub>2</sub>O, which occupy the central atom  $2\pi_{\mathrm{u}}$  MO (more stable with the heavier atom in the center) with three or four electrons, respectively, show a much smaller stability difference between symmetric and nonsymmetric structures than does either NNO or CO<sub>2</sub>.

A more detailed discussion of this general subject is given elsewhere;74 yet another experimental result which throws additional light on this interesting subject is the finding that the thio analog of nitrosyl fluoride (FNO) prefers the structure NSF. This result emphasizes that the most important single factor in the above argument is the relationship between the stability of MO's and their AO composition. In FNO there is no question that O AO's are generally more stable than those of nitrogen; hence the order FNO is preferred with the most electropositive element in the central position. In NSF, however, the situation is not as clear since N and S possess roughly equal electronegativity (cf. Pauling's diagonal rule for the periodic table<sup>7</sup>). The fact that NSF is the preferred structure in the latter case is quite consistent with the calculated finding<sup>191</sup> that the orbital energy of a nitrogen 2p is slightly lower than that of a sulfur 3p, thereby making the  $\pi_{g}$ -type orbital more stable with N in the terminal position. At the same time, however, it seems clear that the alternative structure FNS must also represent a relatively stable conformation for this combination of nuclei. In summary, the fundamental principle operative in all these cases is seen to be the *desirability of locating atoms with the lowest orbital energies in the positions of greatest electronic density*, which for virtually all triatomics simply turns out to be the termini of such systems.

# 3. H<sub>n</sub>ABC Molecules (Open Chain and Ring Compounds)

The great similarity observed between the correlation diagrams and ultimately the geometries of hydrogenic and corresponding fluoro-substituted compounds points out a desirable goal in the study of the MW model, namely the enlargement of the classes of systems for which a given Walsh diagram is applicable. This subject has been considered in great detail in an earlier publication by the authors87 and the results of that study will be summarized in the remaining part of this section. The specific experimental observation which has prompted this work is the generally close relationship found to exist between the skeletal geometries of certain hydrogen-containing systems on the one hand and the structures of corresponding isovalent molecules not containing hydrogen (or containing different numbers of hydrogen atoms) on the other; examples of this phenomenon may be found in Table 1 of ref 87, such as the propane- $F_2O$ , propene- $O_3$ , and allene-CO<sub>2</sub> comparisons, respectively.

Although structural relationships of this type are well known and guite numerous, however, certain exceptional cases easily come to mind; cyclopropane and cyclopropene, which quite obviously possess greatly different nuclear geometries from those of the corresponding isoelectronic  $AB_2$  systems  $O_3$  and  $N_3^-$ , respectively, represent but a few examples of hydrogen-containing systems which do not at all follow the usual geometrical trends of the parent family of nonhydrogenic molecules. Because of the high percentage of cases in which the aforementioned isovalent rule is adhered to, it is certainly worthwhile to obtain a clear understanding of why these similarities in the equilibrium geometries of such systems occur when they do and even more importantly to explain how the so-called exceptional cases fit into this general pattern.

A logical point to begin this investigation is in connection with a comparison of the electronic structures of  $O_3$ and HCOO<sup>-</sup>, respectively (section II.C.2), systems whose individual MO's have been shown to bear a close one-to-one relationship to one another<sup>86</sup> (see Figure 15a,b for the charge densities of the 6a1 orbitals for these two systems, for example). As usual it is found that the similarity in MO composition is carried over into the shapes of corresponding orbital energy curves,86 as can be seen from a comparison of the pertinent data in Figure 45a,b; the resemblance between the two correlation diagrams is especially clear in the case of the important upper valence MO's, namely the 3b<sub>2</sub>, 4b<sub>2</sub>, 1a<sub>2</sub>, 6a<sub>1</sub>, and 2b1 (the last of which is not occupied for either molecule), respectively. As a result it is indeed found that the shapes of corresponding angular potential curves for these two molecules (in both ground and excited states) are also quite similar to one another, as can be seen from a comparison of the calculated results<sup>192</sup> for HCOO- shown in Figure 46 with the analogous data for O<sub>3</sub> given earlier in Figure 4a (the labeling of electronic states is the same in both figures). As discussed in section II.B.2 all such potential curve relationships can be explained on a nearly quantitative basis in terms of the differential form of Koopmans' theorem (eq 9) once it

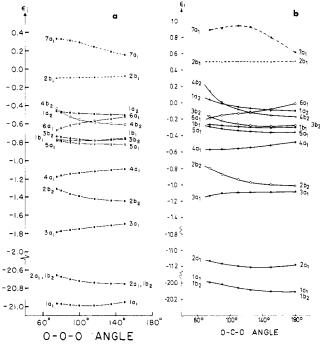


Figure 45. Calculated angular correlation diagram for the

has been demonstrated that the angular correlation diagrams of the systems being compared resemble each other to a high degree. Clearly the fact that the similarity in the shapes of corresponding potential curves of the two systems holds for *excited states as well as ground states* argues strongly for the existence of a well-defined theoretical principle of *general applicability* in determining such structural relationships between isovalent sys-

ground state of  $O_3$  (a) and the ground state of HCOO<sup>-</sup> (b).

tems.

Despite such observations, however, it is important to note that the spacing in the potential curves of the two systems under consideration is not at all the same, with the excited states of HCOO- lying at much higher relative energies than those of ozone. Recalling the relationship between orbital stability and the positioning of the nuclei of a given system, as discussed in the preceding subsection, it is clear that such distinctions can easily be explained on the basis of the fact that the 2b1 orbital, with its predominantly central atom character, is much more stable in  $O_3$  than it is in the formate ion<sup>86</sup> (with a much smaller nuclear charge at the center of this system). Experimental observations of the electronic spectra of these systems (and also of NO2-, an example intermediate between these two cases)86 confirm that these calculated relationships do, in fact, accurately represent the actual physical situation in these cases, thereby emphasizing that while the interchange of nuclei does not generally affect the shapes of individual orbital and total energy curves, it can greatly alter the separations between them (see section II.C.2).

Protonation of the formate ion does very little to alter these general structural trends, as can be inferred, for example, from the total charge density contours of formic acid, HCOOH, for both the nonsymmetric (equilibrium) and symmetric placement of the acidic proton<sup>193</sup> (Figure 47a,b); again the proton appears to cause only a relatively minor perturbation in the *overall* charge density of this system. Yet both HCOO<sup>-</sup> and HCOOH are isoelectronic not only with O<sub>3</sub> (with roughly the same internuclear angle), but also with cyclopropane, ethylene oxide, and ethylenimine, all of which are well known to possess

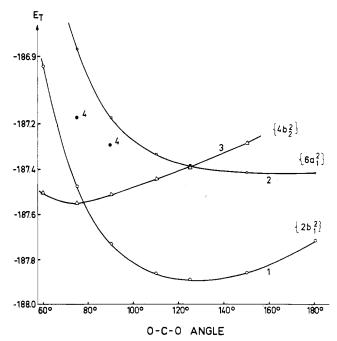


Figure 46. Total SCF energy  $E_{\rm T}$  for various closed-shell states of HCOO<sup>-</sup>; the electronic configurations are the same as for the corresponding states of ozone in Figure 4.

quite different nuclear structures which are cyclic in nature.

The explanation for such large geometrical differences might well be thought to lie in the basic dissimilarity between the MO's of such cyclic systems and those of corresponding nonhydrogenic molecules, an approach which has been stressed in the work of Walsh<sup>194</sup> and Coulson and Moffitt, 195 but actual SCF calculations indicate that the usual one-to-one relationship between corresponding MO's of such systems holds just as well in the cyclopropane-ozone comparison as for any other pair of isovalent molecules with a triatomic skeleton. The 1a2 MO of cyclopropane (in C<sub>2v</sub> symmetry), for example, consists of the usual antibonding combination of terminal  $p\pi$  AO's, albeit greatly influenced by the presence of the out-ofplane hydrogen atoms of this system (see Figure 48 and compare with the general AO composition of the  $1a_2$  in simple AB<sub>2</sub> systems as shown in Figure 37). More importantly, the calculations<sup>89</sup> show that the shapes of corresponding orbital energy curves for a system such as cyclopropane are also found to be quite similar to those of ozone and/or the formate ion (compare the  $C_3H_6$  ground state correlation diagram of Figure 49 with analogous results for  $O_3$  and HCOO<sup>-</sup> in Figure 45a,b, respectively).

This similarity in the shapes of corresponding orbital energy curves for ozone and cyclopropane does not, however, rule out the possibility that the structural differences between these two systems can be explained in the usual manner by means of the differential form of Koopmans' theorem, for the simple reason that the MO's which are occupied in the ground state of cyclopropane are not completely the same as those occupied in the most stable electronic state of  $O_3$ . In fact, it is easily seen from a comparison of Figure 49 with Figure 45a,b, respectively, that the ground-state electronic configuration of cyclopropane doubly occupies the 2b1 MO (with its bent tendency) instead of the 4b<sub>2</sub> species (strong tendency toward linear geometry) preferred by each of the noncyclic systems. Therefore, what is the ground-state configuration in cyclopropane is that of an excited state in O3 or HCOO- and vice versa. Furthermore, this difference in ground-state electronic configuration can, in fact,

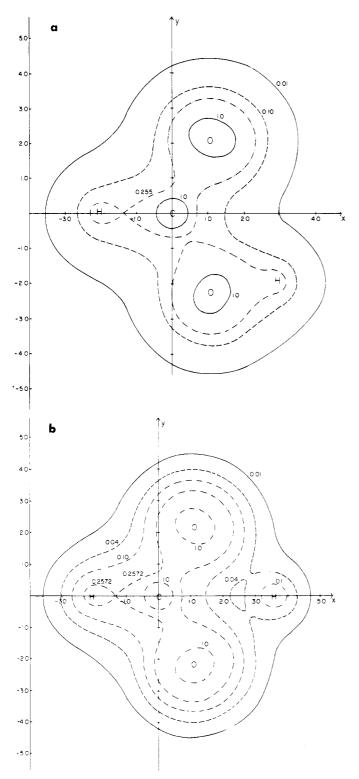


Figure 47. Total molecular charge density for HCOOH calculated for the optimal arrangement of nuclei (a) and for a more symmetric conformation (b).

be predicted on the basis of a group theoretical analysis of the VB structures of cyclopropane (with its three CC and six CH bonds) and the formate ion, respectively, as illustrated in Table Xa,b. This general technique of predicting MO ground-state configurations is derived from standard hybrid orbital analyses described in conventional textbooks on group theory<sup>196</sup> and was first used by the authors in connection with a study of the equilibrium geometry of cyclobutadiene and various other systems containing four nonhydrogenic atoms.<sup>151,197</sup>

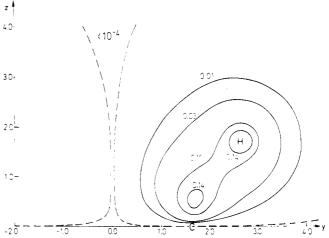


Figure 48. Calculated charge density contours for the 1e'' MO of cyclopropane in a plane perpendicular to the plane of carbons and containing the bisector of  $\angle$  CCC.

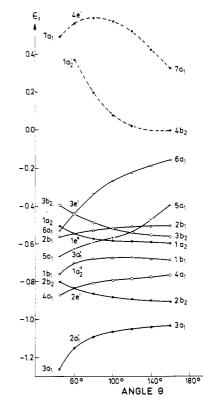


Figure 49. Calculated canonical orbital energies as a function of internuclear angle for the ground state of cyclopropane  $C_3H_6$ .

Once it is realized that the ground-state electronic configuration of cyclopropane corresponds to a doubly excited state of a simple triatomic, it is clear that the geometry of the former system is completely understandable in terms of a conventional application of the MW model. There is still a one-to-one correspondence between the potential curves of this system and those of the other isovalent species; it is only that the stability order of the various electronic configurations is not the same in the two cases (compare Figure 50 for cyclopropane with Figures 4a and 46 for O<sub>3</sub> and HCOO<sup>-</sup>, respectively). Whenever a given cyclopropane state is compared with an O<sub>3</sub> species of identical electronic configuration, the resemblance between the associated potential curves is every bit as close as in earlier cases involving only nonhydrogenic members of the same molecular family. Furthermore it should be clear that this difference

TABLE X. Symmetry of Occupied Orbitals Derived from Group Theoretical Analysis of VB Structures of (a) Cyclopropane and (b) the Formate Ion ( $C_{2v}$  Notation)

VB description	Corresponding occupied MO's
(a) Cyclopropa	ane
$3 \times 1$ s	a1, a1, b2
3  imes CC bonds	a <sub>1</sub> , a <sub>1</sub> , b <sub>2</sub>
4 $ imes$ CH bonds (''terminal'')	a <sub>1</sub> , b <sub>2</sub> , b <sub>1</sub> , a <sub>2</sub>
2 $ imes$ CH bonds (''central'')	a <sub>1</sub> , b <sub>1</sub>
(All CH bonds are perpendicular)	
Total occupied	$6 \times a_1$ , $3 \times b_2$ , $2 \times b_1$ , $1 \times a_2$
(b) Formate I	on
3 × 1s	a <sub>1</sub> , a <sub>1</sub> , b <sub>2</sub>
$2 \times CO$ bonds	a1, b2
$\pi$ bond	b1
CH bond	a1
5 $\times$ O lone pairs	a1, a1, b2, b2, a2
Total occupied	$6 \times a_1$ , $4 \times b_2$ , $1 \times b_1$ , $1 \times a_2$

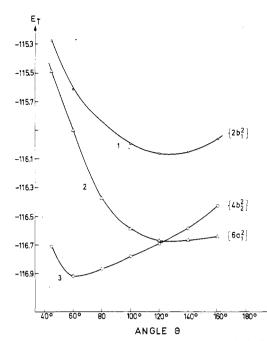
TABLE XI. Symmetry of Occupied Orbitals Derived from Group Theoretical Analysis of the VB Structures of (a) Cyclopropene, (b) the Cyclopropyl Cation, and (c) the Allyl Cation (C<sub>2v</sub> Notation)<sup>a</sup>

VB description	Corresponding occupied MO's				
(a) Cyclopropene					
3 × 1s	a1, a1, b2				
$3 \times CC$	a <sub>1</sub> , a <sub>1</sub> , b <sub>2</sub>				
$2 \times CH$ (''central'', perpendicular)	a <sub>1</sub> , b <sub>1</sub>				
2 🗙 CH (''terminal'', planar)	a1, b2				
π	b <sub>1</sub>				
Total occupied	xa₁, 3xb₂, 2xb₁				
(b) Cyclopropyl Ca	tion				
$3 \times 1$ s	a <sub>1</sub> , a <sub>1</sub> , b <sub>2</sub>				
$3 \times CC$	a <sub>1</sub> , a <sub>1</sub> , b <sub>2</sub>				
1 imes CH (central, planar)	a1				
4 $ imes$ CH (terminal, perpendicular)	a <sub>1</sub> , b <sub>2</sub> , b <sub>1</sub> , a <sub>2</sub>				
Total occupied	$6 \times a_1$ , $3 \times b_2$ , $1 \times b_1$ , $1 \times a_2$				
(c) Allyl Cation					
$3 \times 1$ s	a1, a1, b2				
$2 \times CC$	a <sub>1</sub> , b <sub>2</sub>				
1 imes CH (central, planar)	aı				
4 imes CH (terminal, planar)	a <sub>1</sub> , a <sub>1</sub> , b <sub>2</sub> , b <sub>2</sub>				
π	b1				
Total occupied	$6 \times a_1$ , $4 \times b_2$ , $1 \times b_1$				

<sup>a</sup> These results are to be compared with the ''normal'' groundstate configuration of isovalent triatomics (such as CO<sub>2</sub>, N<sub>3</sub><sup>--</sup>, and NO<sub>2</sub><sup>+</sup>, for example) in which  $5 \times a_1$ ,  $4 \times b_2$ ,  $1 \times b_1$ , and  $1 \times a_2$ MO's are doubly occupied.

in the stability order of the states of cyclopropane and  $O_3$  results from very much the same circumstances as have been seen to produce the distinctions in the electronic spectra of the latter system and HCOO<sup>-</sup>, respectively; the change in the relative spacing of the energy levels in the formate ion compared to that found in  $O_3$  is merely less pronounced than in the cyclopropane-ozone comparison, for which the order of electronic states is actually altered from one system to another.

The most important conclusion resulting from this observation is simply that the geometries of such unusual systems as cyclopropane, ethylene oxide, and others are no more exceptions in terms of the MW model than are structures exhibited by the excited states of more conventional systems, such as the members of the AB<sub>2</sub> family of molecules. The same types of MO's are involved in



**Figure 50.** Total SCF energy  $E_{\rm T}$  for various closed-shell states of cyclopropane; they should be compared with the analogous states of HCOO<sup>-</sup> in Figure 46 and those of ozone given in Figure 4a.

all cases, the same shapes of orbital energy curves; it is only that the order of these orbitals is *altered as a result* of selective mixing with hydrogen AO's. The same type of analysis<sup>88</sup> can be made for the existence of such nonlinear 16-valence-electron species as cyclopropene (51°), the cyclopropyl cation (85°), and the allyl cation (120°), whose VB structures are translated into ground-state MO electronic configurations in Tables XIa-c, respectively. The great similarity between the shapes of the angular potential curves of these systems and those obtained for the corresponding (excited) electronic configurations of N<sub>3</sub><sup>-</sup> (Figure 9a) is again quite apparent from the calculated results (Figure 51).

Thus in order to predict the ground-state geometries of any molecule with a triatomic skeleton, it is first necessary to simply ascertain the correct electronic configuration for this species and then assume that the associated equilibrium nuclear arrangement will be quite similar to that of the same (perhaps excited) configuration of some simple nonhydrogenic triatomic system. Formally such a procedure can be carried out entirely within the framework of the differential form of Koopmans' theorem (eq 9), since no significant horizontal correction (eq 12) to the MW model is really required (because all these systems are of essentially equal covalency). Furthermore, the group theoretical VB analysis mentioned before is generally guite sufficient for determining the correct ground-state electronic configuration in a given case, requiring only knowledge of the approximate orientation (in-plane or out-of-plane) of the hydrogen atoms. In fact, it is just this orientation of hydrogen atoms which ultimately determines the change in MO stability relative to the standard order and therefore is clearly the primary determining factor for the distinctive skeletal equilibrium geometries of such  $H_nAB_2$  systems whenever they occur.

In summary then the MW model clearly succeeds in the description of ground and excited state geometries of such "exceptional" molecules as cyclopropane,<sup>89</sup> the various  $C_3H_4$  isomers,<sup>88</sup> and allyl and cyclopropyl cations, radicals, and anions,<sup>198</sup> whereas the VB scheme has considerably more difficulties in describing the same types of phenomena. In particular, it follows from the MW

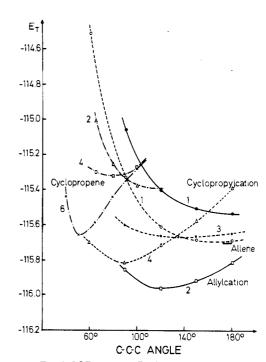
model that cyclopropane in its lower valence states (obtained by electron promotion into the 4b<sub>2</sub> MO with its strongly linear tendency) should possess a noncyclic structure similar to that of trimethylene (another C3H6 isomer with yet a different electronic configuration). Similarly the ring opening of cyclopropane in addition reactions is easily explained as the logical consequence of occupying the 4b<sub>2</sub> MO in the incipient stages of such processes. More details on these and other related subjects may be found in the more specific treatment of this subject alluded to above<sup>87</sup> and also in the original series of papers<sup>88,89,198</sup> dealing with these points as they pertain to the study of various cyclic hydrocarbons with a triatomic skeleton. It should be clear from what has been included in the present section, however, that if sufficient attention is given to the question of which electronic configuration is preferred by a given system, the information contained in the original correlation diagrams of Mulliken and Walsh can be applied to a much larger class of systems than was once believed possible.

# D. Molecules Containing Four Nonhydrogenic Atoms

# 1. AB<sub>3</sub> and H<sub>n</sub>A(BCD) Systems (Three-Coordinated Central Atom)

The geometry of AB<sub>3</sub> systems, one of the original molecular classes treated by Walsh,<sup>2</sup> has yet to be investigated via ab initio SCF calculations, but experience with AH<sub>2</sub> and AB<sub>2</sub> species (see section II.C.2) suggests strongly that the important features of the MW model as applied to the AB<sub>3</sub> family may be deduced to a large extent from analogous results for AH<sub>3</sub> molecules such as BH<sub>3</sub> and NH<sub>3</sub>. A close connection can be made by considering the fluoro-substituted analogs of the latter species and assuming that the upper valence MO's in such AB<sub>3</sub> molecules are quite similar to those corresponding AH<sub>3</sub> systems; in other words, those orbitals which are localized at the highly electronegative fluorine atoms (with 3  $\times$  6 = 18 more valence electrons than in corresponding hydrogen-containing systems) are assumed to have substantially no geometry-determining influence. In this way AH<sub>3</sub> molecules with six valence electrons (planar systems) are expected to have the same geometries as AF<sub>3</sub> species with 24 (6 + 18); also addition of two more electrons should have a similar effect in both cases, so that 26 valence-electron AF<sub>3</sub> molecules are expected to possess bent geometries, quite similar to those of AH<sub>3</sub> systems with 18 less valence electrons (such as NH<sub>3</sub> and PH<sub>3</sub>). Furthermore once these geometrical trends have been isolated for AF3 systems, it seems clear from the MW model that the same types of structural patterns should be equally apparent for more general members of the AB<sub>3</sub> molecular family. Geometrical differences between isovalent fluorides and oxides, for example, should result only because of relatively small differences in their respective charge distributions and hence are not expected to be adequately accounted for in terms of the MW model until some form of horizontal correction, such as that of eq 12, is applied to it.

Experimental studies of the geometry of AB<sub>3</sub> molecules (as well as of AB<sub>2</sub>C and any other systems with threecoordinate central atoms) certainly bear out the essential accuracy of the foregoing analysis, as has been pointed out in Walsh's original papers on this general subject, with 24-valence-electron systems such as BF<sub>3</sub>,  $CO_3^{2-}$ , NO<sub>3</sub><sup>-</sup>, NO<sub>2</sub>F, and almost surely CF<sub>3</sub><sup>+</sup> exhibiting planar geometries while their counterparts with 26 valence electrons, such as NF<sub>3</sub>, PO<sub>3</sub><sup>3-</sup>, PF<sub>3</sub>, and SOCl<sub>2</sub>, are found to possess bent structures. Furthermore, AB<sub>3</sub> correlation di-



**Figure 51.** Total SCF energy  $E_{\rm T}$  for several states of the allyl cation (solid lines), the cyclopropyl cation (dashed lines), cyclopropene (dotted-dashed lines), and allene. The labeling of the curves is the same as in Figure 9, indicating the electronic configuration of the respective states.

agrams obtained via EHT calculations by Gimarc and Chou<sup>57</sup> indicate that the MW orbital energy trends discussed above are, in fact, actually observed in more quantitative investigations. The semiempirical calculations also indicate that the trend toward planar geometries becomes less strong when electrons are removed from the e-type MO (with its planar geometrical tendency; see Figure 21) as, for example, in going from strongly planar CO32- with 24 valence electrons to CO3 with only 22 (recall the analogous discussion of  $AH_3$  species with four valence electrons in section III.A.2); in fact, a somewhat more distorted conformation in which the OCO angles are not all equal seems to be favored. Also just as for AH<sub>3</sub> systems addition of more electrons than are needed to fill both the e and  $a_1$  (lone-pair) MO's is found to produce a tendency toward planar structures for this family of molecules, as, for example, in CIF3 or XeO3 with 28 valence electrons. It is interesting that the latter tendency is generally explained in terms of d-orbital hybridization effects in VB models while in the MW theory it is anticipated even on the basis of molecular orbitals composed exclusively of s and p AO's. The fact that molecules of this type for either the AH3 or AB3 families are only observed if the central atom belongs to the second or higher rows of the periodic table would thus seem to indicate that d AO's may be essential for the overall stability of such systems, but that they do not greatly affect the essential details of the shapes of such molecules.199

Systems of the AB<sub>3</sub> family with 25 electrons are clearly analogous to AH<sub>3</sub> species with only 7 valence electrons, which as discussed in section III.A.2 are generally weakly planar systems (although BeH<sub>3</sub><sup>2-</sup> is calculated to be slightly nonplanar via Koopmans' theorem). Nevertheless, as pointed out above in section III.C.2, fluorides are generally expected to favor planar geometries less strongly than corresponding hydrogen-containing systems (at least as long as the central lone-pair MO is occupied), and thus it should not be surprising that CF<sub>3</sub> actually prefers a slightly bent geometry ( $\angle$ FCF = 112°), even though CH<sub>3</sub> is believed to be a (weakly) planar system.

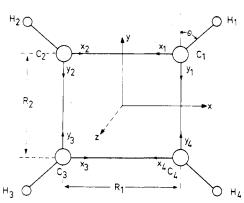


Figure 52. Coordinate system for a general C<sub>4</sub>H<sub>4</sub> system.

The horizontal correction of eq 12 is really no larger in this comparison from the *standpoint of energy differences* than in other hydrogen-fluorine substitutions; it is only that when this effect is translated into a change in equilibrium angle relative to that of the weakly planar molecule  $CH_3$  it appears to be unusually large.

In fact, the evidence is that the horizontal correction between corresponding hydrides and fluorides is less than between members of the hydride group itself for different central atoms (for example, PH3 and NH3 have angles that differ by nearly 15°); it also seems to be quite small among isovalent fluorides, since, for example, NF3 and PF<sub>3</sub> have virtually equal internuclear angles (although both are smaller at  $103-104^{\circ}$  than that of NH<sub>3</sub>). This effect probably results because the orbital energy term in eq 12 is relatively more important for fluorides than for the corresponding hydrides and hence is better able to cancel out the opposite tendency in the  $V_{ee}$ term.200 This difference in the geometrical trends observed among isovalent fluorides and hydrides, respectively, certainly appears to indicate that such relatively minor distinctions in the equilibrium structures of these systems cannot be explained in a consistent manner solely on the basis of electron repulsion effects, in disagreement with what is generally assumed in connection with application of the VSEPR model to problems of this type.

The effect of adding hydrogen atoms to AB<sub>3</sub> or AB<sub>2</sub>C skeletons (with a three-coordinate central atom) also follows the same pattern as for their triatomic counterparts discussed in section III.C.3. Once again it appears that the skeletal geometry in both nonhydrogenic parent systems and in their hydrogen-containing analogs is determined primarily on the basis of the number of valence electrons contained by them. In HnAB3 systems, however, there are apparently no cases in which the additional hydrogen atoms produce a change in the ground-state electronic configuration and hence, contrary to the situation discussed above for  $H_nAB_2$  species, there are no known cases in which the equilibrium structures of such molecules are significantly different from those of their isovalent nonhydrogenic counterparts. One of the clearest examples of the aforementioned relationships occurs for the isobutene-isobutane comparison; the alkene is planar as one expects for a system of this type with 24 valence electrons, whereas the alkane, with two more electrons, is not. The *tert*-butyl radical  $[C(CH_3)_3, ..., ...]$ , with 25 valence electrons, represents the usual intermediate case and is expected to be weakly planar (probably somewhat more strongly so than CH<sub>3</sub> itself in light of the electron-donating properties of the constituent methyl groups). Many other hydrogen-containing systems in this family with 24 valence electrons are also known to have a planar skeleton of heavy atoms, such as HNO<sub>3</sub>,

 $HCO_3^-$ ,  $C(NH_2)_3^+$ ,  $H_3CNO_2$ , and the acetate ion  $CH_3COO^-$ , while analogous nonplanar systems with two more valence electrons also abound. Furthermore, the experience with  $H_nAB_2$  systems (section III.C.3) indicates quite strongly that very definite similarities exist between the angular correlation diagrams of  $H_nAB_3$  molecules and those of the parent AB<sub>3</sub> family and hence that as usual the aforementioned geometrical trends can be explained quite easily in terms of the differential form of Koopmans' theorem.

#### 2. H<sub>n</sub>ABCD Systems

A large number of molecules with four heavy atoms do not contain a three-coordinated central atom and thus are not described satisfactorily by means of  $AB_3$  correlation diagrams. The experience with other molecular families suggests strongly, however, that the MW model can be applied in the usual manner in this case as long as at least one calculated SCF correlation diagram is available; furthermore, judging from the results discussed above for cyclopropane, HCOO<sup>-</sup>, and various simple  $AB_2$  molecules (section 111.C.3), it should not be critical whether such a diagram is obtained for some parent nonhydrogenic tetratomic species or for a more complex derivative which does contain additional hydrogen atoms.

An ab initio investigation of a system of the latter type, namely cyclobutadiene C<sub>4</sub>H<sub>4</sub>, has in fact been reported some time ago.<sup>151</sup> Since one of the main points of interest in this study was whether cyclobutadiene possesses a square or rectangular equilibrium structure, the specific geometrical parameter considered is somewhat complicated involving simultaneous stretching of one pair of CC bonds while the other two are contracted according to a prescribed relationship. Details of the specific nuclear arrangements considered can be found in the original paper; a schematic coordinate system for this molecule is given in Figure 52 for easy reference in the following discussion. Although the nuclear conformations treated in these calculations<sup>151</sup> are all of the cyclic variety, it will become apparent that the results of this study are also pertinent to the understanding of how open-chain structures arise in systems of this type.

Typical schematic diagrams for the important upper valence MO's (both  $\sigma$  and  $\pi$  species) of cyclobutadiene are given in Figure 53. Interpretation of these results is again aided by means of a group theoretical analysis of the VB structure of the molecule in guestion, as summarized in Table XIIa; the four inner-shell MO's and also the three most stable valence species (2ag, 2b2u, 2b3u; essentially CC bonding orbitals, as can be seen from the respective charge density contours in Figures 9a and 10a,b, respectively, of ref 151) are not shown in Figure 53 since they are always occupied in any stable molecule of this family and hence have essentially a constant geometrical effect throughout this series. Of the  $\sigma$  MO's actually plotted in the diagram, all but the  $4b_{3u}$  species, which is antibonding along the (long) R<sub>1</sub> bond (Figure 52), are occupied in cyclobutadiene at equilibrium (Table XIIa). The  $\pi$ (p<sub>z</sub>) MO's are, of course, the familiar Hückel-type species discussed in  $\pi$ -electron theories; for rectangular geometries (long  $R_1$  and short  $R_2$ ) the  $1b_{2g}$  (bonding along  $R_2$ ) is more stable than the  $1b_{3g}$  (bonding along  $R_1$ ) while in the square conformation these species are completely equivalent (eg). The ab initio SCF and CI calculations have indicated that the ground state of this system is a rectangular singlet, a finding which is quite understandable in light of the fact that distortions out of the square structure result in increased stability for one of the eg components (b2g), while the opposite behavior is noted

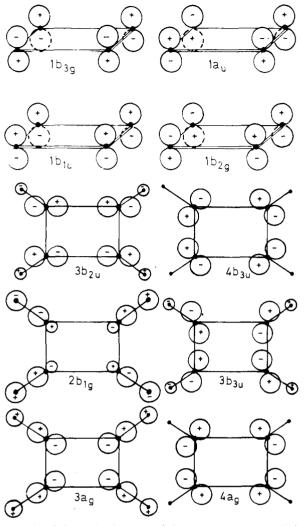
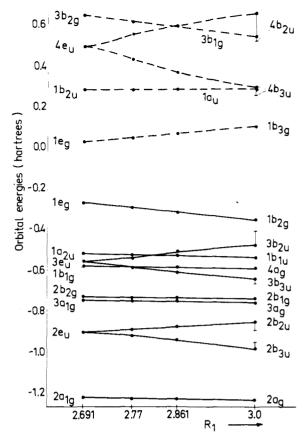


Figure 53. Schematic diagram of the upper valence orbitals in an  $A_4H_4$  system.

for the other<sup>201</sup> ( $b_{3g}$ ). These orbital energy trends and others are readily observed in the calculated C<sub>4</sub>H<sub>6</sub> correlation diagram given in Figure 54 (note that as  $R_1$  is increasing in this diagram,  $R_2$  is simultaneously decreasing<sup>151</sup>). For the upper valence MO's described in Figure 53 the shapes of corresponding orbital energy curves are seen to correlate quite well with the respective bonding and antibonding character of these species along  $R_1$  and  $R_2$ , respectively;<sup>202</sup> further details may be found in ref 151. These orbital energy trends are summarized in Table XIII and as usual will serve as the basis for applying the MW model to the study of other systems in the same molecular family.

The equilibrium geometry of cyclobutadiene (20 valence electrons) is extremely rare among other isovalent systems containing the same number of heavy atoms, and it is therefore a challenge for any geometrical model emphasizing a close relationship between geometry and number of electrons to explain why such a cyclic structure is favored in this particular case. The experience with cyclopropane and cyclopropene and other systems with a triatomic skeleton (section III.C.3) indicates that such exceptional situations can be explained consistently in terms of the MW model once it is realized that they are caused by a difference in ground-state electronic configuration. The general procedure to be followed thus involves first determining the electronic configuration for the ground state of each molecule of interest and then using the orbital energy trends summarized in Table XIII



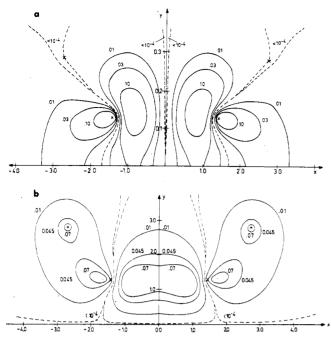
**Figure 54.** Calculated canonical orbital energies for the ground state of cyclobutadiene  $C_4H_4$  as a function of the  $R_1$  distance (see Figure 52).

TABLE XII. Symmetry of Occupied Orbitals Derived from Group Theoretical Analysis of the VB Structures of (a) (Rectangular) Cyclobutadiene, C<sub>4</sub>H<sub>4</sub>, and (b) Two Acetylene Fragments Separated to Infinity ( $D_{24}$  Notation)

VB description	Corresponding occupied MO's		
(a) Cyclobi	utadiene		
$4 \times 1$ s	$a_{g}, b_{1g}, b_{2u}, b_{3u}$		
$4 \times CC$	$a_g$ , $a_g$ , $b_{2u}$ , $b_{3u}$		
$4 \times CH$ (planar)	$a_{g}, b_{1g}, b_{2u}, b_{3u}$		
$2 \times \pi$	$b_{1u}, b_{2g}$		
Total occupied	$4 \times a_{g}$ , $2 \times b_{1g}$ , $3 \times b_{2u}$ , $3 \times b_{3u}$ ,		
	$1 \times b_{1u}$ , $1 \times b_{2g}$		
(b) Two C <sub>2</sub> H <sub>2</sub>	Molecules		
$4 \times 1$ s	$a_g$ , $b_{1g}$ , $b_{2u}$ , $b_{3u}$		
$2 \times CC$	a <sub>g</sub> , b <sub>3u</sub>		
$4 \times CH$	$a_{g}, b_{1g}, b_{2u}, b_{3u}$		
2 $ imes$ $\pi$ (in-plane)	ag, b <sub>3u</sub>		
2 $ imes$ $\pi$ (perpendicular)	b <sub>1u</sub> , b <sub>2g</sub>		
Total occupied	$4 \times a_g$ , $2 \times b_{1g}$ , $2 \times b_{2u}$ , $4 \times b_{3u}$ ,		
	$1 \times b_{1u}, 1 \times b_{2g}$		

to deduce any differences in the equilibrium geometries of these systems on the basis of their distinctive orbital occupations.

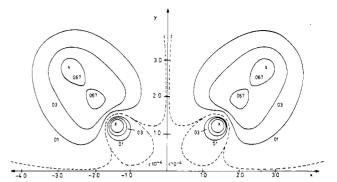
A group theoretical analysis of an important C<sub>4</sub>H<sub>4</sub> "isomer," namely, two acetylene molecules separated at infinity (Table XIIb), shows that the ground-state electronic configuration (simply a combination of the two ground-state acetylenes in this case) is, in fact, different from that favored by cyclobutadiene; specifically the products of decomposition are seen to occupy the 4b<sub>3u</sub> ( $\pi_{u1}$ - $\pi_{u2}$ ) MO in place of the 3b<sub>2u</sub> species formed from positive overlap ( $\pi_{g1} + \pi_{g2}$ ) of the two  $\pi_{g}$  ( $\pi^*$ ) orbitals of C<sub>2</sub>H<sub>2</sub>.



**Figure 55.** Calculated charge density contours for the  $4b_{3u}$  MO (a) and the  $3b_{2u}$  MO (b) of cyclobutadiene, C<sub>4</sub>H<sub>4</sub>. (Only the upper half of the molecule is shown in each case.)

The calculated orbital charge density contours for these two MO's in cyclobutadiene itself shown in Figures 55a and 55b, respectively, indicate clearly why the change in occupation occurs. The  $4b_{3u}$  is bonding along the short CC distance ( $R_2$  in Figure 52) but antibonding along the other  $(R_1)$  and is thus favored for the separated acetylene molecules, whereas the  $3b_{2u}$  possesses virtually the opposite characteristics, favoring small R1 values and hence a bound cyclobutadiene molecule (see Table XIII). This finding means that orbital symmetry is not conserved in the decomposition of cyclobutadiene into two acetylene fragments; thus even though the SCF and CI calculations<sup>151</sup> indicate that the combined system is not stable with respect to two acetylene molecules separated at infinity, it still appears quite likely that the former system may still have a bound state because of the relatively high activation energy necessary for the decomposition process.

The around-state electronic configuration of the stable C<sub>4</sub>H<sub>4</sub> isomer vinylacetylene (butatriene), with two hydrogens at one of the end carbons (linear skeleton) and none at one of the central species, is indeed also different from that of cyclobutadiene (see Table XIV for a summary of the electronic configurations of a series of  $C_4H_n$  and  $A_2B_2$  systems<sup>203</sup>), with the  $4b_{3u}$  (see Figure 55a) doubly occupied in place of the  $2b_{1g}$  species favored by the cyclic system. The charge density contours of the latter MO (Figure 56) show it to possess considerable CH bonding character, thereby compensating for the fact that it is an exclusively CC antibonding species (as indicated in Figure 53). If the hydrogen atoms are not distributed equally among the carbons in such C<sub>4</sub>H<sub>4</sub> systems, the effect is almost surely to emphasize the CC antibonding character of this orbital, thereby making its occupation less favorable in a system such as vinylacetylene. In view of the strongly  $R_1$  antibonding character of the 4b<sub>3u</sub> MO (Table XIII), it is not at all surprising that occupation of this species instead of the 2b1g is marked by a decided tendency away from a cyclic arrangement of the carbon atoms, as is observed in going from cyclobutadiene to the linear equilibrium geometry of the vinylacetylene system.



**Figure 56.** Calculated charge density contours for the  $2b_{1g}$  MO of cyclobutadiene,<sup>151</sup> C<sub>4</sub>H<sub>4</sub>. (Only the upper half of the molecule is shown.)

TABLE XIII. Orbital Energy	Trends in Systems with
Tetratomic Skeletons <sup>a</sup>	

MO	R <sub>1</sub> character <sup>b</sup> (long bond)	R₂ character⁵ (short bond)	CH bonding
σ-Type 3ag	Bonding (σ)	Bonding (π)	Strong
4ag	Bonding (σ)	Bonding $(\pi)$	Very weak
3b₃u	Antibonding (σ)	Bonding (σ)	Strong
2b1g	Weakly anti-	Weakly anti-	Very strong
	bonding	bonding	
3b <sub>2u</sub>	Bonding (σ)	Antibonding $(\pi)$	Weak
4b <sub>3u</sub>	Antibonding (σ)	Bonding (π)	Very weak
$\pi$ -Type 1b <sub>1u</sub>	$\pi$ bonding	$\pi$ bonding	None
$1b_{2g}$	$\pi$ antibonding	$\pi$ bonding	None
1b <sub>3g</sub>	$\pi$ bonding	$\pi$ antibonding	None
1a <sub>u</sub>	$\pi$ antibonding	$\pi$ antibonding	None

<sup>a</sup> Schematic diagrams of corresponding MO's in C<sub>4</sub>H<sub>4</sub> may be found in Figure 53. <sup>b</sup> For notation see Figure 52. <sup>c</sup> At least for planar systems. If hydrogen atoms are placed out of the molecular plane, CH bonding becomes an important factor in the composition of these  $\pi$ -type species.

The latter observation as well as the foregoing discussion of the decomposition of cyclobutadiene into two acetylenes suggests quite strongly that it is impossible to have a small-angle C4 skeletal structure as long as the  $(R_1 \text{ antibonding}) 4b_{3u}$  MO is occupied. This situation is completely analogous to that found in the study of HnAB2 systems (section III.C.3), for which the 4b<sub>2</sub> species (Figure 38b) plays a very similar role, being left vacant for all stable cyclic structures of this type. At the same time it underscores the great importance of bonding with H AO's in the attainment of stable cyclic structures since it is clear from the aforementioned charge density contours that without the effect of heavy CH bonding the 2b1g species itself would be strongly  $R_1$  and  $R_2$  antibonding, thereby becoming less stable than the  $4b_{3u}$  and quite likely just as detrimental to the formation of ring compounds. In retrospect the 2b1g is seen to be closely akin to the 2b1 of triatomic systems, which must be occupied instead of the 4b<sub>2</sub> in order to obtain cyclic structures, and which at the same time can be stabilized considerably by (out-of-plane) CH bonding.

The importance of bonding with H AO's in this connection is also emphasized by the fact that there is apparently no stable ring isomer with a  $C_4H_2$  formula. The removal of two hydrogens relative to cyclobutadiene makes occupation of the  $2b_{1g}$ -type MO much less favorable for systems of this type (see Table XIV). In fact, at equilibrium the most stable  $C_4H_2$  isomer diacetylene, HCCCCH, occupies the  $4b_{3u}$  ( $2\pi_u$ ) instead of either the  $3b_{2u}$  or  $2b_{1g}$  species, by reason of the fact that the strongly bonding character of the former MO along both  $R_2$  (in this case triple) bonds outweighs the opposite effect

TABLE XIV. Electronic Configurations of Various Systems with Tetratomic Skeletons<sup>a</sup>

	00	Occupation number <sup>b</sup>				
System	4b₃u	$2b_{1g}$	$3b_{2u}$	$1b_{3g}$	la <sub>u</sub>	
$C_4H_2$ (18) Diacetylene, also $C_2N_2$	2	0	0	0	0	
C <sub>4</sub> H <sub>4</sub> (20) Cyclobutadiene	0	2	2	0	0	
2C <sub>2</sub> H <sub>2</sub> Two separated acetylene fragments	2	2	0	0	0	
C₄H₄ Vinylacetylene	2	0	2	0	0	
C₄H <sub>6</sub> (22) Butadiene, also N <sub>2</sub> O <sub>2</sub>	2	2	2	0	0	
Cyclobutene	0	2	2	2	0	
Bicyclobutane	0	2	2	0	2	
Dimethylacetylene, also $C_2F_2$	2	0	2	2	0	
C₄H₃ (24) Cyclobutane, also O₄	0	2	2	2	2	
1-, 2-Butene, also N <sub>2</sub> F <sub>2</sub>	2	2	2	2	0	
$C_4H_{10}$ (26) n-Butane, also $F_2O_2$	2	2	2	2	2	

<sup>a</sup> The number of valence electrons for each system is given in parentheses.  $D_{2\lambda}$  notation is used throughout; schematic diagrams for each of the valence MO's in this table may be found in Figure 53.<sup>b</sup> In addition to the MO's listed here the following MO's are all doubly occupied in each case:  $1a_g$ ,  $1b_{3u}$ ,  $1b_{2u}$ ,  $1b_{1g}$ ,  $2a_g$ ,  $2b_{3u}$ ,  $2b_{2u}$ ,  $3a_g$ ,  $3b_{3u}$ ,  $4a_g$ ,  $1b_{1u}$ ,  $1b_{2g}$ .

along the lone  $R_1$  species (see Figures 52 and 55a), particularly for such a linear arrangement of the four carbon atoms. One can anticipate, however, that double occupation of the  $2b_{1g}$  MO in place of the  $4b_{3u}$  species would lead to an excited state which does, in fact, prefer a cyclic geometry somewhat similar to that of cyclobutadiene, albeit probably somewhat more rectangular (since the  $3b_{2u}$  is not occupied in  $C_4H_2$ ).

In hydrocarbons with 22 valence electrons the same close relationship between electronic configuration and skeletal geometry is equally apparent. The cyclic C4H6 isomer cyclobutene is again observed to occupy the 2b<sub>1g</sub> and not the 4b<sub>3u</sub> (Table XIV); relative to cyclobutadiene the differentiating orbital in cyclobutene is the  $1b_{3g}$ species, which is heavily CH bonding in this system as a result of the perpendicular orientation of its methylene groups (Figure 53). The latter MO is  $R_1$  bonding (Table XIII) but more strongly so between the nonmethylene carbons (in the neighborhood of the methylene carbons the charge is more localized in the CH bonds), and hence it is not surprising that the equilibrium structure of cyclobutene is distinctly trapezoidal in nature (in the notation of the coordinate system in Figure 57, Rb is significantly smaller than R). In most other cases the close relationship between the MO's of cyclobutene and cyclobutadiene is even more apparent, as can be seen from a comparison of their 3b<sub>2u</sub>-type orbital charge densities shown in Figures 58 and 55b, respectively.

If the additional electrons of a  $C_4H_6$  species are placed in a 1au-type orbital of cyclobutadiene instead of the 1b3g species favored by cyclobutene, it is still possible to maintain a stable ring structure, again as long as the 4b<sub>3u</sub> MO remains unoccupied. Just as before with the cyclobutene ground state, however, the change in occupation relative to cyclobutadiene does have an important structural effect, this time tending to promote bending across the ring (since 1au is antibonding along each of the normal ring bonds in such systems; see Figure 53 and Table XIII). The most favored structure for the resulting electronic configuration (see Table XIV) is exemplified quite nicely by the unusual nuclear arrangement of another C4H6 isomer bicyclobutane, which is known to possess a  $\pi$ -type bond across a ring of four CC $\sigma$ species.204,205 In this case the four-membered ring is strongly puckered and the CH bonds at the  $\pi$ -bonding carbons are tilted upward by at least 50°; both of these

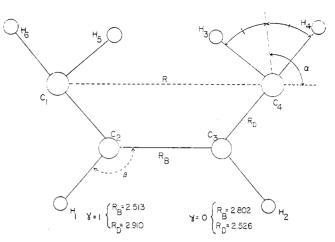
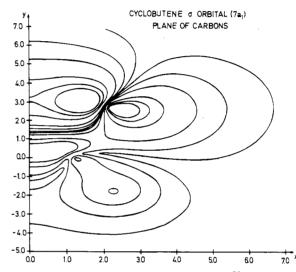


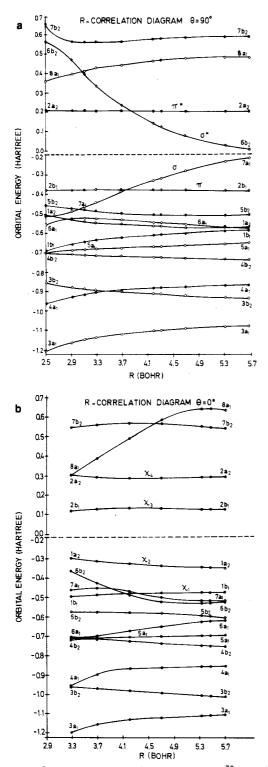
Figure 57. Coordinate system for a general C<sub>4</sub>H<sub>6</sub> system.



**Figure 58.** Calculated charge density contours<sup>73</sup> of the 7a<sub>1</sub> ( $\sigma$ ) MO of cyclobutene in the plane containing the four carbon atoms. (Only the right side of the molecule is shown.) Note the similarity between this contour diagram and that of the corresponding (3b<sub>2u</sub>) MO in cyclobutadiene given in Figure 55b.

effects are easily understandable in light of the added stability they produce for the normally strongly antibonding  $1a_u$  MO.

In both cyclobutene and butadiene an important factor in promoting occupation of  $\pi$ -type MO's instead of the  $R_1$ -antibonding  $4b_{3u}$  species is the perpendicular orientation of their constituent methylene groups. Rotating the CH<sub>2</sub> species into the C<sub>4</sub> plane clearly has the opposite effect, and as a result such a planar C4H6 nuclear arrangement as that favored by either cis or trans butadiene strongly prefers occupation of the 4b3u instead of either the  $1b_{3g}$  or  $1a_u$  species (Table XIV); consequently, the possibility of a stable cyclic structure for either of the latter C<sub>4</sub>H<sub>6</sub> isomers is effectively removed. The butadiene example illustrates quite clearly that while occupation of the 2b1g- and 3b2u-type MO's is quite important for maintaining a cyclic structure, it is not sufficient to guarantee a ring system as long as the 4b<sub>3u</sub> is not vacant. Nevertheless, it is still found that whether such MO's are occupied in  $C_4H_6$  isomers or  $C_4H_4$  species, the orbital energy trends observed for them remain essentially unchanged, as can be seen by comparing calculated cyclobutene and cis-butadiene  $R_1$ -stretch (or  $\angle$  CCC) correlation diagrams (Figure 59a,b) with that shown earlier for cyclobutadiene (Figure 54); in the C<sub>4</sub>H<sub>6</sub> diagrams the 7a<sub>1</sub> or  $\sigma$  MO is to be compared with the former  $3b_{2u}$  and the  $6b_2$  with the  $4b_{3u}$ , while the correspondence between the various  $\pi$ -



**Figure 59.** Calculated canonical orbital energies<sup>73</sup> as a function of the terminal CC distance *R* (see Figure 57) for the ground states of (a) cyclobutene ( $\theta = 90^{\circ}$ ) and (b) *cis*-butadiene ( $\theta = 0^{\circ}$ ), respectively.

type orbitals should be more obvious. Finally it can be shown by means of a group theoretical analysis that dimethylacetylene has yet another ground-state electronic configuration in which the  $C_1-C_3$  antibonding  $\pi$  -type 1b<sub>3g</sub> species (Table XIV) is occupied;<sup>203</sup> since this orbital replaces the (weakly)  $C_1-C_3$  bonding 2b<sub>1g</sub> orbital in butadiene (Figure 54 or Figure 59a,b), it is to be expected that this system has an even larger CCC bond angle than the dienyl structure, as is, of course, observed.<sup>206</sup>

The  $C_4H_8$  isomers have still two more valence electrons, making 24 in all, and it should be clear from what

has been written that the only way such a system could possess a small-angle (cyclic) equilibrium structure is if the 4b<sub>3u</sub> MO is left unpopulated. In order to accomplish this objective in cyclobutane, it is necessary to occupy both the  $\pi$ -type 1a<sub>u</sub> and 1b<sub>3g</sub> orbitals (Figure 53). As mentioned above, these MO's are most stable if all the hydrogen atoms are positioned above and below the C4 plane so the greatest possible mixing of hydrogen AO's with the corresponding  $p_z$  AO's of the carbons can be effected, and this nuclear arrangement is known to be favored in the case of the only known cyclic system of this formula. The antibonding character of the 1au nevertheless has its effect, causing the molecule to assume a somewhat skewed nuclear structure, in close analogy to the situation which ensues upon occupation of the 1b2g MO in A2H2 systems, as, for example, in hydrogen peroxide  $H_2O_2$  (section III.B.3). If the  $4b_{3u}$  is occupied, on the other hand, as in 2-butene, the resulting structure definitely possesses an open-chain form. The skeletal geometry of the carbons in this C<sub>4</sub>H<sub>8</sub> isomer is closely akin to that of butadiene, as should be expected from the fact that this alkene results from hydrogenation of dimethylacetylene (and hence from differential occupation of the  $C_1-C_3$  bonding  $2b_{1g}$  species, thereby experiencing the usual tendency away from linear geometries).

If two more electrons are added to either of the  $C_4H_8$  isomers, the  $4b_{3u}$  must become occupied, and hence all possibility of attaining a stable cyclic  $C_4H_{10}$  structure is removed; hydrogenation thus leads to the open-chain system *n*-butane (Table XIV) in either case. In the language of MO theory the saturated hydrocarbon results when all of the constituent valence orbitals become occupied (except, of course, the strongly antibonding  $\sigma^*$  species, whose population inevitably leads to decomposition of the combined system into smaller fragments).

Finally, although the discussion in this section has centered upon hydrocarbons in the  $H_nABCD$  class, the principles employed are clearly of much more general validity. Thus substitution of N for CH, NH and O for CH<sub>2</sub> and NH<sub>2</sub>, OH and F for CH<sub>3</sub> should preserve to a large extent the skeletal nuclear geometry observed for the original hydrocarbons. In drawing such analogies, however, it should not be overlooked that certain MO's and hence certain electronic states are considerably less stable in the absence of significant mixing with H AO's. Thus reduction in the number of attached hydrogen atoms may often be of critical importance in determining the ground-state electronic configuration in such systems and as a result may lead to a substantially different equilibrium nuclear arrangement than that exhibited by the parent hydrocarbon; examples of this kind will be taken up in the next subsection. Nevertheless, experimental structural studies offer ample evidence to support the conclusion that the calculated correlation diagrams discussed above for cyclobutadiene and cyclobutene provide quite specific details about the equilibrium nuclear geometries of all the molecules in the  $H_nABCD$  family.

### 3. Tetratomics without Hydrogens

As indicated at the beginning of the previous section it should be possible to pursue the study of the geometry of nonhydrogenic tetratomic systems along the same lines as for hydrogen-containing analogs such as cyclobutadiene or dimethylacetylene. It is not at all surprising, for example, that 18-valence electron  $C_2N_2$  (NCCN) is a linear system since it possesses the same ground-state electronic configuration as linear diacetylene HCCCCH (see Table XIV). In like manner since  $C_2F_2$  has the same electronic configuration as dimethylacetylene it is expected that both these systems should have the same (linear) equilibrium geometries, a point which was discussed in connection with the aforementioned SCF calculations for cyclobutadiene.<sup>151</sup> The same conclusion can be reached by taking advantage of the usual rule for fluorine-substituted compounds since of course C<sub>2</sub>H<sub>2</sub> is also a linear molecule, with the upper  $\pi_u$  MO fully occupied and the corresponding  $\pi_g$  vacant (section III.B.3).

The question remains, however, as to whether other types of geometrical structures are possible for A2B2 systems with from 18 (such as  $C_2N_2$ ) to 22 ( $C_2F_2$ ) valence electrons, particularly those of the cyclic form, such as are observed for cyclobutadiene and cyclobutene with 20 and 22 valence electrons, respectively. Among the molecules with 20 valence electrons C2O2 undoubtedly favors an electronic configuration with  $\pi_{\mathrm{g}}$  only doubly occupied  $({}^{3}\Sigma_{g}{}^{-}$  ground state) and hence a linear nuclear arrangement, but a substance such as N<sub>4</sub>, which is more closely related to cyclobutadiene, might well be expected to assume a ground-state configuration characteristic of a cyclic equilibrium geometry. In actuality N<sub>4</sub> probably does not possess a very stable cyclic structure, however, since in the absence of H AO mixing the key 2b1g MO (see Table XIV) becomes fairly strongly antibonding along both  $R_1$  and  $R_2$  (see Figures 53 and 56). The second-row analog P4 is known and is believed to possess a tetrahedral geometry,207 but it is unlikely that N4 is a stable system at all in view of the high stability of N2 itself. At the same time the possibility of a tetrahedral C<sub>4</sub>H<sub>4</sub> isomer might seem quite likely on the basis of the P4 geometry, but the results of ab initio SCF and CI calculations<sup>208</sup> indicate that such a tetrahedrane isomer is less stable than cyclobutadiene by approximately 70 kcal/ mol. Although this result is clearly subject to some degree of uncertainty, the sheer magnitude of the energy difference found militates heavily against the existence of tetrahedrane, especially in light of the rather tenuous existence of cyclobutadiene itself.

The situation with A2B2 systems of 22 valence electrons is somewhat clearer, however, because of the existence of  $N_2O_2$  isomers as well as that of the linear  $C_2F_2$ species. The experimental evidence is that N2O2 exists in both trans and cis bent conformations, guite similar to those believed to be optimal for butadiene. That these two systems should also favor the same electronic configuration is only to be expected since an N atom can replace a CH in butadiene while O is isoelectronic with CH<sub>2</sub>. Thus  $N_2O_2$  occupies the in-plane  $2b_{1g}$ -type orbital in preference to the out-of-plane 1b3g-type species favored by both dimethylacetylene and difluoroacetylene (Table XIV). It may be that a cyclobutene-like excited state of N2O2 is also relatively stable but such a species must occupy the  $1b_{3g}$ -type MO in preference to the  $4b_{3u}$ - $6b_2$ species (Figure 55a) which has been seen to be so unfavorable for cyclic geometries, and without the influence of bonding with H AO's such an exchange would seem to involve a fairly large loss in stability.

There is yet another possibility for a stable  $N_2O_2$  structure, namely one which is analogous to the  $C_4H_6$  isomer bicyclobutane; its structure would be in valence bond notation



with a dihedral angle of approximately  $120^{\circ}$  between the two ONN planes. In order to achieve such a structure  $N_2O_2$  would have to assume an electronic configuration in which both  $4b_{3u}$  and  $1b_{3g}$  MO's are vacant but in which the  $1a_u$ -type orbital is filled (see Table XIV).

Again, without the aid of H AO mixing, it is unlikely that such a structure could be very stable since the  $1a_u$  undoubtedly possesses a higher orbital energy in N<sub>2</sub>O<sub>2</sub> than does  $4b_{3u}$ ; nevertheless, an excited state with this electronic configuration should almost certainly possess an equilibrium geometry which is quite similar to that of bicyclobutane.

The system  $N_2F_2$  with 24 electrons is analogous to  $N_2H_2$  in the family of  $A_2H_2$  molecules or to 2-butene in the group of hydrocarbons. Either way it must be expected that a bent geometry is favored, with cis and trans isomers of roughly equal stability. In order to get a cyclic  $A_2B_2$  structure analogous to cyclobutane, the most likely possibility seems to be  $O_4$ , obtained by substituting an O atom for each CH<sub>2</sub> species in the hydrocarbon. A skewed ring would be expected in analogy to the  $C_4H_8$  species, but thus far  $O_4$  has apparently only been observed in condensed liquid form<sup>209,210</sup> and the experimental indication is that all the O-O bonds are not equal; hence it would appear that once again the existence of a stable cyclic isomer for such nonhydrogenic systems is unlikely.

Systems with 26 valence electrons in this family such as  $F_2O_2$  and  $Cl_2S_2$  should be similar to both  $H_2O_2$ , on the one hand, and isovalent *n*-butane, on the other; *i.e.*, they should have bent structures which are also somewhat skewed. This expectation is again borne out in experimental investigations.<sup>211</sup>

In summary then, with the aid of  $H_2A_2$  and  $H_nA_2B_2$  analogs it is a very simple matter to explain the equilibrium geometries of known  $A_2B_2$  systems, and also to predict what types of nuclear arrangements should be expected for the corresponding excited states. In general, it seems as if all cyclic structures of this family are unstable because the essential hydrogen AO mixing which makes the analogous  $H_nA_2B_2$  nuclear arrangements possible is obviously not present in these cases. Nevertheless, the relationship between equilibrium geometry and electronic configuration appears to be equally valid for all these systems, and the explanation for this phenomenon can be found in the great similarities exhibited by their respective orbital energy correlation diagrams, as borne out by actual calculations employing the *ab initio* SCF method.

## IV. Summary and Conclusion

At the beginning of this paper a series of six criteria was given for the purpose of judging the extent to which a given theoretical method is successful in obtaining a quantitative realization of the Mulliken-Walsh structural model. A review of these points shows quite unambiguously that the procedure of constructing angular correlation diagrams from the canonical orbital energies of ab initio SCF calculations satisfies at least the first five of these criteria very well. For example, the corresponding MO's are well known to possess the necessary symmetry characteristics, and this fact in turn allows for a straightforward interpretability of the orbital energy curves themselves. In addition it is clear because of Koopmans' theorem that such orbital energy quantities are easily identifiable with molecular ionization potentials, and it has also been shown that differences between them can be correlated quite effectively with spectral transition energies.<sup>212</sup> Even more importantly the correlation diagrams constructed from these calculated quantities for different members of the same molecular family are invariably found to bear a quite close resemblance to one another. As a result the only real question about employing the SCF canonical orbital energies in the MW model has concerned the manner in which to use them to obtain the geometrical predictions which are the ultimate goal of the original theory.

The most obvious approach, namely to use the sum of canonical orbital energies to approximate the SCF total energy, must be discarded. To be sure, for a large number of cases it has been found that the orbital energy sum  $\Sigma \epsilon_i$  (or  $\Sigma^{val} \epsilon_i$ ) behaves very nearly the same as the total energy  $E_T$ , but a detailed investigation of the relationships between these two quantities for many systems and also for different types of geometrical variables, particularly equilibrium bond lengths, has shown that the orbital energy sum by itself is *not a sufficiently reliable indicator of equilibrium molecular geometry.* 

On the other hand, it is found that even in cases for which the sum of orbital energies is not capable of giving an absolute determination of the favored nuclear arrangement, it is still possible to use the information available from the individual orbital energy curves themselves to predict differences in geometry between systems in the same molecular family but with different numbers of valence electrons. Relationships such as these follow directly in a quantitative manner simply by making use of Koopmans' theorem. In particular it has been shown that successive application of the differential form of Koopmans' theorem (eq 9) using the results of ab initio SCF calculations for one system yields potential curves for a wide variety of related species (in both ground and excited states) which, in fact, approximate the true energy surfaces in such cases guite satisfactorily. The success of this approach is clearly based on the fact that it does not seek an absolute determination of the molecular geometry of any of these systems but rather merely an assessment of what differences in geometry are to be expected between such species. And indeed closer scrutiny of the manner in which the MW model itself is actually applied shows that such relative structural information is all that is ever really forthcoming from the empirical theory with which identification is being sought. Once this basic point is grasped, it is possible to use the SCF canonical orbital energy curves with the same degree of effectiveness as has generally been claimed for the corresponding empirical quantities given by Mulliken and Walsh.

To see how the MW model is used to describe geometrical effects, it is useful to consider a schematic diagram such as that given in Figure 12, in which all the systems in a given molecular family are arranged in rows according to the number of valence electrons each contains. In order to deal with excited as well as ground states of molecules it is necessary to expand the diagram somewhat to include a separate row for each valence electronic configuration, rather than just for each number of valence electrons, commonly observed for such systems. The MW model (and also the Koopmans' theorem analysis discussed above) simply gives a prescription for predicting geometrical changes between but not within different rows of the resulting diagram (that is, by adding or subtracting appropriate orbital energy curves to the corresponding total energy surface of some parent system); in effect the model merely assumes that all systems with the same valence electronic configuration possess completely equivalent equilibrium nuclear arrangements.

The latter assumption is clearly based on the idea that specific distinctions in the AO composition of the various MO's for different systems have no effect on molecular geometry; the underlying premise of Koopmans' theorem, namely that the two systems being compared use exactly the same MO's in representing their electronic charge distributions, is obviously consistent with this general point of view. To improve upon this situation and thus account for known distinctions in the molecular geometries of isovalent systems, it is obviously necessary to allow for the fact that the individual MO's do actually change from one species to another, particularly in comparisons involving systems with greatly different ionic character. In terms of Figure 12 it is useful to speak of the resulting subsidiary principle of molecular geometry as a horizontal correction to the MW model, and analysis of the SCF total energy expression shows that it involves changes in both the individual orbital energy terms and the total electronic repulsion  $V_{ee}$  from one isovalent system to another (eq 12).

Taking account of such distinctions makes it possible to explain rather small differences in geometry between such species as O<sub>3</sub> and FNO or NH<sub>3</sub> and PH<sub>3</sub> and also much larger discrepancies, such as those which exist between very ionic systems such as Li2O and BaF2 and their isovalent (covalent) counterparts water and CO2, respectively. Basic trends in the behavior of this horizontal correction term are generally quite apparent from observation of a relatively small number of systems in each molecular family, and this information has been summarized in various tables given in this paper. From such results it must simply be concluded that while molecular geometry is determined first and foremost (in the MO framework) by the valence electronic configuration, that is, by an effect which does not depend on the identity of the constituent nuclei in a given case, there still is an important secondary principle to be considered which is much more specific, namely the manner in which the AO composition of the individual MO's varies from one system to another.

In fact, there is theoretical evidence which indicates that the MO composition may vary significantly from one multiplet to another within a given electronic configuration of the same system. Such considerations seemingly add a new dimension to this study because the resulting distinctions in electronic charge distribution again imply that discrepancies in the shapes of corresponding potential curves are again to be expected, particularly in cases for which the amount of exchange energy differs greatly between individual multiplets. Again the MW model in its original form is not capable of accounting for such details in the study of the molecular geometry of these systems, since it does not allow for any variation in the AO composition for the individual orbitals, either for different combinations of constituent nuclei or for changes in the multiplet character of a particular electronic state.

In addition to showing how the MW model can be related to results of ab initio SCF MO calculations as well as how it can be improved to account for rather subtle structural distinctions among systems with identical valence electronic configurations, the present study has also emphasized that the application of this theoretical model can be extended to much larger classes of systems than was originally thought. For example, correlation diagrams of systems which differ only in the replacement of hydrogen by fluorine atoms are found to bear great similarity to one another, thereby allowing an explanation for the close relationship observed between geometrical trends in AH<sub>2</sub> and AH<sub>3</sub> systems, on one hand, and  $\mathsf{AB}_2$  and  $\mathsf{AB}_3$  species, on the other. In addition it has been shown that hydrogen atoms can be added to a heavy-atom skeleton without affecting the basic methodology of the MW model.87

In most cases the correspondence between equilibrium geometries of isovalent  $AB_m$  and  $H_nAB_m$  systems, respectively, is quite apparent, but there are well-known exceptions to this pattern such as in the ozone-cyclopropane and the C<sub>2</sub>F<sub>2</sub>-cyclobutene comparisons. In fact, however, even cases of the latter type are capable of being explained consistently in terms of the MW model, once it is realized that the ground-state electronic configurations of the hydrogenic species are not necessarily the same as those of the corresponding systems not containing hydrogen. If the geometries corresponding to the same electronic configuration are compared in each case, as is proper in applying the MW model, the apparent exceptions vanish simply because sometimes such a procedure requires correlating the ground state of one system with the excited state of another and vice versa. No significant horizontal correction is really required in these examples since the ab initio SCF calculations indicate that the shapes of corresponding orbital energy curves are quite similar for (covalent)  $AB_m$  and typical  $H_n AB_m$  species.

Explicit SCF calculations also demonstrate that the essential features of the various correlation diagrams are not significantly altered by a reduction of symmetry of the system under consideration, despite the acknowledged utility of symmetry characteristics in analyzing the nature of the various orbital energy trends associated with the parent family. In such cases, however, a horizontal correction such as that of eq 12 is often necessary to explain relatively small discrepancies between the structures of asymmetric species and those of isovalent systems of higher molecular symmetry.

Finally it has been shown that the Koopmans' theorem analysis described above can actually be used for any type of geometrical variable, not simply for the various internuclear angles usually considered in connection with applications of the MW model. In principle it is only necessary to obtain total and orbital energies as a function of the desired geometrical coordinate for a single member representing a given molecular family and then apply eq 9 to predict the nature of the corresponding potential curves for all other systems in this class which possess a different valence electronic configuration. Again such relationships are often obscured by the existence of rather large horizontal corrections which arise because of distinctions in the electronic charge distributions of various systems being compared, but once such effects are accounted for by means of eq 12 the generality of the MW trends for any geometrical variable is easily demonstrated. The key distinction between the comparative approach of the Koopmans' theorem analysis discussed in this work, on the one hand, and the earlier procedures involving various orbital energy sums, on the other, is clearly that in the latter case data obtained for one system are only used internally to approximate the total energy curve of the same molecule, whereas in the former case the calculated results for one family member are taken over directly to study corresponding properties of whole groups of related systems. Indeed in many cases the orbital energy sum methods actually lead to information loss, in marked contrast to the situation which results from the Koopman's theorem analysis, in which just as for the original MW model one is continually impressed with the amount of new structural information which can be deduced from such a deceptively simple object as an orbital energy correlation diagram.

Finally it should be pointed out that virtually all of the aforementioned geometrical conclusions arise in a straightforward manner without consideration of CI effects, and exclusively within the framework of basis sets consisting of only s and p AO's.213 Examination of existing calculations at the Hartree-Fock level emphasizes,

however, that such CI and basis set effects can be significant for structural studies in certain cases, and that it is therefore important to be aware of what changes in geometry can result because of such improvements in the level of the theoretical treatment. Structural changes effected by inclusion of CI are essentially interpretable in terms of the concepts of the original MW model, simply by taking into account the geometrical trends of the MO's whose occupation is altered relative to an SCF treatment. The situation with respect to d-orbital effects is less clear, but it seems safe to conclude that their influence on molecular geometry is relatively small as long as such species are not formally occupied. Inclusion of d orbitals in the basis is more likely to affect the shapes of fairly shallow potential curves, but in such cases the same result can also be obtained through the use of other types of polarization functions. In general, slight discrepancies in calculated equilibrium geometries which result from deficiencies in the basis set employed can be accounted for effectively in terms of the same horizontal correction formula (eq 12) as that used above to describe differences in the observed nuclear arrangements of isovalent systems possessing somewhat different electronic charge distributions.

In summary it has been found that the MW model can, with certain modifications, be used to explain virtually every trend observed in the equilibrium geometries of polyatomic molecules in their ground and excited states. Furthermore, the fact that such analyses can be carried out on the basis of canonical orbital energy diagrams calculated using the ab initio SCF method establishes a clear connection between the well-defined mathematical formalism of the SCF or Hartree-Fock theory and the aforementioned empirically deduced model of electronic structure. These findings demonstrate that ab initio calculations (both SCF and CI) can be used quite effectively to elicit extremely general relationships among a large body of empirical data and thus that their role in electronic structure studies need not be restricted to the realm of numerical comparison with specific experimental results.214

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#### V. References and Notes

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- (47) To avoid confusion in applying eq 12, emphasis will always be placed on the additive potential terms in this expression, hence on  $\Delta \Sigma \epsilon_i$  and  $(-\Delta V_{ee})$ . Then it simply must be remembered that if any such additive term *increases* with bending (or bond contraction), the tendency is toward larger angles (distances); if such a term decreases with bending, on the other hand, the trend is ob-viously in the opposite direction, toward *smaller* angles (or distances)
- (48) Unpublished ab initio SCF calculations by the authors for water in various nuclear conformations.
- (49) In both Li2O and water the orbital energy sums are observed to favor bent geometries, but the additional terms in eq 12 produce the opposite tendency. It is thus the fact that  $\Sigma\epsilon_i$  for Li<sub>2</sub>O favors bent geometry less than in H<sub>2</sub>O that ultimately causes the former system to prefer a linear structure.
- (50) Strictly speaking, this statement will be correct only if one uses the curvature  $\partial^2 E/\partial \Theta^2$  for this criterion; the usual definition of bending force constants, namely the quotient of the curvature and the square of the bond length, may not always exhibit the same general trends as the curvature itself. (51) Hence  $(-\Delta V_{ee})$  as well as  $\Delta \Sigma \epsilon_i$  decreases with bending, thereby
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- For a single excitation from the /th to the /th orbital energy level (81) (relative to a closed-shell ground state) the total energies of the resultant excited triplet ( ${}^{3}E_{T}$ ) and singlet ( ${}^{1}E_{T}$ ) are related to the ground state energy ( $E_{T}$ ) by the relationships  ${}^{3}$ ,  ${}^{1}E_{T} = E_{T} + \epsilon_{j} - \epsilon_{i} - (J_{ij} - \kappa_{ij}) \pm \kappa_{ij}$ , where  $J_{ij}$  and  $\kappa_{ij}$  are Coulomb and exchange integrals, respectively, and the upper sign refers to the singlet state (see ref. 36). As a result the geometrical dependence of such electron repulsion integrals is also a potentially important factor in determining the shapes of the excited state potential curves.
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- (100) In this case it is not really necessary to use eq 12 but rather to simply compare directly the calculated NH<sub>2</sub><sup>+</sup> and BH<sub>2</sub><sup>-</sup> results. For such comparisons it is, of course, necessary to also consider  $V_{nn}$  explicitly since  $R_{NH} \neq R_{BH}$ , but it is readily seen from actual calculations that this term represents only a minor effect because of the relatively small nuclear charge of the protons. The same statement is valid for any comparison of HAH angular (but not stretching) potential curves
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- (128) The ionic system LiOH is an obvious exception to this regularity, simply because the electron transfer from lithium to oxygen is so nearly complete as to rule out the possibility of a sufficiently
- strong LiH bond.
   (129) For both types of systems, R<sub>AB</sub> depends strongly on this electronegativity difference, as discussed in the preceding subsection, and the system separation
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- (142) The Coulomb integral between the 3a1 and 4a1 MO's, respectively, (142) The could have been the sat and 4a, NO s, respectively, increases from 0.402 to 0.421 upon a 60° cis bend, while the analogous quantity decreases from 0.402 to 0.397 for the same range of angle for the trans bending motion.
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- (201) Cyclobutadiene represents another example of a system which, at Cyclobutadiene represents another example of a system which, at least in *ab initio* treatments, is not adequately represented without CI. The calculated finding<sup>151</sup> (after CI) of a singlet ground state is at least superficially in conflict with Hund's rules, but the fact that equilibrium is attained for a nonsquare geometry is, of course, consistent with the Jahn-Teller effect.
- Note that the two components of the  $\mathbf{e}_{\mathbf{g}}$  MO for the square geometry do not have equal orbital energies. This result is a conse-(202)quence of the fact that one component is occupied while the other is not.<sup>36</sup> The explanation for this phenomenon has been given ear-lier.<sup>24</sup> The other degenerate MO's do possess equal orbital ener-gies for their respective components, however. The symmetry orbital notation in Table XIV always refers to the
- (203) $D_{2h}$  point group, even though the specific molecule may not possess this symmetry. Such a procedure is employed only to emphasize the essential similarity between corresponding MO's in such systems, regardless of the symmetry of the complete molecule. The same notational procedure has been employed for the triatomic systems in section III.C.2, in which ABC systems are discussed in terms of  $C_{2v}$  symmetry orbitals.
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- figuration of the system under consideration.
- riguration of the system under consideration. Note Added in Proof. After completion of this review article new work appeared on the subject of the shapes and energetics of polyatomic molecules by G. Schnuelle and R. G. Parr [J. Amer. Chem. Soc., 94, 8974 (1972)] which is relevant to the present dis-cussion. In their work the authors have used a simple electrostatic (214)model in a localized MO framework to obtain a consistent description of details of the geometry and spectra of polyatomic systems. In particular, a new prescription for the localization of canonical SCF MO's has been suggested and an alternative means of constructing diagrams from *ab initio* techniques has been considered. This work has special bearing on the question of how to relate MO and VB models of electronic structure, as discussed in section III.A.2 of the present article.